

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CKH HOLDINGS | 15,639,882 | \$ 836,733,687 |
| 2 | CLP HOLDINGS | 20,952,884 | \$ 1,512,798,225 |
| 3 | HK & CHINA GAS | 401,210,131 | \$ 4,405,287,238 |
| 4 | WHARF HOLDINGS | 20,379,348 | \$ 352,562,720 |
| 5 | HSBC HOLDINGS | 73,602,654 | \$ 3,128,112,795 |
| 6 | POWER ASSETS | 18,719,526 | \$ 774,052,400 |
| 8 | PCCW | 130,002,749 | \$ 595,412,590 |
| 10 | HANG LUNG GROUP | 2,788,304 | \$ 55,096,887 |
| 11 | HANG SENG BANK | 38,519,386 | \$ 5,631,534,233 |
| 12 | HENDERSON LAND | 27,333,483 | \$ 854,171,344 |
| 14 | HYSAN DEV | 11,769,944 | \$ 339,562,884 |
| 16 | SHK PPT | 17,416,280 | \$ 1,894,891,264 |
| 17 | NEW WORLD DEV | 23,219,362 | \$ 824,287,351 |
| 19 | SWIRE PACIFIC A | 12,032,103 | \$ 593,182,678 |
| 23 | BANK OF E ASIA | 38,000,256 | \$ 674,124,541 |
| 25 | CHEVALIER INT'L | 0 | \$ 0 |
| 26 | CHINA MOTOR BUS | 0 | \$ 0 |
| 27 | GALAXY ENT | 50,102,582 | \$ 3,454,573,029 |
| 28 | TIAN AN | 0 | \$ 0 |
| 29 | DYNAMIC HOLD | 62,000 | \$ 866,760 |
| 30 | BAN LOONG HOLD | 0 | \$ 0 |
| 32 | CROSS-HAR(HOLD) | 0 | \$ 0 |
| 34 | KOWLOON DEV | 0 | \$ 0 |
| 35 | FE CONSORT INTL | 3,935,537 | \$ 10,862,082 |
| 38 | FIRST TRACTOR | 3,688,000 | \$ 12,539,200 |
| 41 | GREAT EAGLE H | 712,597 | \$ 17,351,737 |
| 43 | C.P. POKPHAND | 0 | \$ 0 |
| 45 | HK&S HOTELS | 1,401,355 | \$ 10,089,756 |
| 50 | HK FERRY (HOLD) | 0 | \$ 0 |
| 52 | FAIRWOOD HOLD | 195,892 | \$ 3,467,288 |
| 53 | GUOCO GROUP | 0 | \$ 0 |
| 62 | TRANSPORT INT'L | 0 | \$ 0 |
| 66 | MTR CORPORATION | 58,286,148 | \$ 2,617,048,045 |
| 69 | SHANGRI-LA ASIA | 33,554,945 | \$ 243,273,351 |
| 71 | MIRAMAR HOTEL | 0 | \$ 0 |
| 75 | Y.T. REALTY | 0 | \$ 0 |
| 81 | CH OVS G OCEANS | 11,639,404 | \$ 46,906,798 |
| 83 | SINO LAND | 54,172,442 | \$ 617,565,839 |
| 86 | SUN HUNG KAI CO | 0 | \$ 0 |
| 87 | SWIRE PACIFIC B | 0 | \$ 0 |
| 88 | TAI CHEUNG HOLD | 137,000 | \$ 658,970 |

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|------------|-----------------|--|--|
| 95 | LVGEM CHINA | 9,582,000 | \$ 21,846,960 |
| 101 | HANG LUNG PPT | 59,245,215 | \$ 1,255,998,558 |
| 102 | SUMMIT ASCENT | 2,520,000 | \$ 2,419,200 |
| 105 | ASSO INT HOTELS | 0 | \$ 0 |
| 107 | SICHUAN EXPRESS | 2,150,000 | \$ 3,870,000 |
| 116 | CHOW SANG SANG | 1,944,602 | \$ 18,590,395 |
| 119 | POLY PROPERTY | 13,751,948 | \$ 31,216,922 |
| 120 | COSMOPOL INT'L | 3,696,000 | \$ 4,767,840 |
| 123 | YUEXIU PROPERTY | 25,735,650 | \$ 41,434,397 |
| 127 | CHINESE EST H | 0 | \$ 0 |
| 135 | KUNLUN ENERGY | 62,495,433 | \$ 468,715,748 |
| 136 | HENG TEN NET | 206,710,379 | \$ 2,153,922,149 |
| 142 | FIRST PACIFIC | 16,252,023 | \$ 41,442,659 |
| 144 | CHINA MER PORT | 33,575,784 | \$ 376,720,296 |
| 148 | KINGBOARD HLDG | 5,909,847 | \$ 208,617,599 |
| 151 | WANT WANT CHINA | 377,082,328 | \$ 2,175,765,033 |
| 152 | SHENZHEN INT'L | 17,164,141 | \$ 214,208,480 |
| 158 | MELBOURNE ENT | 0 | \$ 0 |
| 165 | CHINA EB LTD | 15,279,212 | \$ 155,236,794 |
| 168 | TSINGTAO BREW | 17,743,926 | \$ 1,369,831,087 |
| 171 | SILVER GRANT | 2,206,000 | \$ 1,875,100 |
| 173 | K. WAH INT'L | 2,506,852 | \$ 9,676,449 |
| 175 | GEELY AUTO | 71,753,623 | \$ 2,141,845,647 |
| 177 | JIANGSU EXPRESS | 19,297,042 | \$ 170,778,822 |
| 178 | SA SA INT'L | 70,298,794 | \$ 118,804,962 |
| 179 | JOHNSON ELEC H | 800,841 | \$ 20,021,025 |
| 182 | CONCORD NE | 2,230,000 | \$ 1,271,100 |
| 185 | ZENSUN ENT | 5,790,000 | \$ 2,634,450 |
| 189 | DONGYUE GROUP | 30,612,854 | \$ 176,636,168 |
| 194 | LIU CHONG HING | 0 | \$ 0 |
| 200 | MELCO INT'L DEV | 35,170,447 | \$ 535,294,203 |
| 207 | JOY CITY PPT | 3,150,000 | \$ 1,606,500 |
| 208 | POLYTEC ASSET | 0 | \$ 0 |
| 215 | HUTCHTEL HK | 12,876,000 | \$ 16,095,000 |
| 220 | U-PRESID CHINA | 30,745,349 | \$ 274,555,967 |
| 225 | POKFULAM | 0 | \$ 0 |
| 230 | MINMETALS LAND | 0 | \$ 0 |
| 241 | ALI HEALTH | 85,364,754 | \$ 2,338,994,260 |
| 242 | SHUN TAK HOLD | 41,366,230 | \$ 98,865,290 |
| 247 | TST PROPERTIES | 0 | \$ 0 |
| 251 | SEA HOLDINGS | 0 | \$ 0 |

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| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 256 | CITYCHAMP | 10,322,000 | \$ 15,792,660 |
| 257 | EB ENVIRONMENT | 118,483,156 | \$ 550,946,675 |
| 261 | GBA HOLDINGS | 0 | \$ 0 |
| 267 | CITIC | 77,475,497 | \$ 473,375,287 |
| 268 | KINGDEE INT'L | 158,381,375 | \$ 5,994,735,044 |
| 270 | GUANGDONG INV | 41,366,593 | \$ 566,722,324 |
| 272 | SHUI ON LAND | 38,843,613 | \$ 44,281,719 |
| 285 | BYD ELECTRONIC | 80,978,537 | \$ 4,417,379,193 |
| 286 | AIDIGONG | 8,380,000 | \$ 4,357,600 |
| 288 | WH GROUP | 233,194,757 | \$ 1,499,442,288 |
| 289 | WING ON CO | 0 | \$ 0 |
| 291 | CHINA RES BEER | 36,946,330 | \$ 2,632,426,013 |
| 293 | CATHAY PAC AIR | 203,405,615 | \$ 1,334,340,834 |
