

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
China Eagle Asset Management Limited	ANR904	Licensed Corporation	9
Confederation Investment Limited	ARR717	Licensed Corporation	1
Cypress Lane Capital Limited	ASU444	Licensed Corporation	9
EB Real Estate Asia Limited	AST913	Licensed Corporation	4, 9
Environmental Investment Services Asia Limited	ASU465	Licensed Corporation	9
Finansa Securities (Hong Kong) Limited	ASS782	Licensed Corporation	1, 4, 9
First Vanguard Capital Limited	ARC062	Licensed Corporation	4, 6
Gain Capital - Forex.com Hong Kong Limited	ARS812	Licensed Corporation	3
Ginseng Capital Advisors Limited	ASY010	Licensed Corporation	9
ICBC International Research Limited	ASX557	Licensed Corporation	4
Macquarie Capital Funds (Asia) Limited	AQY448	Licensed Corporation	4, 9
MFS International (Hong Kong) Limited	ASK527	Licensed Corporation	1
Millennium Securities Pty Limited	AST016	Licensed Corporation	1
Pangu Capital Limited	ATC975	Licensed Corporation	9
Ping An of China Securities (Hong Kong) Company Limited	ASC577	Licensed Corporation	1, 4
Riverwest Advisors Limited	ASW807	Licensed Corporation	9
Zavori Securities (Asia) Limited	ARK249	Licensed Corporation	1
AGARWAL Prateek	ATD855	Licensed Representative	1, 2
ANDREWS Stephen John	ATC362	Licensed Representative	4
ARNAUD Quentin Jean	AST879	Licensed Representative	1
AU Ka Ho	ARK827	Licensed Representative	1
AU Yue San, Florence	ATD405	Licensed Representative	1, 4
BAJARIA Sejal	ATC149	Licensed Representative	1
BANSON Eric Laurea	ASX744	Licensed Representative	1, 4
BARTLETT Toby Douglas	ATE545	Licensed Representative	9
BATCHA Jamil Muhammed	ATC398	Licensed Representative	4
BHANDARI Pranjul	ATE067	Licensed Representative	1, 4
BHARDWAJ Ashish	ATG736	Licensed Representative	4, 9
BI Wei	ATC372	Licensed Representative	1
BOILLOT Charles David	AOQ945	Licensed Representative	4, 9
BOLTON Richard Philip	ATG683	Licensed Representative	4
BOUDIER Julien Vincent	ATC527	Licensed Representative	1, 2
BROWN Robert Patrick	ATG905	Licensed Representative	1
BURIOT Yves Bruno Auguste	ATD948	Licensed Representative	1, 2
CABBABE Raif	ATE586	Licensed Representative	1, 2
CARLIER Jerome, Louis, Michel, Francois	ATD998	Licensed Representative	1, 2, 7

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CASTAGNE Allan John	AQU899	Licensed Representative	1
CHAN Chi Lap	ATD881	Licensed Representative	1, 4
CHAN Hector	ATG841	Licensed Representative	1, 2
CHAN Hung Ping	ATG911	Licensed Representative	4, 9
CHAN Ka Lai	ASZ668	Licensed Representative	1, 4
CHAN Kiu Dik, Dixon	ATC161	Licensed Representative	4, 9
CHAN Kwok Bun	ATE570	Licensed Representative	1, 2
CHAN Lap Shun, Nelson	ATD750	Licensed Representative	1
CHAN Leon	ATH346	Licensed Representative	4
CHAN Man Ha	ATD281	Licensed Representative	4
CHAN Ngo Fai	ATH413	Licensed Representative	1
CHAN Ping Tong	ATE588	Licensed Representative	1, 2, 4
CHAN Pui Tin	APK145	Licensed Representative	4
CHAN Wai Chi, Jeanny	AGH411	Licensed Representative	4
CHAN Wing Yee	AIZ565	Licensed Representative	1, 4
CHAN Yat Tung	ASN829	Licensed Representative	4
CHAN Yau Lung, Sidney	ARG351	Licensed Representative	1
CHAN Yuk Chung	ATE880	Licensed Representative	1, 2
CHAN Yun Wah	ATE239	Licensed Representative	1, 2
CHAU Kai Hong, Eddie	ATC360	Licensed Representative	1
CHAU King Hong, Claude	AGV370	Licensed Representative	3
CHEN Chun To	ATC538	Licensed Representative	1, 2
CHEN Cong	APF504	Licensed Representative	1, 4, 6
CHEN Cong	ASZ589	Licensed Representative	4
CHEN Eric Kay	ANT456	Licensed Representative	1, 4, 6
CHEN Hualiang	ASZ590	Licensed Representative	4
CHEN James	ATD586	Licensed Representative	4, 9
CHEN Jing	ATH230	Licensed Representative	3
CHEN Kairu	ATH806	Licensed Representative	4
CHEN Kim Ching	ATC745	Licensed Representative	1
CHEN Lihui	ATD691	Licensed Representative	4, 9
CHEN Ting	ATH365	Licensed Representative	9
CHENG King Hong	ATD295	Licensed Representative	1, 4
CHENG Kwok Keung	ATE569	Licensed Representative	4
CHENG Robin Shiang	ATD727	Licensed Representative	4
CHENG Wai Shan	ATB936	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHENG Wei	ARI947	Licensed Representative	6
CHENG Ying Kwong, Randy	ALN993	Licensed Representative	1
CHEUNG Hoi Suen	ATD688	Licensed Representative	1, 2
CHEUNG Kam Kit	ATC138	Licensed Representative	4
CHEUNG Kwok Yuen	ABT596	Licensed Representative	4
CHEUNG Man Ho	ATB803	Licensed Representative	1, 4
CHEUNG Moon Fuk	AFG401	Licensed Representative	1, 4
CHEUNG Nga Ki	ATC985	Licensed Representative	4
CHEUNG Scott	AFL224	Licensed Representative	4
CHEUNG Yat Ming	AEG753	Licensed Representative	4
CHIANG Kai Ming	ATC990	Licensed Representative	4
CHIANG Tak Chi, Tom	ATH337	Licensed Representative	1, 2
CHIN Siu Ying	ATC924	Licensed Representative	4
CHIU Suk Yee	ATD407	Licensed Representative	1, 4
CHO John Ilgul	ATB976	Licensed Representative	1, 2
CHOI Man Wing	AOV139	Licensed Representative	1, 2
CHOI Pui Ling, Fiona	ATB628	Licensed Representative	1
CHOI Wing Kam	AGC912	Licensed Representative	4, 5, 9
CHOU I Chun	APU195	Licensed Representative	1
CHOW Man Ho	ATD053	Licensed Representative	4
CHOWDHARY Pranav	ATF072	Licensed Representative	1, 2, 7
CHU Pui Yee	ATD185	Licensed Representative	1, 2, 4, 9
CHUI Suk Yee, Halina	AJO197	Licensed Representative	1, 2, 4
CHUNG Hoson	ATC200	Licensed Representative	4
CHUNG Lam Lap	ASW428	Licensed Representative	1
CHUNG Siu Wah	AGC128	Licensed Representative	1, 2
CHUNG Tak Wai	ATE071	Licensed Representative	3
CHUNG Wing Kin	ATE069	Licensed Representative	1
CHUNG Ying Hay	ATE244	Licensed Representative	1, 2
COPPENS Gregorius Henricus Bartholomea	ATB982	Licensed Representative	1, 4, 6
DASH Sabyasachi	AIN597	Licensed Representative	1
DATTA Sayan	ATC525	Licensed Representative	4
DAUZ Alexander Ludwig Lim	ARP906	Licensed Representative	1
DERBYSHIRE David Neil	ATF095	Licensed Representative	1, 2
DERVILLE Guillaume Colin	AMW646	Licensed Representative	1, 2
DU Qingwen	ATB497	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
DUMONAL Maxime, Paul, Dominique	ATB973	Licensed Representative	1, 2, 7
EVANS Owen Glendower	ATC533	Licensed Representative	4
FAHY John Stephen	ATC133	Licensed Representative	1, 2
FAN Chuen Luen	ATD532	Licensed Representative	1
FAN Chun Ying	ATC707	Licensed Representative	1
FANG Yiding	ATC738	Licensed Representative	1, 6
FONG Man Chiu	ATE246	Licensed Representative	1
FOURNIER Gregory John Kennedy	ACJ065	Licensed Representative	1, 4, 6
FREEDMAN John Michael	ATE593	Licensed Representative	4
FU Chung Yin, Gregory	ATE536	Licensed Representative	1, 2, 4, 5
FU Kwong Lui	AFX339	Licensed Representative	1
FU Kwun Ki	ARS320	Licensed Representative	4
GAGLIARDONE Giuseppe	ATB975	Licensed Representative	1, 2, 7
GOODSALL Andrew Roger	ATD728	Licensed Representative	4
GUNTON Michael Andrew	AMN659	Licensed Representative	9
HALL Jeremy George	ATD521	Licensed Representative	4, 9
HALL Thomas Stephen	ATC175	Licensed Representative	1
HAMARD Eric, Jean-Claude, Lucien	ATF066	Licensed Representative	1, 2, 7
HARTWELL Benjamin Luke	ATC163	Licensed Representative	1
HAU Yu Fai	ASU308	Licensed Representative	1
HE Juying	ASZ591	Licensed Representative	4
HEARD Penelope Bromell	ATH473	Licensed Representative	1, 2
HO Hung Hei	ATC135	Licensed Representative	4
HO Kei Him, Calvin	ATF046	Licensed Representative	4
HO Wing Miu, George	ATB808	Licensed Representative	1, 2
HONG Shao Huai	ATI031	Licensed Representative	4
HU Yali	ASZ580	Licensed Representative	4
HUANG Chun-chieh	ATC043	Licensed Representative	2
HUANG Huamin	ASZ592	Licensed Representative	4
HUANG Lili	ASZ593	Licensed Representative	4
HUI Ka Kit	ATE859	Licensed Representative	1
HUI Wai Yee, Karen	ALF483	Licensed Representative	1
HUNT Ross Anthony	ATD971	Licensed Representative	1
HURREN Daniel Philip	ATD265	Licensed Representative	4
JULSIRI Supat	ATD994	Licensed Representative	9
KAI King Man, Simon	AQD383	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KAKIUCHI Shinji	ANG432	Licensed Representative	1
KAN Ho Yin	ATE881	Licensed Representative	4
KAN Michelle Katherine Maygig	AQJ034	Licensed Representative	1
KAO Richard	ADR379	Licensed Representative	1, 4, 6
KATKAR Harshad Harishchandra	ATC530	Licensed Representative	4
KATO Takaaki	ATE766	Licensed Representative	1, 2
KELLY Scott Adam	AGY598	Licensed Representative	4
KEUNG Tak Kuen	AMB628	Licensed Representative	1
KIM Linha	ATD901	Licensed Representative	1, 4
KIM So Yeon	AMM348	Licensed Representative	1
KO Kei Bui	ATB986	Licensed Representative	1
KONG Koon Wai	AEC905	Licensed Representative	1
KWAI Ting Kong	AOG999	Licensed Representative	1, 2
KWAN Christine Shumann	ATD289	Licensed Representative	1, 6
KWAN Mo Han	ATD406	Licensed Representative	1, 4
KWOK Chun Tat, Anthony	ATD280	Licensed Representative	4
KWOK Ho Yan, Yannie	ASZ709	Licensed Representative	4
KWOK Ka Yiu	ATD334	Licensed Representative	1
KWOK Pak Hei	AEU974	Licensed Representative	1
KWOK Sze Yiu Gordon	AJG607	Licensed Representative	4
KWOK Wah	AEX602	Licensed Representative	1, 2
LAI Chu Hang	ATB627	Licensed Representative	1, 4
LAI Siu Hong	ACO548	Licensed Representative	1
LAI Telun Tik Lun	ARD592	Licensed Representative	1
LAI Yu Ming	ATF079	Licensed Representative	1
LAM Chi Hang, Leo	ATC925	Licensed Representative	4
LAM Chi Kit	AGJ118	Licensed Representative	4
LAM Man Lok, Joseph	AAI477	Licensed Representative	1
LAM Wai Leung	AFS532	Licensed Representative	4, 9
LAM Wing Hei	ATB985	Licensed Representative	1, 2
LAN Shunxiang	ANW227	Licensed Representative	1, 4
LAU Hing Lung	APC583	Licensed Representative	1
LAU Hon Man	AJO171	Licensed Representative	1, 4
LAU Sum Yuen, Emily	ATD409	Licensed Representative	1, 4
LAU Ying Tung	ATD665	Licensed Representative	1
LAW Ka Ying, Catherine	AFT559	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAWCOCK Glyn David James	ATE171	Licensed Representative	4
LAZERGES Gilles	ART865	Licensed Representative	1, 2
LEE Chiu Man	ATE228	Licensed Representative	1, 4
LEE Hon Hung	ATC201	Licensed Representative	4
LEE Kai Ming	AAH316	Licensed Representative	1
LEE Kwong Yu	AEC082	Licensed Representative	1
LEE Lit Ming	AFY764	Licensed Representative	1
LEE Poh Aik	ATD682	Licensed Representative	1, 2
LEI Zhongren	ASS916	Licensed Representative	1
LEITCH David Andrew	ATB988	Licensed Representative	4
LEMSITEF Mohamed-idriss	ATB805	Licensed Representative	1, 2
LEUNG Chi Hang, Nicholas	ATC818	Licensed Representative	1
LEUNG Chun Hei, Matthias	ARE214	Licensed Representative	3
LEUNG Chung Yi	ATD273	Licensed Representative	1
LEUNG Ka Yin, Bonnie	ALU961	Licensed Representative	1
LEUNG Kam Wa, Janet	ATF009	Licensed Representative	4
LEUNG Lok Fai	ATD022	Licensed Representative	4
LEUNG Pui Wai	ATH936	Licensed Representative	4
LEUNG Wai Yi, Connie	ALO053	Licensed Representative	9
LEUNG Yiu Ho	ATE583	Licensed Representative	9
LI Bo	ATD715	Licensed Representative	4
LI Hongliang	ASZ595	Licensed Representative	4
LI Jie	APO198	Licensed Representative	1
LI Kwan Leung	ADT743	Licensed Representative	1
LI Li	ATB544	Licensed Representative	1
LI Man Kit	ATH561	Licensed Representative	1
LI Tang	ATE235	Licensed Representative	1, 2
LI Xin	ASZ582	Licensed Representative	4
LI Zhiyi	ATC524	Licensed Representative	4
LI Zhuiyang	ASZ584	Licensed Representative	4
LIANG Siew Huay	ATD790	Licensed Representative	4, 9
LIAO Jingwu Riz	ABZ499	Licensed Representative	1, 4
LIM Sen Ming	ATC356	Licensed Representative	1, 4, 6
LING Chor Kin, Jeffrey	ATD874	Licensed Representative	1
LO Chi Chiu, Paul Andrew	ATB824	Licensed Representative	1, 2
LO Fee Ning	ATC926	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LO Kai Pong	ATB616	Licensed Representative	4
LOGANTCHOUK Alexei Vladimirovich	ASZ710	Licensed Representative	1, 2, 4, 5
LOWE Won San, Jason	ASY271	Licensed Representative	1
LUI Man Chu Mandy	ATH203	Licensed Representative	4
LUPIS Alexis Damien Dominique	ATC479	Licensed Representative	1
MA Kin Leung	AFJ235	Licensed Representative	2
MA Linlin	ATH816	Licensed Representative	9
MA Wing Cheong	ATC243	Licensed Representative	4
MA Xiaoli	AOJ577	Licensed Representative	4
MACPHERSON Glyn	AHK217	Licensed Representative	1
MAK Chung Ming	ATC932	Licensed Representative	1, 2
MAK Dennis John	ATG738	Licensed Representative	4, 9
MAK Siu Chong, Philip	ATB940	Licensed Representative	4
MAO Wei	ATD879	Licensed Representative	1, 2
MC GRATH David Michael	AOV652	Licensed Representative	9
MC GUIRE Stephen Vincent	ATC357	Licensed Representative	1
MCGILLIVRAY Richard	ATB859	Licensed Representative	1
MCNALLY Graham	ATF113	Licensed Representative	4