| 297 | SINOFERT | 4,046,382 | \$ 4,572,412 |
| 302 | CMGE | 32,058,000 | \$ 115,088,220 |
| 303 | VTECH HOLDINGS | 1,330,946 | \$ 87,775,889 |
| 305 | WULING MOTORS | 27,110,000 | \$ 59,099,800 |
| 308 | CHINA TRAVEL HK | 52,674,888 | \$ 64,790,112 |
| 315 | SMARTONE TELE | 5,673,753 | \$ 24,226,925 |
| 316 | OOIL | 200,000 | \$ 14,970,000 |
| 317 | COMEC | 4,034,949 | \$ 33,933,921 |
| 322 | TINGYI | 171,301,261 | \$ 2,569,518,915 |
| 323 | MAANSHAN IRON | 17,392,498 | \$ 38,785,271 |
| 326 | CHINA STAR ENT | 2,089,926 | \$ 2,716,904 |
| 327 | PAX GLOBAL | 3,941,403 | \$ 34,290,206 |
| 336 | HUABAO INTL | 23,848,144 | \$ 230,611,552 |
| 337 | GREENLAND HK | 3,528,000 | \$ 8,114,400 |
| 338 | SHANGHAI PEICHEM | 83,126,691 | \$ 151,290,578 |
| 341 | CAFE DE CORAL H | 6,947,811 | \$ 118,390,699 |
| 345 | VITASOY INT'L | 59,483,742 | \$ 2,022,447,228 |
| 347 | ANGANG STEEL | 90,273,689 | \$ 293,389,489 |
| 354 | CHINASOFT INT'L | 44,211,354 | \$ 440,345,086 |
| 357 | MEILAN AIRPORT | 2,035,000 | \$ 84,452,500 |
| 358 | JIANGXI COPPER | 36,187,714 | \$ 553,672,024 |
| 363 | SHANGHAI IND H | 6,828,496 | \$ 72,655,197 |
| 369 | WING TAI PPT | 0 | \$ 0 |
| 371 | BJ ENT WATER | 235,558,516 | \$ 742,009,325 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 376 | YUNFENG FIN | 0 | \$ 0 |
| 382 | EDVANTAGE GROUP | 992,000 | \$ 9,324,800 |
| 384 | CHINA GAS HOLD | 63,782,219 | \$ 1,903,899,237 |

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| 386 | SINOPEC CORP | 291,782,606 | \$ 1,111,691,729 |
| 388 | HKEX | 8,527,650 | \$ 4,562,292,750 |
| 390 | CHINA RAILWAY | 106,866,348 | \$ 388,993,507 |
| 392 | BEIJING ENT | 8,005,211 | \$ 210,537,049 |
| 405 | YUEXIU REIT | 0 | \$ 0 |
| 410 | SOHO CHINA | 48,844,357 | \$ 115,761,126 |
| 412 | CSFG | 0 | \$ 0 |
| 416 | BANKOFJINZHOU | 0 | \$ 0 |
| 425 | MINTH GROUP | 13,104,022 | \$ 511,712,059 |
| 435 | SUNLIGHT REIT | 0 | \$ 0 |
| 440 | DAH SING | 1,197,742 | \$ 27,548,066 |
| 451 | GCL NEWENERGY | 95,523,215 | \$ 44,895,911 |
| 460 | SIHUAN PHARM | 189,040,440 | \$ 431,012,203 |
| 467 | UNITEDENERGY GP | 30,548,000 | \$ 45,516,520 |
| 468 | GAPACK | 6,720,294 | \$ 27,620,408 |
| 480 | HKR INT'L | 0 | \$ 0 |
| 486 | RUSAL | 13,242,000 | \$ 50,054,760 |
| 489 | DONGFENG GROUP | 54,157,471 | \$ 443,549,687 |
| 493 | GOME RETAIL | 1,714,463,847 | \$ 2,880,299,263 |
| 496 | KASEN | 2,707,649 | \$ 4,061,474 |
| 506 | CHINA FOODS | 2,610,318 | \$ 8,091,986 |
| 511 | TVB | 3,052,106 | \$ 26,980,617 |
| 512 | CHINAGRANDPHARM | 11,449,480 | \$ 71,215,766 |
| 517 | COSCO SHIP INTL | 1,164,439 | \$ 2,736,432 |
| 520 | XIABUXIABU | 27,890,317 | \$ 757,222,107 |
| 522 | ASM PACIFIC | 8,532,390 | \$ 1,028,152,995 |
| 525 | GUANGSHEN RAIL | 39,554,345 | \$ 55,771,626 |
| 535 | GEMDALE PPT | 61,918,864 | \$ 69,968,316 |
| 546 | FUFENG GROUP | 3,615,567 | \$ 12,329,083 |
| 547 | DIGITAL DOMAIN | 172,578,856 | \$ 18,120,780 |
| 548 | SHENZHENEXPRESS | 22,655,103 | \$ 162,210,537 |
| 551 | YUE YUEN IND | 15,851,144 | \$ 282,150,363 |
| 552 | CHINACOMSERVICE | 47,624,159 | \$ 169,542,006 |
| 563 | SH IND URBAN | 13,192,962 | \$ 9,894,722 |
| 564 | ZMJ | 5,255,200 | \$ 79,353,520 |
| 570 | TRAD CHI MED | 82,451,590 | \$ 372,681,187 |
| 576 | ZHEJIANGEXPRESS | 65,109,443 | \$ 434,279,985 |
| 579 | JNCEC | 23,628,511 | \$ 59,780,133 |
| 580 | SUN.KING TECH | 9,324,000 | \$ 34,965,000 |
| 581 | CHINA ORIENTAL | 23,105,249 | \$ 53,142,073 |
| 586 | CONCH VENTURE | 38,324,141 | \$ 1,372,004,248 |

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| 588 | BEIJING N STAR | 4,570,000 | \$ 6,535,100 |
| 590 | LUK FOOK HOLD | 1,138,043 | \$ 20,348,209 |
| 598 | SINOTRANS | 45,558,493 | \$ 129,386,120 |
| 603 | CHINA OIL & GAS | 0 | \$ 0 |
| 604 | SHENZHEN INVEST | 46,430,066 | \$ 121,646,773 |
| 607 | FULLSHARE | 256,874,669 | \$ 40,329,323 |
| 622 | OSHIDORI | 0 | \$ 0 |
| 631 | SANY INT'L | 24,574,065 | \$ 218,217,697 |
| 636 | KERRY LOG NET | 4,851,537 | \$ 117,892,349 |
| 639 | SHOUGANG RES | 13,503,200 | \$ 25,656,080 |
| 656 | FOSUN INTL | 73,206,026 | \$ 878,472,312 |
| 658 | C TRANSMISSION | 22,752,133 | \$ 172,916,211 |
| 659 | NWS HOLDINGS | 23,275,552 | \$ 183,644,105 |
| 662 | ASIA FINANCIAL | 0 | \$ 0 |
| 665 | HAITONG INT'L | 84,153,516 | \$ 201,126,903 |
| 667 | CHINA EAST EDU | 27,957,103 | \$ 531,184,957 |
| 669 | TECHTRONIC IND | 15,761,618 | \$ 1,952,864,470 |
| 670 | CHINA EAST AIR | 81,492,973 | \$ 279,520,897 |
| 683 | KERRY PPT | 5,658,288 | \$ 124,765,250 |
| 686 | BJ ENERGY INTL | 15,941,300 | \$ 4,463,564 |
| 688 | CHINA OVERSEAS | 53,185,802 | \$ 963,726,732 |
| 691 | SHANSHUI CEMENT | 0 | \$ 0 |
| 694 | BEIJING AIRPORT | 95,541,965 | \$ 520,703,709 |
| 696 | TRAVELSKY TECH | 33,343,301 | \$ 596,845,088 |