MEMON Mohammed Akil	APB338	Licensed Representative	1, 2
MITCHELL Simon Andrew	ATD257	Licensed Representative	4
MO Yin, Nancy	AKX638	Licensed Representative	1, 2
MOHD Murshidah Binte	ATD518	Licensed Representative	4, 9
MOK Alice Hoi Ling	ATC471	Licensed Representative	1
MUI Chung Hong	ATE783	Licensed Representative	1
MUSETTI Robert Cesare	AAZ177	Licensed Representative	1
NG Hung Mui	ASZ116	Licensed Representative	1
NG Lai Lin	ATC366	Licensed Representative	1
NG Mei Yuk	ATB546	Licensed Representative	1
NG Po Yiu	ARI222	Licensed Representative	1
NG Wai Wah	AGW317	Licensed Representative	1
NG Yu Kwong	ARF648	Licensed Representative	4
NGAN Wai Ming	ATE179	Licensed Representative	3
NGOK Tung King, Linda	ATC242	Licensed Representative	1
OCHIAI Satoru	ATH836	Licensed Representative	1
OH Jon Teng	ATC963	Licensed Representative	4
PANG Nicholas Lee	ATH808	Licensed Representative	1, 2, 4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
PANG Shuk Ching	ATB977	Licensed Representative	1, 4
PANG Wai Lam, Leona	AQZ903	Licensed Representative	1
PARK Agnus	ATG739	Licensed Representative	4, 9
PARKER Chad Harris	ATD514	Licensed Representative	1, 6
PATERSON Rick	ATD260	Licensed Representative	4
PIES John David	ATB523	Licensed Representative	1, 9
PIN Man Lan	AOO975	Licensed Representative	1, 2, 4, 5
POIX Arnaud, Bernard, Fabrice, Noel	ATC267	Licensed Representative	1, 2, 7
POON Ho King, Polly	ATH298	Licensed Representative	1
POON Man Kit	AOQ607	Licensed Representative	1
PRICE Edmund Hugh Owain	ADS121	Licensed Representative	1, 6
QIAN Xiangjin	ASZ596	Licensed Representative	4
QIN Huaio	ATE109	Licensed Representative	1
QUAN Stephen Michael	ATB915	Licensed Representative	4
RICH Stephen Frederick	ATE176	Licensed Representative	4
RIFAIE Khairulanwar Bin	ATB732	Licensed Representative	4
ROBINE Charles-Antoine, Marie, Godfroy	ATC945	Licensed Representative	1, 2
ROBSON John MCGOWAN	AED494	Licensed Representative	1
SAITO Rahul	ATC816	Licensed Representative	1
SAITO Takayuki	ATG731	Licensed Representative	1
SALDANHA Joshua Gerard	ANB180	Licensed Representative	1
SANGER Paul John	ASH952	Licensed Representative	1, 2, 7
SHAM Tat Hung, Samuel	AFA430	Licensed Representative	1
SHIGEZAKI Ayako	ASZ506	Licensed Representative	1
SIU Ka Chun	ATE884	Licensed Representative	1
SMERIN Richard Oliver Henry	ATD515	Licensed Representative	1, 2, 7
SO Hang Fai	APJ858	Licensed Representative	1
SO Sin Ting	ATE245	Licensed Representative	1, 2
SO Wai Yue	AEM139	Licensed Representative	1, 2
SPENCER Hugh Frederick Decatur	ASY957	Licensed Representative	1
STAFFORD Craig Martin	ATC363	Licensed Representative	4
STRADMOOR Norman Alexandra	ARR597	Licensed Representative	1, 4
TAM Ching Sau	ATC204	Licensed Representative	4
TAM Kit Sai	AFM299	Licensed Representative	1
TAM Siu Tsan	ATC134	Licensed Representative	4
TAN Eugene Yi Jin	ATE886	Licensed Representative	1, 2



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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TAN Francisco A.	ATD188	Licensed Representative	1, 2, 4, 9
TAN Freddie Kuok Lin	ASQ162	Licensed Representative	1, 2
TAN Ka Choi, Jonathan	ATH815	Licensed Representative	1
TANG Fu Kin Dodphen	ATL831	Licensed Representative	1
TANG Hiu Ting	ATE063	Licensed Representative	1
TANG Kwok Wing	ATD414	Licensed Representative	1
TANG Sai Wah	ATF192	Licensed Representative	1
TEI Chu Pink	ASS312	Licensed Representative	1, 6
TEO Kok Ann, Theodore	AGC924	Licensed Representative	1, 2
TOTHAM Matthew James	ASK165	Licensed Representative	1, 2, 7
TSANG Kin Wang	ATC206	Licensed Representative	4
TSANG Kwok Kin	ATC358	Licensed Representative	1
TSANG Wing Shan, Peggy	ATC136	Licensed Representative	4
TSANG Yin Shan	AOD215	Licensed Representative	1, 4
TSANG Yuen Ting	ANM086	Licensed Representative	4
TSE Perry	ATE080	Licensed Representative	1
WAI Kar Ming, Daisy	ATE872	Licensed Representative	1, 2
WANG C C Clark	ANG377	Licensed Representative	4, 5, 9
WANG Gamin Ma	ATB813	Licensed Representative	1, 4
WANG Yifei	ATE786	Licensed Representative	1
WARDROP Marian Justine	ATE591	Licensed Representative	1
WEY Meirong	ATC520	Licensed Representative	1
WILLIAMS Christopher James	ATE599	Licensed Representative	4
WONG Alan	APD362	Licensed Representative	4
WONG Chi Hung, Philip	ATE768	Licensed Representative	1, 2
WONG Chun Kong	ATC473	Licensed Representative	1
WONG Fun Tat	ATF191	Licensed Representative	1
WONG Ka Chung	ATC923	Licensed Representative	1
WONG Ka Ki, Eva	AQD871	Licensed Representative	1, 2
WONG Lai Po	ATG727	Licensed Representative	1
WONG Man Sze	ATC247	Licensed Representative	4
WONG Miu Sze	ATC137	Licensed Representative	4
WONG Shing Kwan	ACS352	Licensed Representative	1
WONG Sze Wah	ATG908	Licensed Representative	3
WONG Tsz Hong	AJC318	Licensed Representative	4, 9
WONG Tsz Ming	AQD038	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG Wan Nei, Winny	ART301	Licensed Representative	1
WONG William Koon Wei	AOR555	Licensed Representative	1, 4
WONG Wing Chiu, Nicholas	ABV791	Licensed Representative	4, 6
WONG Yiu Man	ASE092	Licensed Representative	1, 2
WU Fei	ASZ586	Licensed Representative	4
WU Ming-Yih	ATC734	Licensed Representative	9
WU Nga Yin Adela	ATF053	Licensed Representative	1
XU Haoyun	ATH853	Licensed Representative	9
XU Jun	ASZ597	Licensed Representative	4
XU Ning	ASL818	Licensed Representative	1
XU Xiaowen	ATC815	Licensed Representative	1, 2
YAM Siu Keung	ATE260	Licensed Representative	4
YANG QianQian	ATD760	Licensed Representative	4
YEH Richard	ATH757	Licensed Representative	4
YEOH Jonathan Sheung Chern	ANB177	Licensed Representative	1
YEOH Seok Poh	ATB907	Licensed Representative	4
YEUNG Niki Ning Ki	ATD246	Licensed Representative	1, 2
YEUNG Pui Yee	ATD294	Licensed Representative	1
YEUNG Sum Kin, Steven	ATD969	Licensed Representative	1, 2
YIM Hiu Fei	ATI424	Licensed Representative	2
YIP Cho Hei	ATE227	Licensed Representative	1
YIP Kai Pong	ATH923	Licensed Representative	1
YIP Pak Hong	ATE882	Licensed Representative	4
YIP Pui Ki Rita	AMR315	Licensed Representative	1, 2
YONG Ming Tat	ATH550	Licensed Representative	1
YU Carmen Hang Ting	ATE780	Licensed Representative	1, 2
YU Chun Wai	ATC259	Licensed Representative	1
YUEN Yu Leung	ALN297	Licensed Representative	1
YUN Cheuk Ting Sara	ANU766	Licensed Representative	1, 2
YUNG Siu Hang	AHC979	Licensed Representative	1, 2
ZENG Liang	ARB264	Licensed Representative	9
ZHANG Fan	ATC988	Licensed Representative	1, 4
ZHANG Pearl Yingyue	ATD293	Licensed Representative	1, 4, 6
ZHAO Xiao Le	AGC972	Licensed Representative	1
ZHAO Xueqin	ASZ588	Licensed Representative	4
ZHENG Yanjing	ASI044	Licensed Representative	1, 6

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
ZHI Xiaojia	APQ494	Licensed Representative	1
ZHU Fulin	ATC122	Licensed Representative	4, 9
ZHU Jianfang	ASZ598	Licensed Representative	4
ZHU Xian Long	ATI032	Licensed Representative	4
ALEXANDER Charles Alan Heathcote	ALI373	Licensed Representative - Responsible Officer	1, 4, 6
ARMENIO John Paul	ATC548	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
AU Mo Cheung, Alex	AAF576	Licensed Representative - Responsible Officer	9
BANG Michele Mi-Kyung	ATI600	Licensed Representative - Responsible Officer	4, 9
BREITER Emanuel Roland	AFC435	Licensed Representative - Responsible Officer	4, 9
CHAN Cheuk Yin	ACD391	Licensed Representative - Responsible Officer	1, 4
CHEN Su Ling	ANX197	Licensed Representative - Responsible Officer	1
CHIEN Li-Min	APR338	Licensed Representative - Responsible Officer	1
GUO Dajiang	ATE173	Licensed Representative - Responsible Officer	1
HIGSON SMITH Louis Piers	ACN201	Licensed Representative - Responsible Officer	1, 6
HUANG Wei	AST014	Licensed Representative - Responsible Officer	1
JESSEE Thomas Alderson	ASK590	Licensed Representative - Responsible Officer	1
KARMALI Shafiq Amirali	AEA219	Licensed Representative - Responsible Officer	9
KAY Nigel Timothy	ACU879	Licensed Representative - Responsible Officer	4, 9
LEE Jong Song	AJC091	Licensed Representative - Responsible Officer	1, 4
LEE Kit Ying	AMX584	Licensed Representative - Responsible Officer	4, 6
LYNAM Charles Tamplin	ATH919	Licensed Representative - Responsible Officer	4, 9
MAK Ka Fat	AIR151	Licensed Representative - Responsible Officer	1
MARSHALL James	ASS787	Licensed Representative - Responsible Officer	4, 9
MIYABE Nagahisa	ATD358	Licensed Representative - Responsible Officer	4
MUI Man Biu, Bill	AOE458	Licensed Representative - Responsible Officer	9
NISHIKAWA Mayuko	ANH181	Licensed Representative - Responsible Officer	9
NISHIKAWA Taisuke	AMS401	Licensed Representative - Responsible Officer	9
OHARA Atsuji	ATC549	Licensed Representative - Responsible Officer	4
O'SULLIVAN Brian John	ATH813	Licensed Representative - Responsible Officer	4, 9
SUN Weshiang	AQY832	Licensed Representative - Responsible Officer	9
SUZUKI Tsutomu	ASW811	Licensed Representative - Responsible Officer	9
SZETO Justin Yur	ARS813	Licensed Representative - Responsible Officer	3
WU Huasheng	ARG112	Licensed Representative - Responsible Officer	4
WU Shun-Hsuan	ASL406	Licensed Representative - Responsible Officer	1
YANG Jian	AFU682	Licensed Representative - Responsible Officer	9
AIDA Takuji	ATI746	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
AN Rong	ATJ195	Licensed Representative (Provisional Licence)	1, 2
AN Wen	ATH838	Licensed Representative (Provisional Licence)	1, 4, 6
ANG Wai Ming	AQU241	Licensed Representative (Provisional Licence)	1, 2
ARIMITSU Ken	ATI163	Licensed Representative (Provisional Licence)	1
ASARI Takehiko	ASD558	Licensed Representative (Provisional Licence)	1, 2
ASHWORTH Simon Henry Charles	ATH999	Licensed Representative (Provisional Licence)	1, 2, 7
AU Yim Ning	ATI174	Licensed Representative (Provisional Licence)	1, 4
AUDRY William, Henri, Adrien	ATG717	Licensed Representative (Provisional Licence)	1, 2, 7
BANSAL Mamta	ATJ463	Licensed Representative (Provisional Licence)	1
BATTERSHILL Jonathan Lesland	ATH821	Licensed Representative (Provisional Licence)	4
BELAID Henni	ATI605	Licensed Representative (Provisional Licence)	1, 2, 7
BENHMADE EI Mehdi	ATH874	Licensed Representative (Provisional Licence)	1, 2, 7
BHANDARI Pranjul	ATE067	Licensed Representative (Provisional Licence)	1, 4
BOILLOT Charles David	AOQ945	Licensed Representative (Provisional Licence)	4, 9
BROWN Robert Patrick	ATG905	Licensed Representative (Provisional Licence)	1
BRUCHET David, Dominique, Nicolas	ATH183	Licensed Representative (Provisional Licence)	1, 2, 7
BURNS John Patrick	ATG678	Licensed Representative (Provisional Licence)	1, 2
CASSIDY David Michael	ATI168	Licensed Representative (Provisional Licence)	4
CHAN Cheuk Him	ATI400	Licensed Representative (Provisional Licence)	4
CHAN Chi Chung Derrick	ATM275	Licensed Representative (Provisional Licence)	4
CHAN Chi Keung	ATL552	Licensed Representative (Provisional Licence)	1
CHAN Chung Kam	ATI405	Licensed Representative (Provisional Licence)	1
CHAN Chung Man	ATI417	Licensed Representative (Provisional Licence)	4
CHAN David Hantang	ATH921	Licensed Representative (Provisional Licence)	1, 6
CHAN Hector	ATG841	Licensed Representative (Provisional Licence)	1, 2
CHAN Kwok Kay	ATI426	Licensed Representative (Provisional Licence)	1
CHAN Pak Ho, Kenneth	AQS715	Licensed Representative (Provisional Licence)	1
CHAN Pik Yin, Ivan	ACM640	Licensed Representative (Provisional Licence)	2
CHAN Siu Yu	ATL499	Licensed Representative (Provisional Licence)	4
CHAN Stanley King Lun	ANU215	Licensed Representative (Provisional Licence)	1
CHAN Tsz For Percy	ATJ184	Licensed Representative (Provisional Licence)	2
CHAN Wah Hung	ATI151	Licensed Representative (Provisional Licence)	1, 2
CHAN Wilson Wai Hang	ATH897	Licensed Representative (Provisional Licence)	1, 2
CHAN Yu Sum Patrick	ARJ471	Licensed Representative (Provisional Licence)	1
CHANG Michael	ALA654	Licensed Representative (Provisional Licence)	1, 2
CHANG Wai Chung	ATL715	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAOUI Karim, Mohamed, Jean	ATH690	Licensed Representative (Provisional Licence)	1, 2
CHEN Calvin Hughes	ATL788	Licensed Representative (Provisional Licence)	1, 6
CHEN Chen	ATH814	Licensed Representative (Provisional Licence)	1, 2
CHEN Chenhong	ATI903	Licensed Representative (Provisional Licence)	1, 6
CHEN Kairu	ATH806	Licensed Representative (Provisional Licence)	4
CHEN Xiaowen	ATL458	Licensed Representative (Provisional Licence)	1, 2
CHEN Yi Tsung	ATI394	Licensed Representative (Provisional Licence)	1, 2