| 697 | SHOUCHENG | 48,127,268 | \$ 92,885,627 |
| 698 | TONGDA GROUP | 39,284,333 | \$ 23,570,600 |
| 699 | CAR INC | 9,723,213 | \$ 38,795,620 |
| 700 | TENCENT | 47,760,073 | \$ 36,154,375,261 |
| 708 | EVERG VEHICLE | 34,697,704 | \$ 2,088,801,781 |
| 709 | GIORDANO INT'L | 3,342,822 | \$ 4,746,807 |
| 710 | BOE VARITRONIX | 0 | \$ 0 |
| 728 | CHINA TELECOM | 35,837,347 | \$ 79,558,910 |
| 732 | TRULY INT'L | 19,075,626 | \$ 36,434,446 |
| 737 | BAY AREA DEV | 0 | \$ 0 |
| 743 | ASIA CEMENT CH | 8,316,744 | \$ 57,551,868 |
| 751 | SKYWORTH GROUP | 24,511,818 | \$ 58,338,127 |
| 753 | AIR CHINA | 111,440,839 | \$ 669,759,442 |
| 754 | HOPSON DEV HOLD | 23,731,533 | \$ 535,146,069 |
| 762 | CHINA UNICOM | 26,350,224 | \$ 117,258,497 |
| 763 | ZTE | 47,970,183 | \$ 1,043,351,480 |
| 772 | CHINA LIT | 71,770,505 | \$ 6,086,138,824 |

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|------------|-----------------|--|--|
| 775 | CKLIFE SCIENCES | 20,417,975 | \$ 17,559,459 |
| 777 | NETDRAGON | 5,865,117 | \$ 115,542,805 |
| 778 | FORTUNE REIT | 0 | \$ 0 |
| 780 | TONGCHENG-ELONG | 48,267,815 | \$ 681,541,548 |
| 788 | CHINA TOWER | 1,875,547,808 | \$ 2,100,613,545 |
| 799 | IGG | 44,039,447 | \$ 612,148,313 |
| 806 | VALUE PARTNERS | 30,133,310 | \$ 172,362,533 |
| 807 | SIICENVIRONMENT | 0 | \$ 0 |
| 808 | PROSPERITY REIT | 0 | \$ 0 |
| 811 | XINHUA WINSHARE | 871,000 | \$ 4,285,320 |
| 813 | SHIMAO GROUP | 10,997,796 | \$ 244,151,071 |
| 817 | CHINA JINMAO | 139,818,047 | \$ 447,417,750 |
| 818 | HI SUN TECH | 17,937,000 | \$ 48,429,900 |
| 819 | TIANNENG POWER | 134,450,724 | \$ 2,046,340,019 |
| 823 | LINK REIT | 18,245,461 | \$ 1,227,919,525 |
| 832 | CENTRAL CHINA | 7,798,000 | \$ 27,215,020 |
| 836 | CHINA RES POWER | 41,093,071 | \$ 338,606,905 |
| 839 | CHINA EDU GROUP | 51,507,894 | \$ 875,634,198 |
| 853 | MICROPORT | 42,674,998 | \$ 2,432,474,886 |
| 855 | CHINA WATER | 53,364,227 | \$ 325,521,785 |
| 856 | VSTECs | 8,972,280 | \$ 77,161,608 |
| 857 | PETROCHINA | 637,498,330 | \$ 1,549,120,942 |
| 860 | APOLLO FMG | 140,252,194 | \$ 92,566,448 |
| 861 | DC HOLDINGS | 29,284,040 | \$ 183,318,090 |
| 863 | BC TECH GROUP | 1,683,500 | \$ 29,562,260 |
| 867 | CMS | 25,136,032 | \$ 347,379,962 |
| 868 | XINYI GLASS | 53,474,986 | \$ 1,192,492,188 |
| 874 | BAIYUNSHAN PH | 8,313,378 | \$ 169,177,242 |
| 880 | SJM HOLDINGS | 100,078,086 | \$ 987,770,709 |
| 881 | ZHONGSHENG HLDG | 221,873,073 | \$ 11,581,774,411 |
| 883 | CNOOC | 55,300,749 | \$ 481,669,524 |
| 884 | CIFI HOLD GP | 76,625,507 | \$ 540,209,824 |
| 902 | HUANENG POWER | 141,267,060 | \$ 353,167,650 |
| 906 | COFCO PACKAGING | 8,815,438 | \$ 40,815,478 |
| 909 | MING YUAN CLOUD | 2,000,000 | \$ 108,600,000 |
| 914 | CONCH CEMENT | 25,020,826 | \$ 1,152,209,037 |
| 916 | CHINA LONGYUAN | 135,193,602 | \$ 1,911,637,532 |
| 921 | HISENSE HA | 4,610,900 | \$ 66,581,396 |
| 934 | SINOPEC KANTONS | 21,936,171 | \$ 63,395,534 |
| 939 | CCB | 357,147,866 | \$ 2,225,031,205 |
| 941 | CHINA MOBILE | 19,567,332 | \$ 928,469,903 |

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| 950 | LEE'S PHARM | 2,391,561 | \$ 14,612,438 |
| 951 | CHAOWEI POWER | 1,072,000 | \$ 3,752,000 |
| 956 | CHINA SUNTIEN | 67,887,844 | \$ 171,077,367 |
| 960 | LONGFOR GROUP | 26,723,640 | \$ 1,109,031,060 |
| 966 | CHINA TAIPING | 50,975,815 | \$ 728,954,155 |
| 968 | XINYI SOLAR | 169,112,621 | \$ 3,324,754,129 |
| 973 | L'OCCITANE | 521,000 | \$ 11,670,400 |
| 978 | CHI MER LAND | 0 | \$ 0 |
| 981 | SMIC | 38,341,265 | \$ 985,370,511 |
| 991 | DATANG POWER | 81,690,533 | \$ 84,141,249 |
| 992 | LENOVO GROUP | 663,263,158 | \$ 6,632,631,580 |
| 995 | ANHUIEXPRESSWAY | 6,980,000 | \$ 34,969,800 |
| 998 | CITIC BANK | 176,908,961 | \$ 643,948,618 |
| 1003 | HUANXI MEDIA | 780,000 | \$ 1,302,600 |
| 1024 | KUAISHOU-W | 3,605,861 | \$ 1,435,132,678 |
| 1030 | SEAZEN | 50,106,417 | \$ 368,783,229 |
| 1031 | KINGSTON FIN | 0 | \$ 0 |
| 1033 | SINOPEC SSC | 20,524,000 | \$ 13,340,600 |
| 1038 | CKI HOLDINGS | 10,042,959 | \$ 425,319,314 |
| 1044 | HENGAN INT'L | 67,131,572 | \$ 3,631,818,045 |
| 1052 | YUEXIUTRANSPORT | 9,260,467 | \$ 47,413,591 |
| 1055 | CHINA SOUTH AIR | 131,832,641 | \$ 647,298,267 |
| 1060 | ALI PICTURES | 642,468,530 | \$ 687,441,327 |
| 1061 | ESSEX BIO-TECH | 1,117,874 | \$ 4,706,250 |
| 1065 | TIANJIN CAPITAL | 474,000 | \$ 1,592,640 |
| 1066 | WEIGAO GROUP | 103,262,946 | \$ 1,625,358,770 |
| 1070 | TCL ELECTRONICS | 15,269,731 | \$ 111,163,642 |
| 1071 | HUADIAN POWER | 50,437,246 | \$ 100,874,492 |
| 1072 | DONGFANG ELEC | 17,001,100 | \$ 128,528,316 |
| 1083 | TOWNGAS CHINA | 5,202,035 | \$ 17,530,858 |
| 1088 | CHINA SHENHUA | 69,470,772 | \$ 973,980,223 |
| 1093 | CSPC PHARMA | 154,552,578 | \$ 1,270,422,191 |