CHEN Yongren	ATJ227	Licensed Representative (Provisional Licence)	6
CHEN Yu Ling	ATJ193	Licensed Representative (Provisional Licence)	1, 2
CHEN Yuen Fong	ATL505	Licensed Representative (Provisional Licence)	4
CHEN Zidong	ATM444	Licensed Representative (Provisional Licence)	1, 6
CHENG Kuen Kau	ATJ187	Licensed Representative (Provisional Licence)	1, 2
CHENG Wei	ARI947	Licensed Representative (Provisional Licence)	6
CHENG Yeung	ATI147	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chi Ko Abdon	ATM272	Licensed Representative (Provisional Licence)	4
CHEUNG Hoi Kit, Benny	APS515	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Scott	AFL224	Licensed Representative (Provisional Licence)	4
CHEUNG Wing Nam	ATM257	Licensed Representative (Provisional Licence)	1
CHICKLES Colin Dean	AFM686	Licensed Representative (Provisional Licence)	4, 5, 9
CHIN Kong Yew	ADS225	Licensed Representative (Provisional Licence)	4
CHING Sick Lun, Wallace	ABR628	Licensed Representative (Provisional Licence)	1, 2
CHIU Hui-ping	ATI033	Licensed Representative (Provisional Licence)	1, 4, 6
CHIU Ming Po	ATH825	Licensed Representative (Provisional Licence)	1, 2
CHOA Tak Fung, David	ATJ231	Licensed Representative (Provisional Licence)	4, 5, 9
CHOI Hye Jin	APG513	Licensed Representative (Provisional Licence)	1, 2
CHOI Tsz Lun	ATJ182	Licensed Representative (Provisional Licence)	1
CHOI Whan Seuk	ATL710	Licensed Representative (Provisional Licence)	1, 2
CHONG Fook Loi, Joseph	ABL836	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHONG Yeung Chung, Frank	ATI885	Licensed Representative (Provisional Licence)	1
CHU Hon Kit	ATJ549	Licensed Representative (Provisional Licence)	2, 3
CHUI Fuk Wai, Marvin	ATI169	Licensed Representative (Provisional Licence)	1, 2
CHUN Wai Kiu	ATM317	Licensed Representative (Provisional Licence)	1, 3
CHUNG Calvin Yiu-ting	ATL489	Licensed Representative (Provisional Licence)	1, 2
CHUNG Chao-An	ATI602	Licensed Representative (Provisional Licence)	4
CHUNG Cheuk Man	AIC833	Licensed Representative (Provisional Licence)	1, 4
CHUNG Lai Ming, Rachel	ATL470	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHUNG Lam Lap	ASW428	Licensed Representative (Provisional Licence)	1
CHUNG Shuk Yu	AJE121	Licensed Representative (Provisional Licence)	1, 2
CHUNG Siu Wah	AGC128	Licensed Representative (Provisional Licence)	1, 2
CHUNG Wai Ying	ATL551	Licensed Representative (Provisional Licence)	4
CLEMENSON Stephen William John	ADS814	Licensed Representative (Provisional Licence)	1
COPIN Denis, Francois, Rene	ATG734	Licensed Representative (Provisional Licence)	1, 2, 7
DAVID Gregory, Ralph	ATF071	Licensed Representative (Provisional Licence)	1, 2, 7
DE WITT Robert N	ATI034	Licensed Representative (Provisional Licence)	1, 2
DING Lei	ATJ498	Licensed Representative (Provisional Licence)	1, 2
DING Tom Liang	ATJ265	Licensed Representative (Provisional Licence)	9
DOCHOW Milo Twohy	ATL986	Licensed Representative (Provisional Licence)	1
DU Liying	ATH840	Licensed Representative (Provisional Licence)	1
FAN Xinpeng	AQA957	Licensed Representative (Provisional Licence)	6
FANG Sai Tai, Jeffrey	AMF434	Licensed Representative (Provisional Licence)	1, 4
FINCH Philip Julian Magnus	ATI170	Licensed Representative (Provisional Licence)	4
FONG Ming Huang, Ernest	ATM263	Licensed Representative (Provisional Licence)	4
FU Chung Yin, Gregory	ATE536	Licensed Representative (Provisional Licence)	1, 2, 4, 5
FU Mei Ting	ATL439	Licensed Representative (Provisional Licence)	1
FU Ming	ATI402	Licensed Representative (Provisional Licence)	1
FUNG Chui Man	ATL984	Licensed Representative (Provisional Licence)	1
FUNG Wai Yee	ATH356	Licensed Representative (Provisional Licence)	4
GAN Ker Wei	AMB135	Licensed Representative (Provisional Licence)	1, 4, 6
GASQUERES Eric Yuya Francis	ATI745	Licensed Representative (Provisional Licence)	1, 2
GHOSH Rudrani	ATH674	Licensed Representative (Provisional Licence)	1, 2, 7
GREY Kathleen Maree	ATL480	Licensed Representative (Provisional Licence)	1
GRIVEL Shino Anne	ATI110	Licensed Representative (Provisional Licence)	1, 2
GUNN Magnus	ADC341	Licensed Representative (Provisional Licence)	1, 4
GUO Huan	ATJ194	Licensed Representative (Provisional Licence)	1, 2
HADLEY Norman Borradaile	ATH941	Licensed Representative (Provisional Licence)	1
HAMARD Eric, Jean-Claude, Lucien	ATF066	Licensed Representative (Provisional Licence)	1, 2, 7
HART William	ANR266	Licensed Representative (Provisional Licence)	1
HASLEM Scott Tudor	ATH823	Licensed Representative (Provisional Licence)	4
HESKETH Barnaby Alexander	ATI836	Licensed Representative (Provisional Licence)	1, 2
HEUNG Ho Pong	ATL988	Licensed Representative (Provisional Licence)	1, 2
HO Ka Wai	AJK540	Licensed Representative (Provisional Licence)	1
HO Kwok Wai	ATL880	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HO Ming Ho	ASW942	Licensed Representative (Provisional Licence)	1, 4
HO Ship Man	ATL493	Licensed Representative (Provisional Licence)	4
HO Siu Wai	ATI097	Licensed Representative (Provisional Licence)	2, 3
HO Tak Nam, Queeny	ALW473	Licensed Representative (Provisional Licence)	4, 9
HO Yui	ATI748	Licensed Representative (Provisional Licence)	1, 2
HON Ying Sum, Jeanly	AQU404	Licensed Representative (Provisional Licence)	1
HUANG Desmond Bor-Nian	ATM005	Licensed Representative (Provisional Licence)	1, 2
HUANG Yu	ATI173	Licensed Representative (Provisional Licence)	1, 2
HUI Fun	ATL481	Licensed Representative (Provisional Licence)	1
HUI Ka Chun	ATI612	Licensed Representative (Provisional Licence)	1
HUMPHREYS Paul	ATH916	Licensed Representative (Provisional Licence)	1
IIDA Taro	ATL706	Licensed Representative (Provisional Licence)	4
IWASAKI Ai	ATG867	Licensed Representative (Provisional Licence)	1, 2
JAMES Shane Charles	ATL423	Licensed Representative (Provisional Licence)	9
JHANG Sin-Lun	ATH710	Licensed Representative (Provisional Licence)	1
JIM Chu Sing	ATD286	Licensed Representative (Provisional Licence)	1
JIN Meng	ATI397	Licensed Representative (Provisional Licence)	1, 6
JU Jongyoon	ATJ082	Licensed Representative (Provisional Licence)	4, 9
JUNGERS Eric	ATH188	Licensed Representative (Provisional Licence)	1, 2, 7
KAM Fung Hang	ATI886	Licensed Representative (Provisional Licence)	1, 2, 3
KAN Pui Sai, Katie	ATJ266	Licensed Representative (Provisional Licence)	1
KAN Simon Kiu Dick	ATM045	Licensed Representative (Provisional Licence)	1
KEKKE Shuya	ATI096	Licensed Representative (Provisional Licence)	1, 2, 7
KELT Simon Edward Albert	ATI749	Licensed Representative (Provisional Licence)	1, 4
KHANNA Punit	ACE500	Licensed Representative (Provisional Licence)	1
KIM Joshua Taehyun	ATL814	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Sejoon	ATM418	Licensed Representative (Provisional Licence)	1, 6
KINARIWALA Mehul Vijaykumar	ATI284	Licensed Representative (Provisional Licence)	1, 2
KINNEAR-NOCK Scott William	AFH089	Licensed Representative (Provisional Licence)	1
KIR Eddie Jen	AOD040	Licensed Representative (Provisional Licence)	1, 4, 9
KODAGANALLUR NARAYANAN Venkateswaran	ATJ272	Licensed Representative (Provisional Licence)	1
KONG Koon Wai	AEC905	Licensed Representative (Provisional Licence)	1
KOO Ginam	ARB440	Licensed Representative (Provisional Licence)	1, 2
KUO Chen-feng	ATH912	Licensed Representative (Provisional Licence)	1
KWOK Wai Kit, George	ATJ061	Licensed Representative (Provisional Licence)	1
KWOK Wing Lun	AND706	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LACOURTE Amaury, Athanase, Dominique-Marie	ATG721	Licensed Representative (Provisional Licence)	1, 2, 7
LAI Dan	ATH908	Licensed Representative (Provisional Licence)	4, 6
LAI Kin Leung, Garey Alexander	AMI026	Licensed Representative (Provisional Licence)	1
LAI Siu Hong	ACO548	Licensed Representative (Provisional Licence)	1
LAI Wai Kwong, Daryl	AHC852	Licensed Representative (Provisional Licence)	4, 9
LAKHANPAL Ranjit	AFT173	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Chi Leung	ATH756	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Hung	ATL665	Licensed Representative (Provisional Licence)	4
LAM Kiu Fung, Kelvin	ATH406	Licensed Representative (Provisional Licence)	1, 6
LANE Graeme John	AOG216	Licensed Representative (Provisional Licence)	1
LANG Xiaolin	ATI900	Licensed Representative (Provisional Licence)	1, 4
LAU Hing Lung	APC583	Licensed Representative (Provisional Licence)	1
LAU Ka Lai, Anna	ATM454	Licensed Representative (Provisional Licence)	1, 4
LAU Man Chi	ATI155	Licensed Representative (Provisional Licence)	1, 2
LAU Siu Ying	ATI128	Licensed Representative (Provisional Licence)	1, 4
LAU Yim Hung	ATI139	Licensed Representative (Provisional Licence)	1, 4
LEA Robert Michael	ATL432	Licensed Representative (Provisional Licence)	1, 2
LEE Cheuk Wing	ATI914	Licensed Representative (Provisional Licence)	1
LEE Chia Tsan	ATJ205	Licensed Representative (Provisional Licence)	1, 2
LEE Chiu Man	ATE228	Licensed Representative (Provisional Licence)	1, 4
LEE Daeyoung	ARW396	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Eric	ATH805	Licensed Representative (Provisional Licence)	4
LEE Jong Won	ATF116	Licensed Representative (Provisional Licence)	1, 2
LEE Ka Hung	ATL799	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Ka Lee	ANN084	Licensed Representative (Provisional Licence)	1
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative (Provisional Licence)	1, 2, 3
LEE Wing Kea, Peggy	ADH933	Licensed Representative (Provisional Licence)	1, 2
LEONG Kai Soi	ATI419	Licensed Representative (Provisional Licence)	2
LEONG Kok Mun	ATI607	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Suet Ying	ANH755	Licensed Representative (Provisional Licence)	1, 4, 9
LI Hanting	ATI121	Licensed Representative (Provisional Licence)	1, 6
LI Heather	ATH555	Licensed Representative (Provisional Licence)	1, 6
LI Ka Shing	ATL873	Licensed Representative (Provisional Licence)	1, 2
LI Ka Yui	ATJ185	Licensed Representative (Provisional Licence)	1, 2
LI Kim Man	ATJ131	Licensed Representative (Provisional Licence)	1, 2, 7
LI Lin	ATL730	Licensed Representative (Provisional Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LI Man Wui	ATM468	Licensed Representative (Provisional Licence)	1, 2
LI Siu Pan, Vincent	ATH809	Licensed Representative (Provisional Licence)	4, 5
LI Wenshan	ATJ233	Licensed Representative (Provisional Licence)	1, 2, 3
LI Wing Yee, Monica	ACP864	Licensed Representative (Provisional Licence)	1, 2
LI Yuanjian	ATH997	Licensed Representative (Provisional Licence)	1, 6
LI Yunyi	ATH544	Licensed Representative (Provisional Licence)	1, 4, 6
LIAN Lian	ATI911	Licensed Representative (Provisional Licence)	1, 6
LIM Winnie Pui Yee	ATJ191	Licensed Representative (Provisional Licence)	1, 2
LIN Alen Cheng-Yi	ANW411	Licensed Representative (Provisional Licence)	4
LIN Kun Yu	ATJ188	Licensed Representative (Provisional Licence)	1, 2
LIN Yinji	ATL698	Licensed Representative (Provisional Licence)	4, 9
LING Pui Kwan	ATL571	Licensed Representative (Provisional Licence)	1, 2
LIU Baoman	ATL999	Licensed Representative (Provisional Licence)	1, 2
LIU Bernard Chihyu	AGY185	Licensed Representative (Provisional Licence)	1
LIU Mei Shan	ATI925	Licensed Representative (Provisional Licence)	4
LIU Po Wen	ATL504	Licensed Representative (Provisional Licence)	1, 2
LIU Rui	ATJ183	Licensed Representative (Provisional Licence)	1
LIU Wing Sze	ATI403	Licensed Representative (Provisional Licence)	4
LIU Xin	ATM443	Licensed Representative (Provisional Licence)	2
LIU Yi	ATI179	Licensed Representative (Provisional Licence)	1
LIU Ying	ATE914	Licensed Representative (Provisional Licence)	1, 4, 6
LO Chi Chung	ATJ130	Licensed Representative (Provisional Licence)	9
LO Kin Chung, Kendall	AME906	Licensed Representative (Provisional Licence)	9
LO Man Ching	ATL695	Licensed Representative (Provisional Licence)	1, 2
LO Ming Ho	AIB742	Licensed Representative (Provisional Licence)	4, 9
LO Yuk Wing, Alfred	ATL717	Licensed Representative (Provisional Licence)	1
LU Yajun	ATL483	Licensed Representative (Provisional Licence)	6
LUK Wing	ATL500	Licensed Representative (Provisional Licence)	4
MA Xin	AMJ747	Licensed Representative (Provisional Licence)	4
MAK Kar Wai, Carol Michele	ABX294	Licensed Representative (Provisional Licence)	4
MAN Chun Kit	ATH765	Licensed Representative (Provisional Licence)	1
MANN Gregory James	ARR531	Licensed Representative (Provisional Licence)	1
MAO Jun	ATL681	Licensed Representative (Provisional Licence)	1, 2