| 1098 | ROAD KING INFRA | 1,783,177 | \$ 17,760,443 |
| 1099 | SINOPHARM | 65,566,014 | \$ 1,237,886,344 |
| 1109 | CHINA RES LAND | 26,989,531 | \$ 843,422,844 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1112 | H&H INTL HLDG | 8,836,978 | \$ 331,828,524 |
| 1113 | CK ASSET | 18,918,615 | \$ 755,798,669 |
| 1114 | BRILLIANCE CHI | 81,567,931 | \$ 600,339,972 |
| 1117 | CH MODERN D | 515,612,155 | \$ 1,325,123,238 |
| 1119 | IDREAMSKY | 103,189,600 | \$ 492,214,392 |

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| 1128 | WYNN MACAU | 119,620,121 | \$ 1,681,858,901 |
| 1133 | HARBIN ELECTRIC | 5,165,326 | \$ 11,260,411 |
| 1137 | HKTV | 6,577,000 | \$ 103,785,060 |
| 1138 | COSCO SHIP ENGY | 84,803,831 | \$ 266,284,029 |
| 1141 | CMBC CAPITAL | 121,620,000 | \$ 14,107,920 |
| 1157 | ZOOMLION | 77,962,237 | \$ 1,007,272,102 |
| 1171 | YANZHOU COAL | 83,803,761 | \$ 522,935,469 |
| 1176 | ZHUGUANG HOLD | 4,630,000 | \$ 4,954,100 |
| 1177 | SINO BIOPHARM | 444,583,550 | \$ 3,369,943,309 |
| 1179 | HUAZHU-S | 0 | \$ 0 |
| 1186 | CHINA RAIL CONS | 2,697,855 | \$ 12,706,897 |
| 1193 | CHINA RES GAS | 25,614,773 | \$ 1,037,398,307 |
| 1196 | REALORD GROUP | 1,088,000 | \$ 6,832,640 |
| 1199 | COSCO SHIP PORT | 20,055,531 | \$ 114,717,637 |
| 1208 | MMG | 137,373,038 | \$ 605,815,098 |
| 1209 | CHINA RES MIXC | 0 | \$ 0 |
| 1211 | BYD COMPANY | 51,920,490 | \$ 13,758,929,850 |
| 1212 | LIFESTYLE INT'L | 7,901,493 | \$ 47,329,943 |
| 1216 | ZYBANK | 0 | \$ 0 |
| 1221 | SINO HOTELS | 0 | \$ 0 |
| 1224 | C C LAND | 0 | \$ 0 |
| 1231 | NEWTON RES | 0 | \$ 0 |
| 1233 | TIMES CHINA | 12,425,589 | \$ 130,468,685 |
| 1234 | CHINA LILANG | 4,713,035 | \$ 24,979,086 |
| 1238 | POWERLONG | 5,329,711 | \$ 28,194,171 |
| 1252 | CHINA TIANRUI | 1,593,000 | \$ 10,067,760 |
| 1257 | CEB GREENTECH | 30,052,295 | \$ 101,877,280 |
| 1258 | CHINFMINING | 0 | \$ 0 |
| 1268 | MEIDONG AUTO | 16,168,405 | \$ 481,818,469 |
| 1269 | FIRST CAP GP | 21,632,000 | \$ 2,617,472 |
| 1271 | GRAND MING | 0 | \$ 0 |
| 1282 | GLORY SUN FIN | 77,488,150 | \$ 23,633,886 |
| 1288 | ABC | 330,031,280 | \$ 940,589,148 |
| 1299 | AIA | 70,600,438 | \$ 7,307,145,333 |
| 1302 | LIFETECH SCI | 71,798,203 | \$ 346,067,338 |
| 1308 | SITC | 22,118,864 | \$ 452,330,769 |
| 1310 | HKBN | 11,638,868 | \$ 135,243,646 |
| 1313 | CHINARES CEMENT | 26,858,155 | \$ 234,740,275 |
| 1316 | NEXTEER | 33,862,173 | \$ 423,277,163 |
| 1317 | MAPLELEAF EDU | 249,954,716 | \$ 489,911,243 |
| 1323 | HUASHENG INTL | 11,860,000 | \$ 9,843,800 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1330 | DYNAGREEN ENV | 2,743,000 | \$ 8,613,020 |
| 1333 | CHINA ZHONGWANG | 63,902,693 | \$ 131,000,521 |
| 1336 | NCI | 15,719,939 | \$ 462,952,204 |
| 1337 | RAZER | 196,929,098 | \$ 620,326,659 |
| 1339 | PICC GROUP | 155,034,675 | \$ 373,633,567 |
| 1347 | HUA HONG SEMI | 33,937,847 | \$ 2,044,755,282 |
| 1359 | CHINA CINDA | 247,828,123 | \$ 371,742,185 |
| 1366 | JIANGNAN GP | 0 | \$ 0 |
| 1368 | XTEP INT'L | 68,752,176 | \$ 245,445,268 |
| 1375 | CC SECURITIES | 9,303,000 | \$ 14,698,740 |
| 1378 | CHINAHONGQIAO | 157,019,109 | \$ 1,435,154,656 |
| 1381 | CANVEST ENV | 9,231,808 | \$ 30,095,694 |
| 1382 | PACIFICTEXTILES | 8,085,179 | \$ 40,425,895 |
| 1383 | SUNCITY GROUP | 37,060,000 | \$ 23,347,800 |
| 1385 | SHANGHAI FUDAN | 6,147,000 | \$ 83,599,200 |
| 1398 | ICBC | 316,368,607 | \$ 1,581,843,035 |
| 1415 | COWELL | 2,635,000 | \$ 15,546,500 |
| 1426 | SPRING REIT | 0 | \$ 0 |
| 1428 | BRIGHT SMART | 3,981,330 | \$ 8,838,553 |
| 1432 | CHINA SHENGMU | 4,038,694 | \$ 3,109,794 |
| 1448 | FU SHOU YUAN | 32,534,960 | \$ 253,772,688 |
| 1456 | GUOLIAN SEC | 2,942,500 | \$ 12,123,100 |
| 1458 | ZHOU HEI YA | 130,525,523 | \$ 1,396,623,096 |
| 1475 | NISSIN FOODS | 16,838,562 | \$ 118,711,862 |
| 1477 | OCUMENSION-B | 277,500 | \$ 7,270,500 |
| 1478 | Q TECH | 8,895,001 | \$ 150,325,517 |
| 1492 | ZHONGDI DAIRY | 0 | \$ 0 |
| 1501 | KDL MEDICAL | 447,000 | \$ 20,874,900 |
| 1503 | CMC REIT | 0 | \$ 0 |
| 1508 | CHINA RE | 48,038,955 | \$ 40,352,722 |
| 1513 | LIVZON PHARMA | 3,698,126 | \$ 109,279,623 |
| 1515 | CR MEDICAL | 18,390,773 | \$ 142,712,398 |
| 1521 | FRONTAGE | 14,827,424 | \$ 98,009,273 |
| 1528 | RS MACALLINE | 4,299,597 | \$ 20,595,070 |
| 1530 | 3SBIO | 169,524,927 | \$ 1,351,113,668 |
| 1548 | GENSCRIPT BIO | 48,895,209 | \$ 598,477,358 |
| 1551 | GRCB | 0 | \$ 0 |
| 1558 | HEC PHARM | 34,583,360 | \$ 275,975,213 |
| 1565 | VIRSCEND EDU | 6,417,000 | \$ 11,678,940 |
| 1566 | CA CULTURAL | 2,327,000 | \$ 6,562,140 |
| 1568 | SUNDART HLDGS | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1569 | MINSHENG EDU | 1,080,000 | \$ 1,749,600 |
| 1578 | BANK OF TIANJIN | 0 | \$ 0 |
| 1579 | YIHAI INTL | 34,394,133 | \$ 4,908,042,779 |