MAO Wei	ATD879	Licensed Representative (Provisional Licence)	1, 2
MATAYOSHI Yuka	APR966	Licensed Representative (Provisional Licence)	1
MENG Xiao	ATI361	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MILLHOUSE David Andrew	ATI899	Licensed Representative (Provisional Licence)	1
MO Chi Kan	ATJ192	Licensed Representative (Provisional Licence)	1, 2
MOK Hau Ming, Elizabeth	ADJ833	Licensed Representative (Provisional Licence)	1
MONTANARI Marco	ATI145	Licensed Representative (Provisional Licence)	1, 4
MORITA Sergio Takeo	ATL817	Licensed Representative (Provisional Licence)	1, 2
MURATA Mayuko	ATH905	Licensed Representative (Provisional Licence)	1
MUSETTI Robert Cesare	AAZ177	Licensed Representative (Provisional Licence)	1
NAGAI Hideki	ATL728	Licensed Representative (Provisional Licence)	4, 9
NANDWANI Roshan Ramesh	ATI142	Licensed Representative (Provisional Licence)	1
NEWMAN Neil Lionel	ABF170	Licensed Representative (Provisional Licence)	1, 4
NG Heung Hung	AJA984	Licensed Representative (Provisional Licence)	4
NG Ka Fuk	ABM509	Licensed Representative (Provisional Licence)	1, 4
NG Kam Tin, Agnes	ATI906	Licensed Representative (Provisional Licence)	1
NG Kwai Yee	ATI143	Licensed Representative (Provisional Licence)	1, 2
NG Siu Hang	ATL550	Licensed Representative (Provisional Licence)	1, 2
NG Yin	ATI770	Licensed Representative (Provisional Licence)	1, 2, 4
NISHBALL Daniel Aaron Basa	ATL586	Licensed Representative (Provisional Licence)	1
NOVAK Alexander Stanley	ATI171	Licensed Representative (Provisional Licence)	1, 2
OGAWA Masaki	AGS861	Licensed Representative (Provisional Licence)	1, 2
O'HALLORAN Paul Desmond	ATI406	Licensed Representative (Provisional Licence)	1, 4, 9
ONYSCHENKO Bogdan	ATI918	Licensed Representative (Provisional Licence)	1
O'SULLIVAN James Finbar	ATI586	Licensed Representative (Provisional Licence)	4
PANG Daisy Oy-man	ATM431	Licensed Representative (Provisional Licence)	1, 2
PANG Nicholas Lee	ATH808	Licensed Representative (Provisional Licence)	1, 2, 4
PARIKH Nirav Janak	ATM312	Licensed Representative (Provisional Licence)	1, 2
PARK Christina	ATI929	Licensed Representative (Provisional Licence)	1, 6
PATTERSON Richard John	AFK071	Licensed Representative (Provisional Licence)	1
PAZIENZA Mariapia	ATI583	Licensed Representative (Provisional Licence)	1
PECOT III Charles Matthew	AHA548	Licensed Representative (Provisional Licence)	1, 2, 7
PENN James William	ATI606	Licensed Representative (Provisional Licence)	1, 2
PETIT Bertrand, Marc	ATH185	Licensed Representative (Provisional Licence)	1, 2, 7
PHILLIPS Brenton James	APY814	Licensed Representative (Provisional Licence)	1
PIN Man Lan	AOO975	Licensed Representative (Provisional Licence)	1, 2, 4, 5
RAMSAY Gorden Alexander	ATG733	Licensed Representative (Provisional Licence)	4
REMA HARINARAYANAN Suhas	ATL667	Licensed Representative (Provisional Licence)	4
ROLLAND Nicolas, Philippe, Marie	ATH924	Licensed Representative (Provisional Licence)	1, 2, 7

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
ROY Philippe, Paul, Andre	ATL610	Licensed Representative (Provisional Licence)	1, 2, 7
RUANGRIT Kraingkrai	ATI757	Licensed Representative (Provisional Licence)	1, 2
SAITO Takayuki	ATG731	Licensed Representative (Provisional Licence)	1
SEE Choon May, Patricia	ATL804	Licensed Representative (Provisional Licence)	1
SETHURAMAN Anuradha	ATH917	Licensed Representative (Provisional Licence)	1
SHEN Chong	ATH920	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Peng	ATI393	Licensed Representative (Provisional Licence)	1
SHI Ruichao	ATH910	Licensed Representative (Provisional Licence)	1
SHI Yongtao	ATL611	Licensed Representative (Provisional Licence)	1
SHU Mei	ATH854	Licensed Representative (Provisional Licence)	1, 9
SOH Yangho	ATL169	Licensed Representative (Provisional Licence)	4
SONG Sally	APZ784	Licensed Representative (Provisional Licence)	1, 4
SURESH Adarsh	ATH913	Licensed Representative (Provisional Licence)	1
TAI Ching Kit	ATI282	Licensed Representative (Provisional Licence)	4
TAI Tak Yee, Yvonne	ADU050	Licensed Representative (Provisional Licence)	1, 4
TAJPURIYA Archish Gopal	ATJ274	Licensed Representative (Provisional Licence)	1
TAM Ka Yun	ATI412	Licensed Representative (Provisional Licence)	1, 2
TAM Wing Kin	ATL985	Licensed Representative (Provisional Licence)	3
TAM Yeuk Lan, Winnie Cordelia	AEO360	Licensed Representative (Provisional Licence)	1, 2
TAN Eugene Yi Jin	ATE886	Licensed Representative (Provisional Licence)	1, 2
TAN Jian Wei	ATL612	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Ka Choi, Jonathan	ATH815	Licensed Representative (Provisional Licence)	1
TAN Sze Ling, Snowy	AFD553	Licensed Representative (Provisional Licence)	9
TAPLEY Kevin Thomas	ATJ099	Licensed Representative (Provisional Licence)	1
TREHAN Ankur	ATL824	Licensed Representative (Provisional Licence)	1, 6
TSAI Hin Man, Daniel	ANJ771	Licensed Representative (Provisional Licence)	6
TSANG Choi Yi	ATM266	Licensed Representative (Provisional Licence)	4
TSANG Chun Wai	ATL969	Licensed Representative (Provisional Licence)	1
TSANG Po Shan	ATI742	Licensed Representative (Provisional Licence)	1, 2
TSANG Wai Chun	ATL475	Licensed Representative (Provisional Licence)	1
TSE King Chung, Kendall	ANN387	Licensed Representative (Provisional Licence)	1, 4
TSE Yee Hin, Tony	AHY113	Licensed Representative (Provisional Licence)	1, 6
TSO Heung Yeung	ARG328	Licensed Representative (Provisional Licence)	1, 2, 3
TSUI Wing Dung	ATJ180	Licensed Representative (Provisional Licence)	1
TSUNODA Shinsuke	ATJ052	Licensed Representative (Provisional Licence)	1, 4, 6
TUNG Siu Man	ATJ204	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TURCZYNIAK Andrew Walter	ALS639	Licensed Representative (Provisional Licence)	1, 4
VAN BUUREN Bastiaan Jeroen	AIL165	Licensed Representative (Provisional Licence)	1, 4
VILLAIT Anoopkumar Sadh	ATL471	Licensed Representative (Provisional Licence)	4, 9
WAI Kar Ming, Daisy	ATE872	Licensed Representative (Provisional Licence)	1, 2
WAN Tsz Kin, Linus	ATJ086	Licensed Representative (Provisional Licence)	1
WANG C C Clark	ANG377	Licensed Representative (Provisional Licence)	4, 5, 9
WANG Chung Hao	ATJ196	Licensed Representative (Provisional Licence)	1, 2