| 1585 | YADEA | 8,916,686 | \$ 193,937,921 |
| 1589 | CNLP | 26,955,805 | \$ 126,692,284 |
| 1600 | TIAN LUN GAS | 1,163,741 | \$ 8,483,672 |
| 1606 | CDB LEASING | 0 | \$ 0 |
| 1608 | VPOWER GROUP | 16,821,820 | \$ 40,204,150 |
| 1610 | COFCO JOYCOME | 175,909,658 | \$ 821,498,103 |
| 1618 | MCC | 23,185,834 | \$ 35,938,043 |
| 1622 | REDCO GROUP | 28,701,740 | \$ 80,364,872 |
| 1628 | YUZHOU GROUP | 68,647,577 | \$ 191,526,740 |
| 1638 | KAISA GROUP | 81,912,245 | \$ 303,894,429 |
| 1658 | PSBC | 683,296,195 | \$ 4,079,278,284 |
| 1660 | ZHAOBANGJI PPT | 0 | \$ 0 |
| 1666 | TONG REN TANG | 11,424,690 | \$ 58,380,166 |
| 1668 | CHINASOUTHCITY | 35,490,005 | \$ 30,166,504 |
| 1672 | ASCLETIS-B | 9,251,000 | \$ 27,290,450 |
| 1675 | ASIAINFO TECH | 6,262,400 | \$ 79,532,480 |
| 1680 | MACAU LEGEND | 0 | \$ 0 |
| 1681 | CONSUN PHARMA | 1,191,822 | \$ 3,968,767 |
| 1686 | SUNEVISION | 8,784,145 | \$ 65,529,722 |
| 1717 | AUSNUTRIA | 29,309,991 | \$ 370,478,286 |
| 1727 | HEBEI CONS | 17,781,170 | \$ 58,677,861 |
| 1755 | S-ENJOY SERVICE | 1,777,000 | \$ 33,265,440 |
| 1763 | CIRC | 141,200 | \$ 4,419,560 |
| 1765 | HOPE EDU | 106,662,590 | \$ 356,253,051 |
| 1766 | CRRC | 19,499,743 | \$ 65,129,142 |
| 1769 | SCHOLAR EDU | 6,590,000 | \$ 69,326,800 |
| 1772 | GANFENGLITHIUM | 3,098,100 | \$ 397,486,230 |
| 1773 | TIANLI EDU | 8,328,000 | \$ 82,780,320 |
| 1776 | GF SEC | 46,068,614 | \$ 505,833,382 |
| 1777 | FANTASIA | 22,819,786 | \$ 26,699,150 |
| 1778 | COLOUR LIFE | 20,667,052 | \$ 73,368,035 |
| 1787 | SD GOLD | 31,425,860 | \$ 514,755,587 |
| 1788 | GUOTAI JUNAN I | 84,301,270 | \$ 115,492,740 |
| 1789 | AK MEDICAL | 72,766,080 | \$ 1,018,725,120 |
| 1797 | KOOLEARN | 77,778,781 | \$ 2,006,692,550 |
| 1798 | DATANG RENEW | 11,816,000 | \$ 23,513,840 |
| 1799 | XINTE ENERGY | 4,402,000 | \$ 122,375,600 |
| 1800 | CHINA COMM CONS | 13,303,034 | \$ 48,156,983 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1801 | INNOVENT BIO | 34,049,602 | \$ 3,319,836,195 |
| 1806 | HUIFU PAYMENT | 1,243,600 | \$ 4,315,292 |
| 1809 | PRINX CHENGSHAN | 0 | \$ 0 |
| 1810 | XIAOMI-W | 180,889,592 | \$ 5,073,953,056 |
| 1811 | CGN NEW ENERGY | 39,361,250 | \$ 82,265,013 |
| 1812 | CHENMING PAPER | 18,641,500 | \$ 121,915,410 |
| 1813 | KWG GROUP | 38,803,549 | \$ 407,437,265 |
| 1816 | CGN POWER | 68,364,138 | \$ 115,535,393 |
| 1817 | MULSANNE GROUP | 3,926,000 | \$ 25,322,700 |
| 1818 | ZHAOJIN MINING | 50,125,318 | \$ 423,558,937 |
| 1821 | ESR | 32,996,549 | \$ 933,802,337 |
| 1829 | CMEC | 8,902,706 | \$ 31,604,606 |
| 1833 | PA GOODDOCTOR | 88,798,136 | \$ 11,366,161,408 |
| 1836 | STELLA HOLDINGS | 2,360,000 | \$ 22,089,600 |
| 1839 | CIMC VEHICLES | 304,500 | \$ 2,161,950 |
| 1848 | CALC | 1,637,533 | \$ 10,840,468 |
| 1858 | CHUNLI MEDICAL | 5,006,166 | \$ 108,133,186 |
| 1860 | MOBVISTA | 1,422,000 | \$ 13,011,300 |
| 1862 | JINGRUI HLDGS | 0 | \$ 0 |
| 1873 | VIVA BIOTECH | 66,238,066 | \$ 502,084,540 |
| 1876 | BUD APAC | 161,712,421 | \$ 4,236,865,430 |
| 1877 | JUNSHI BIO | 5,138,246 | \$ 467,837,298 |
| 1881 | REGAL REIT | 0 | \$ 0 |
| 1882 | HAITIAN INT'L | 4,000,297 | \$ 124,009,207 |
| 1883 | CITIC TELECOM | 3,805,055 | \$ 9,360,435 |
| 1888 | KB LAMINATES | 27,947,085 | \$ 387,346,598 |
| 1890 | CHINA KEPEI | 4,192,000 | \$ 24,481,280 |
| 1896 | MAOYAN ENT | 8,787,104 | \$ 136,200,112 |
| 1898 | CHINA COAL | 32,281,176 | \$ 71,987,022 |
| 1899 | XINGDA INT'L | 1,096,952 | \$ 2,358,447 |
| 1907 | CHINA RISUN GP | 3,205,000 | \$ 14,134,050 |
| 1908 | C&D INTL GROUP | 8,341,948 | \$ 102,939,638 |
| 1909 | FIRE ROCK | 0 | \$ 0 |
| 1910 | SAMSONITE | 68,635,844 | \$ 933,447,478 |
| 1911 | CR HOLDINGS | 767,372 | \$ 21,908,471 |
| 1913 | PRADA | 13,922,051 | \$ 678,003,884 |
| 1916 | JIANGXI BANK | 0 | \$ 0 |
| 1918 | SUNAC | 49,869,058 | \$ 1,501,058,646 |
| 1919 | COSCO SHIP HOLD | 137,960,764 | \$ 1,058,159,060 |
| 1928 | SANDS CHINA LTD | 51,428,237 | \$ 1,743,417,234 |
| 1929 | CHOW TAI FOOK | 3,916,077 | \$ 39,043,288 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1935 | JH EDUCATION | 0 | \$ 0 |
| 1951 | JXR | 45,651,577 | \$ 915,314,119 |
| 1958 | BAIC MOTOR | 96,779,363 | \$ 271,950,010 |
| 1963 | BCQ | 2,646,309 | \$ 12,464,115 |
| 1966 | CHINA SCE GROUP | 18,598,000 | \$ 55,608,020 |
| 1970 | IMAX CHINA | 2,856,000 | \$ 39,984,000 |
| 1972 | SWIREPROPERTIES | 37,897,270 | \$ 854,583,439 |
| 1981 | CATHAY EDU | 1,036,000 | \$ 7,873,600 |
| 1987 | BENG SOON MACH | 0 | \$ 0 |
| 1988 | MINSHENG BANK | 660,671,189 | \$ 3,091,941,165 |
| 1992 | FOSUN TOURISM | 567,200 | \$ 6,670,272 |
| 1995 | EVERSUNSHINE LS | 28,116,435 | \$ 660,736,223 |
| 1996 | RSUN PPT | 5,532,840 | \$ 14,440,712 |
| 1997 | WHARF REIC | 103,074,934 | \$ 4,530,143,349 |
| 1999 | MAN WAH HLDGS | 19,446,187 | \$ 416,148,402 |
| 2001 | NEW HIGHER EDU | 24,683,981 | \$ 131,071,939 |
| 2005 | SSY GROUP | 111,423,225 | \$ 501,404,513 |
| 2006 | JINJIANGCAPITAL | 11,064,000 | \$ 17,038,560 |
| 2007 | COUNTRY GARDEN | 425,067,965 | \$ 3,936,129,356 |
| 2009 | BBMG | 56,233,303 | \$ 83,787,621 |
| 2013 | WEIMOB INC | 237,136,723 | \$ 6,983,676,492 |
| 2016 | CZBANK | 1,008,000 | \$ 3,840,480 |
| 2018 | AAC TECH | 97,624,662 | \$ 4,124,641,970 |
| 2019 | DEXIN CHINA | 3,147,000 | \$ 9,378,060 |
| 2020 | ANTA SPORTS | 53,593,368 | \$ 7,787,116,370 |
| 2038 | FIH | 253,957,546 | \$ 299,669,904 |
| 2039 | CIMC | 9,481,391 | \$ 115,483,342 |
| 2048 | E-HOUSE ENT | 3,486,900 | \$ 24,931,335 |
| 2057 | ZTO EXPRESS-SW | 140,950 | \$ 40,565,410 |
| 2066 | SHENGJINGBANK | 0 | \$ 0 |
| 2098 | ZALL SMARTCOM | 10,370,000 | \$ 6,429,400 |
| 2099 | CHINAGOLDINTL | 499,900 | \$ 10,897,820 |
| 2103 | SINIC HOLDINGS | 0 | \$ 0 |
| 2128 | CHINA LESSO | 30,695,424 | \$ 479,462,523 |
| 2138 | UM HEALTHCARE | 0 | \$ 0 |
| 2139 | BANK OF GANSU | 2,112,000 | \$ 2,745,600 |
| 2163 | BROAD HOMES | 3,270,600 | \$ 45,788,400 |
| 2186 | LUYE PHARMA | 156,106,959 | \$ 872,637,901 |
| 2196 | FOSUN PHARMA | 49,076,151 | \$ 2,083,282,610 |
| 2198 | CHINA SANJIANG | 2,193,000 | \$ 8,377,260 |
| 2202 | CHINA VANKE | 35,627,908 | \$ 1,051,023,286 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2208 | GOLDWIND | 246,431,565 | \$ 4,278,051,968 |
| 2231 | JY GRANDMARK | 0 | \$ 0 |
| 2232 | CRYSTAL INTL | 0 | \$ 0 |
| 2233 | WESTCHINACEMENT | 93,339,295 | \$ 106,406,796 |
| 2238 | GAC GROUP | 225,296,955 | \$ 1,761,822,188 |
| 2269 | WUXI BIO | 26,346,699 | \$ 3,277,529,356 |
| 2282 | MGM CHINA | 75,672,937 | \$ 976,180,887 |
| 2299 | BILLION IND | 0 | \$ 0 |
| 2313 | SHENZHOU INTL | 9,216,349 | \$ 1,670,002,439 |
| 2314 | LEE & MAN PAPER | 11,716,342 | \$ 83,537,518 |
| 2318 | PING AN | 668,129,173 | \$ 59,997,999,735 |
| 2319 | MENGNU DAIRY | 45,945,954 | \$ 2,251,351,746 |
| 2322 | HK CHAOSHANG GP | 2,576,000 | \$ 2,627,520 |
| 2328 | PICC P&C | 219,080,613 | \$ 1,290,384,811 |
| 2329 | GUORUI PPT | 5,430,000 | \$ 3,963,900 |
| 2331 | LI NING | 23,221,905 | \$ 1,240,049,727 |
| 2333 | GREATWALL MOTOR | 172,041,593 | \$ 4,705,337,569 |
| 2338 | WEICHAI POWER | 56,678,717 | \$ 1,408,466,117 |
| 2342 | COMBA | 149,548,517 | \$ 357,420,956 |
| 2343 | PACIFIC BASIN | 142,576,968 | \$ 213,865,452 |
| 2356 | DAHSING BANKING | 715,790 | \$ 5,783,583 |
| 2357 | AVICHINA | 67,025,376 | \$ 403,492,764 |
| 2359 | WUXI APPTec | 23,016,998 | \$ 4,759,915,186 |
| 2362 | JINCHUAN INTL | 49,364,000 | \$ 55,781,320 |
| 2378 | PRU | 0 | \$ 0 |
| 2380 | CHINA POWER | 120,633,910 | \$ 223,172,734 |
| 2382 | SUNNY OPTICAL | 22,206,916 | \$ 4,929,935,352 |
| 2383 | TOM GROUP | 0 | \$ 0 |
| 2386 | SINOPEC SEG | 16,254,152 | \$ 62,578,485 |
| 2388 | BOC HONG KONG | 41,145,561 | \$ 973,092,518 |
| 2400 | XD INC | 7,778,926 | \$ 852,570,290 |
| 2500 | VENUS MEDTECH-B | 9,164,500 | \$ 763,402,850 |
| 2552 | HUA MEDICINE-B | 2,131,476 | \$ 11,723,118 |
| 2588 | BOC AVIATION | 22,104,335 | \$ 1,417,993,090 |
| 2600 | CHALCO | 160,233,349 | \$ 439,039,376 |
| 2601 | CPIC | 66,779,763 | \$ 2,434,122,361 |
| 2606 | LANGUANGJUSTBON | 2,260,400 | \$ 82,052,520 |
| 2607 | SH PHARMA | 13,743,746 | \$ 181,417,447 |
| 2608 | SUNSHINE 100 | 0 | \$ 0 |
| 2611 | GTJA | 6,697,201 | \$ 71,927,939 |
| 2616 | CSTONE PHARMA-B | 9,040,895 | \$ 98,907,391 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2628 | CHINA LIFE | 121,457,900 | \$ 1,991,909,560 |
| 2638 | HKELECTRIC-SS | 74,794,893 | \$ 567,693,238 |
| 2666 | UNI MEDICAL | 10,195,646 | \$ 65,965,830 |
| 2669 | CHINA OVS PPT | 98,107,364 | \$ 496,423,262 |
| 2678 | TEXHONG TEXTILE | 1,021,000 | \$ 10,230,420 |
| 2688 | ENN ENERGY | 18,921,597 | \$ 2,367,091,785 |
| 2689 | ND PAPER | 48,719,227 | \$ 682,069,178 |
| 2696 | HENLIUS-B | 543,839 | \$ 22,786,854 |
| 2727 | SH ELECTRIC | 59,275,001 | \$ 187,901,753 |
| 2768 | JIAYUAN INTL | 3,539,287 | \$ 11,042,575 |
| 2772 | ZHONGLIANG HLDG | 11,349,000 | \$ 55,837,080 |
| 2777 | R&F PROPERTIES | 94,524,501 | \$ 927,285,355 |
| 2778 | CHAMPION REIT | 6,977,531 | \$ 30,701,136 |
| 2799 | CHINA HUARONG | 171,299,296 | \$ 152,456,373 |
| 2800 | TRACKER FUND | 12,916,000 | \$ 391,613,120 |
| 2801 | ISHARES CHINA | 0 | \$ 0 |
| 2802 | ISHARES EM ASIA | 0 | \$ 0 |
| 2803 | PP BEDROCK | 0 | \$ 0 |
| 2804 | PREMIA VIET | 0 | \$ 0 |
| 2805 | VANGUARDASXJP | 0 | \$ 0 |
| 2806 | GX CN CONSUME | 0 | \$ 0 |
| 2807 | GX CN ROBO&AI | 0 | \$ 0 |
| 2809 | GX CN CLN EN | 0 | \$ 0 |
| 2810 | PREMIAEMASEAN | 0 | \$ 0 |
| 2811 | HT CSI300 ETF | 0 | \$ 0 |
| 2812 | SAMUNGC DGN | 0 | \$ 0 |
| 2813 | CAM CTPB BOND | 0 | \$ 0 |
| 2819 | ABF HK IDX ETF | 0 | \$ 0 |
| 2820 | GX CN BIOTECH | 0 | \$ 0 |