WANG Gamin Ma	ATB813	Licensed Representative (Provisional Licence)	1, 4
WANG Lun	ATI604	Licensed Representative (Provisional Licence)	1
WANG Qing	ATH764	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Su Huei Josephine	ATJ206	Licensed Representative (Provisional Licence)	1, 2
WANG Yiyi	ATH922	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yu Chen	ATI399	Licensed Representative (Provisional Licence)	1
WEI Bo	ATL426	Licensed Representative (Provisional Licence)	1
WEI Yucheng	ATI907	Licensed Representative (Provisional Licence)	9
WONG Cheuk Hung	AFC567	Licensed Representative (Provisional Licence)	1
WONG Chi Kin	AOT741	Licensed Representative (Provisional Licence)	1
WONG Chi Kwan	ATJ179	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Ching Ho	ATI527	Licensed Representative (Provisional Licence)	1, 2
WONG Chung Ting	ATI281	Licensed Representative (Provisional Licence)	1
WONG Ho Hon	ATJ181	Licensed Representative (Provisional Licence)	1
WONG Ka Kam	ATI921	Licensed Representative (Provisional Licence)	1
WONG Ka Ki, Eva	AQD871	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Yung	ATL727	Licensed Representative (Provisional Licence)	1
WONG Kwok Tung, Anthony	ALQ261	Licensed Representative (Provisional Licence)	9
WONG Lai Po	ATG727	Licensed Representative (Provisional Licence)	1
WONG Lena Yan Mei	AJO222	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ming Lai	AMH300	Licensed Representative (Provisional Licence)	1, 2
WONG Nelson	AGT986	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Keung	ATL879	Licensed Representative (Provisional Licence)	1, 2
WONG Yiu Man	ASE092	Licensed Representative (Provisional Licence)	1, 2
WU Shenbin	ALC370	Licensed Representative (Provisional Licence)	1
WYER Michael John	ATH336	Licensed Representative (Provisional Licence)	4
XIE Jianing	ATI263	Licensed Representative (Provisional Licence)	1, 6
XU Jinlong	ART863	Licensed Representative (Provisional Licence)	1
XU Nan	ATM316	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
XU Xiao	ATL670	Licensed Representative (Provisional Licence)	1, 4
YAN Tak Ming	ATL832	Licensed Representative (Provisional Licence)	1, 4
YANG Wei	ATL572	Licensed Representative (Provisional Licence)	4, 9
YAU Chi Man, Benjamin	ATL435	Licensed Representative (Provisional Licence)	1, 4, 6
YAU Chi Yip	ATL542	Licensed Representative (Provisional Licence)	4
YE Jin	ATJ155	Licensed Representative (Provisional Licence)	9
YEH Richard	ATH757	Licensed Representative (Provisional Licence)	4
YEN Edward	ATJ152	Licensed Representative (Provisional Licence)	4
YEUNG King Ha	ATL968	Licensed Representative (Provisional Licence)	1
YEUNG Sze Long	ATH827	Licensed Representative (Provisional Licence)	1
YEUNG Tsz Kit	ATM283	Licensed Representative (Provisional Licence)	4
YEUNG Wai Yiu	ATL720	Licensed Representative (Provisional Licence)	1, 2
YOO Hyun Chul	ATJ080	Licensed Representative (Provisional Licence)	4, 9
YOON Harold Steven	AMB594	Licensed Representative (Provisional Licence)	4, 9
YOSHIDA Tamiki	ATH904	Licensed Representative (Provisional Licence)	1
YOSHIKAWA Kazuo	ANY401	Licensed Representative (Provisional Licence)	1
YU Ken Min	ATH407	Licensed Representative (Provisional Licence)	1
YU Yi	ATI939	Licensed Representative (Provisional Licence)	1, 6
YU Zhibo	AQQ367	Licensed Representative (Provisional Licence)	1, 4
YUAN Chi	ATL424	Licensed Representative (Provisional Licence)	1
YUEN Tsz Lung	ATI409	Licensed Representative (Provisional Licence)	4
YUN Cheuk Ting Sara	ANU766	Licensed Representative (Provisional Licence)	1, 2
YUN Heekyu	ATJ399	Licensed Representative (Provisional Licence)	1, 2
ZENG Liang	ARB264	Licensed Representative (Provisional Licence)	9
ZENG Xiangyun	ATL711	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Bing	ATI035	Licensed Representative (Provisional Licence)	1, 2
ZHANG Rutie	ATL830	Licensed Representative (Provisional Licence)	2
ZHENG Andrew D	ATL787	Licensed Representative (Provisional Licence)	1, 6
ZHENG Yinan	ATH408	Licensed Representative (Provisional Licence)	1, 6
ZHOU Zhuo Hui	ATL987	Licensed Representative (Provisional Licence)	1, 2
ZHUO Yue	ATI938	Licensed Representative (Provisional Licence)	1, 6
ZOGHAIB Sam	ATI160	Licensed Representative (Provisional Licence)	1, 7
ZWICK David	ATL476	Licensed Representative (Provisional Licence)	1, 6
BAYVEL Kirk Forrest	ATH621	Licensed Representative (Temporary Licence)	1, 2
BIALLUCH Lilli Charlotte	ATI237	Licensed Representative (Temporary Licence)	4
CHINNERY Michelle Anne	ARD431	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
CRUZ Joseph	ATL697	Licensed Representative (Temporary Licence)	1
DIAO Roy Wei-Chien	ATI404	Licensed Representative (Temporary Licence)	1, 4
GONG Samuel Sangpil	ATI416	Licensed Representative (Temporary Licence)	1
GRIFFITHS Graeme Derek Victor	AOA583	Licensed Representative (Temporary Licence)	4
HAGSTROM JR Robert George	ATI149	Licensed Representative (Temporary Licence)	4
IMMEL Andreas Wolfram Peter	AMR653	Licensed Representative (Temporary Licence)	1
JOUBERT Pierre Delmar	ATI743	Licensed Representative (Temporary Licence)	1, 6
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
NEWMAN Michael Charles	ATI884	Licensed Representative (Temporary Licence)	1
OETOMO Teddy Nuryanto	ATH918	Licensed Representative (Temporary Licence)	4
OKUBO Hiroshi	ATI154	Licensed Representative (Temporary Licence)	4
PHLEGAR Jeffrey Scott	AMJ997	Licensed Representative (Temporary Licence)	4
PRICE Malcolm John	APY330	Licensed Representative (Temporary Licence)	1
REMOND Marie-Amelie Jeanne Mauricette	ASL015	Licensed Representative (Temporary Licence)	1
SINGH Gauravjit	ATJ285	Licensed Representative (Temporary Licence)	1, 6
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
TURNILL Richard Reginald	ATL723	Licensed Representative (Temporary Licence)	1, 4
WANA Arief Cahyadi	ATH915	Licensed Representative (Temporary Licence)	4
WHITE Adam Craig Tremain	ATF122	Licensed Representative (Temporary Licence)	1, 2
WINTER Philip Francis David	AQG466	Licensed Representative (Temporary Licence)	1
WRIGHT Peter John	ATI423	Licensed Representative (Temporary Licence)	1
YOKOI Naomi	ATI152	Licensed Representative (Temporary Licence)	4
BNP Paribas Securities Services	ASM083	Registered Institution	1