| 2821 | ABF PAIF | 0 | \$ 0 |
| 2822 | CSOP A50 ETF | 47,177,077 | \$ 1,074,693,814 |
| 2823 | ISHARES A50 | 59,372,497 | \$ 1,375,067,031 |
| 2824 | LIPPO HK&M PROP | 0 | \$ 0 |
| 2825 | WISECSIHK100ETF | 0 | \$ 0 |
| 2826 | GX CN CLOUD | 0 | \$ 0 |
| 2827 | WISE CSI300 ETF | 0 | \$ 0 |
| 2828 | HSCEI ETF | 6,130,350 | \$ 736,868,070 |
| 2832 | BOSERA FA50 | 0 | \$ 0 |
| 2833 | HS HSI ETF | 0 | \$ 0 |
| 2834 | ISHARESND100 | 0 | \$ 0 |
| 2836 | ISHARES INDIA | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2838 | HS FCI50 ETF | 0 | \$ 0 |
| 2840 | SPDR GOLD TRUST | 0 | \$ 0 |
| 2843 | AMUNDI A50 | 0 | \$ 0 |
| 2845 | GX CN ELE VEH | 0 | \$ 0 |
| 2846 | ISHARESCSI300 | 0 | \$ 0 |
| 2848 | TRMSCIKOREA | 0 | \$ 0 |
| 2858 | YIXIN | 17,746,355 | \$ 53,771,456 |
| 2866 | COSCO SHIP DEV | 94,756,366 | \$ 114,655,203 |
| 2868 | BJ CAPITAL LAND | 10,444,000 | \$ 13,368,320 |
| 2869 | GREENTOWN SER | 79,895,582 | \$ 670,323,933 |
| 2877 | SHINEWAY PHARM | 2,695,700 | \$ 15,149,834 |
| 2883 | CHINA OILFIELD | 96,790,876 | \$ 953,390,129 |
| 2888 | STANCHART | 635,318 | \$ 31,384,709 |
| 2899 | ZIJIN MINING | 116,920,025 | \$ 1,332,888,285 |
| 3005 | CSOPCSI500ETF | 0 | \$ 0 |
| 3007 | TRFXIC50 | 0 | \$ 0 |
| 3010 | ISHARES AXJ | 0 | \$ 0 |
| 3011 | A ICBCICUSD | 0 | \$ 0 |
| 3012 | AMUNDI HK35 | 0 | \$ 0 |
| 3015 | X TRNIFTY50 | 0 | \$ 0 |
| 3016 | TRMSCIPHIL | 0 | \$ 0 |
| 3019 | X TRMSCIWORLD | 0 | \$ 0 |
| 3020 | X TRMSCIUSA | 0 | \$ 0 |
| 3022 | ISHAREMSCIEM | 0 | \$ 0 |
| 3023 | PA AI&ROBOTICS | 0 | \$ 0 |
| 3024 | WISE SSE50ETF | 0 | \$ 0 |
| 3027 | X TRMSCIRUSSIA | 0 | \$ 0 |
| 3031 | HT CH A ESG | 0 | \$ 0 |
| 3032 | HSTECH ETF | 0 | \$ 0 |
| 3033 | CSOP HS TECH | 0 | \$ 0 |
| 3036 | TRMSCITAIWAN | 0 | \$ 0 |
| 3040 | GX MSCI CHINA | 0 | \$ 0 |
| 3043 | TRMSCIPACEXJ | 0 | \$ 0 |
| 3047 | F SSIFIRONORE | 0 | \$ 0 |
| 3048 | TRMSCIBRAZIL | 0 | \$ 0 |
| 3049 | X TRCSI300 | 0 | \$ 0 |
| 3053 | CSOP HKD MM | 0 | \$ 0 |
| 3055 | TRMSCICHINA | 0 | \$ 0 |
| 3065 | TRMSCISG | 0 | \$ 0 |
| 3067 | ISHAREHSTECH | 1,824,600 | \$ 39,484,344 |
| 3070 | PING AN HKDIV | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3071 | A CICC HKD | 0 | \$ 0 |
| 3072 | NIKKOAM INET | 0 | \$ 0 |
| 3073 | SPDR GREATCHINA | 0 | \$ 0 |
| 3074 | ISHARESMSCITW | 0 | \$ 0 |
| 3077 | PREMIA UST | 0 | \$ 0 |
| 3079 | CICC CGB | 0 | \$ 0 |
| 3080 | PING AN CGB | 0 | \$ 0 |
| 3081 | VALUEGOLD ETF | 0 | \$ 0 |
| 3082 | TRMSCIMALAY | 0 | \$ 0 |
| 3085 | VANGUARDAXJHY | 0 | \$ 0 |
| 3086 | BMO NASDAQ100 | 0 | \$ 0 |
| 3087 | X TRFTSEVIET | 0 | \$ 0 |
| 3088 | CAM HS TECH | 0 | \$ 0 |
| 3091 | A NIKKOAMGAME | 0 | \$ 0 |
| 3092 | TRMSCITHAI | 0 | \$ 0 |
| 3093 | CICCSELECT100 | 0 | \$ 0 |
| 3096 | CSOP USD MM | 0 | \$ 0 |
| 3097 | F GX OIL | 0 | \$ 0 |
| 3099 | X TRMSCIINDO | 0 | \$ 0 |
| 3100 | EFUND CSI300 | 0 | \$ 0 |
| 3101 | VANGUARDDDVEUR | 0 | \$ 0 |
| 3109 | CSOP STAR 50 | 0 | \$ 0 |
| 3110 | GX HS HIGH DIV | 0 | \$ 0 |
| 3115 | ISHARESHSI | 0 | \$ 0 |
| 3118 | HGI MSCI CN A | 0 | \$ 0 |
| 3121 | BMO AP REAL EST | 0 | \$ 0 |
| 3122 | CSOP RMB MM | 0 | \$ 0 |
| 3126 | VANGUARDJAPAN | 0 | \$ 0 |
| 3127 | GX CSI300 | 0 | \$ 0 |
| 3128 | HS AINDTOPETF | 0 | \$ 0 |
| 3130 | HS CSI300 | 0 | \$ 0 |
| 3140 | VANGUARDSP500 | 0 | \$ 0 |
| 3141 | BMO ASIA IGB | 0 | \$ 0 |
| 3143 | BMO HK BANKS | 0 | \$ 0 |
| 3145 | BMO ASIA HIGHDV | 0 | \$ 0 |
| 3147 | CSOP CHINEXT | 0 | \$ 0 |
| 3149 | CSOP MSCI | 0 | \$ 0 |
| 3157 | CAM SCSMALLCAP | 0 | \$ 0 |
| 3160 | BMO JAPAN HDG | 0 | \$ 0 |
| 3163 | PA MULTI-FACTOR | 0 | \$ 0 |
| 3165 | BMO EU QLTY HDG | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3166 | PA QLTY FACTOR | 0 | \$ 0 |
| 3167 | ICBCCSOPCHINA | 0 | \$ 0 |
| 3169 | VANGUARDCHINA | 0 | \$ 0 |
| 3170 | ISHARESXS200 | 0 | \$ 0 |
| 3173 | PP CN NEWCON | 0 | \$ 0 |
| 3175 | F SAMSUNG OIL | 0 | \$ 0 |
| 3181 | PREMIAASIA TEC | 0 | \$ 0 |
| 3182 | WISE NEW ECON50 | 0 | \$ 0 |
| 3186 | CICCKRANECNET | 0 | \$ 0 |
| 3187 | SAMSUNG REITS | 0 | \$ 0 |
| 3188 | CAM CSI300 | 18,622,447 | \$ 1,325,918,226 |
| 3191 | GX CN SEMICON | 0 | \$ 0 |
| 3193 | CSOP CSI 5G | 0 | \$ 0 |
| 3197 | CAM MSCI AINC | 0 | \$ 0 |
| 3198 | PING AN 5HANDL | 0 | \$ 0 |
| 3199 | CSOP CTPBOND | 0 | \$ 0 |
| 3301 | RONSHINECHINA | 16,160,240 | \$ 88,719,718 |
| 3306 | JNBY | 2,314,500 | \$ 23,931,930 |
| 3308 | GOLDEN EAGLE | 1,705,000 | \$ 12,037,300 |
| 3309 | C-MER EYE | 11,896,000 | \$ 72,208,720 |
| 3311 | CHINA STATE CON | 37,645,739 | \$ 179,193,718 |
| 3316 | BINJIANG SER | 0 | \$ 0 |
| 3319 | A-LIVING | 58,117,188 | \$ 1,938,208,220 |
| 3320 | CHINARES PHARMA | 81,967,556 | \$ 348,362,113 |
| 3323 | CNBM | 68,453,301 | \$ 687,271,142 |
| 3328 | BANKCOMM | 168,240,257 | \$ 728,480,313 |
| 3331 | VINDA INT'L | 40,924,489 | \$ 1,010,834,878 |
| 3333 | EVERGRANDE | 221,490,651 | \$ 3,565,999,481 |
| 3336 | JU TENG INTL | 2,369,424 | \$ 5,260,121 |
| 3339 | LONKING | 49,467,891 | \$ 150,382,389 |
| 3347 | TIGERMED | 1,322,758 | \$ 246,032,988 |
| 3360 | FE HORIZON | 148,125,688 | \$ 1,201,299,330 |
| 3377 | SINO-OCEAN GP | 46,528,932 | \$ 73,515,713 |
| 3380 | LOGAN GROUP | 20,450,360 | \$ 240,496,234 |
| 3383 | AGILE GROUP | 54,980,682 | \$ 550,906,434 |
| 3396 | LEGENDHOLDING | 6,114,864 | \$ 72,155,395 |
| 3606 | FUYAO GLASS | 20,192,349 | \$ 1,342,791,209 |
| 3613 | TONGRENTANGCM | 5,123,358 | \$ 45,290,485 |
| 3618 | CQRC BANK | 22,754,860 | \$ 78,731,816 |
| 3633 | ZHONGYU GAS | 784,000 | \$ 5,488,000 |
| 3639 | YIDA CHINA | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3662 | AOYUAN HEALTHY | 29,960,731 | \$ 159,091,482 |
| 3668 | YANCOAL AUS | 0 | \$ 0 |
| 3669 | YONGDA AUTO | 40,130,311 | \$ 466,314,214 |
| 3681 | SINOMAB BIO-B | 0 | \$ 0 |
| 3690 | MEITUAN-W | 29,445,082 | \$ 13,103,061,490 |
| 3692 | HANSOH PHARMA | 42,940,462 | \$ 1,822,822,612 |
| 3709 | EEKA FASHION | 1,501,500 | \$ 18,168,150 |
| 3718 | BJ ENT URBAN | 39,488,000 | \$ 61,206,400 |
| 3738 | VOBILE GROUP | 2,054,000 | \$ 96,538,000 |
| 3759 | PHARMARON | 3,843,104 | \$ 614,896,640 |
| 3798 | HOMELAND ITL | 358,000 | \$ 2,369,960 |
| 3799 | DALI FOODS | 67,169,150 | \$ 310,321,473 |
| 3800 | GCL-POLY ENERGY | 846,761,805 | \$ 3,090,680,588 |
| 3808 | SINOTRUK | 33,419,555 | \$ 902,327,985 |
| 3813 | POU SHENG INT'L | 4,167,000 | \$ 7,042,230 |
| 3818 | CHINA DONGXIANG | 81,367,236 | \$ 73,230,512 |
| 3836 | HARMONY AUTO | 25,797,346 | \$ 89,258,817 |
| 3866 | BQD | 0 | \$ 0 |
| 3868 | XINYI ENERGY | 39,867,530 | \$ 213,689,961 |
| 3877 | CSSC SHIPPING | 0 | \$ 0 |
| 3883 | CHINA AOYUAN | 33,812,883 | \$ 241,762,113 |
| 3888 | KINGSOFT | 111,836,601 | \$ 8,689,703,898 |
| 3898 | CRRC TIMES ELEC | 8,026,244 | \$ 294,563,155 |
| 3899 | CIMC ENRIC | 23,507,522 | \$ 119,183,137 |
| 3900 | GREENTOWN CHINA | 62,700,100 | \$ 655,843,046 |
| 3908 | CICC | 219,840,169 | \$ 4,605,651,541 |
| 3913 | KWG LIVING | 10,510,177 | \$ 97,429,341 |
| 3918 | NAGACORP | 12,426,000 | \$ 117,301,440 |
| 3933 | UNITED LAB | 8,855,903 | \$ 51,098,560 |
| 3958 | DFZQ | 4,010,800 | \$ 21,257,240 |
| 3968 | CM BANK | 98,800,366 | \$ 6,397,323,699 |
| 3969 | CHINA CRSC | 48,999,705 | \$ 142,099,145 |
| 3978 | BESTSTUDY EDU | 0 | \$ 0 |
| 3983 | CHINA BLUECHEM | 28,613,278 | \$ 51,503,900 |
| 3988 | BANK OF CHINA | 1,116,333,067 | \$ 3,014,099,281 |
| 3990 | MIDEA REAL EST | 2,745,714 | \$ 45,304,281 |
| 3993 | CMOC | 208,530,311 | \$ 1,184,452,166 |
| 3996 | CH ENERGY ENG | 0 | \$ 0 |
| 3998 | BOSIDENG | 253,373,694 | \$ 924,813,983 |
| 4332 | AMGEN-T | 0 | \$ 0 |
| 4333 | CISCO-T | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-------------------|--|--|
| 4335 | INTEL-T | 0 | \$ 0 |
| 4336 | APPL MATERIAL-T | 0 | \$ 0 |
| 4337 | STARBUCKS-T | 0 | \$ 0 |
| 4338 | MICROSOFT-T | 0 | \$ 0 |
| 6030 | CITIC SEC | 326,825,996 | \$ 5,791,356,649 |
| 6049 | POLY PPT SER | 15,093,318 | \$ 816,548,504 |
| 6055 | CTIHK | 9,013,803 | \$ 149,629,130 |
| 6060 | ZA ONLINE | 72,372,577 | \$ 4,016,678,024 |
| 6066 | CSC | 62,659,778 | \$ 695,523,536 |
| 6068 | WISDOM EDU INTL | 9,940,000 | \$ 37,871,400 |
| 6069 | SHENGYE CAPITAL | 9,399,559 | \$ 62,413,072 |
| 6078 | HYGEIA HEALTH | 1,482,400 | \$ 104,064,480 |
| 6088 | FIT HON TENG | 108,162,883 | \$ 336,386,566 |
| 6098 | CG SERVICES | 109,039,999 | \$ 7,022,175,936 |
| 6099 | CMSC | 4,225,955 | \$ 48,006,849 |
| 6100 | TONGDAO LIEPIN | 1,085,800 | \$ 21,716,000 |
| 6110 | TOPSPORTS | 54,839,304 | \$ 696,459,161 |
| 6111 | DAFA PPT | 0 | \$ 0 |
| 6122 | JIUTAI RCB | 0 | \$ 0 |
| 6138 | HARBIN BANK | 0 | \$ 0 |
| 6158 | ZHENRO PPT | 54,407,359 | \$ 242,112,748 |
| 6160 | BEIGENE | 430,700 | \$ 98,199,600 |
| 6166 | CHINA VAST | 470,000 | \$ 1,452,300 |
| 6169 | YUHUA EDU | 190,499,662 | \$ 1,350,642,604 |
| 6178 | EB SECURITIES | 15,676,324 | \$ 107,853,109 |
| 6185 | CANSINO BIO-B | 8,710,529 | \$ 3,651,453,757 |
| 6186 | CHINA FEIHE | 45,474,211 | \$ 1,055,001,695 |
| 6196 | BANK OF ZHENGZHOU | 1,133,601 | \$ 2,278,538 |
| 6198 | QINGDAO PORT | 10,146,200 | \$ 44,643,280 |
| 6199 | BANK OF GUIZHOU | 0 | \$ 0 |
| 6618 | JD HEALTH | 4,435,369 | \$ 705,667,208 |
| 6666 | EVERG SERVICES | 2,322,000 | \$ 41,006,520 |
| 6690 | HAIER SMARTHOME | 195,518,419 | \$ 7,067,990,847 |
| 6806 | SWHY | 6,822,657 | \$ 14,668,713 |
| 6808 | SUNART RETAIL | 209,960,692 | \$ 1,490,720,913 |
| 6818 | CEB BANK | 24,650,664 | \$ 82,579,724 |
| 6820 | FRIENDTIMES | 3,616,000 | \$ 10,522,560 |
| 6823 | HKT-SS | 23,618,424 | \$ 248,465,820 |
| 6826 | HAOHAI BIOTEC | 946,400 | \$ 62,840,960 |
| 6837 | HAITONG SEC | 170,875,519 | \$ 1,180,749,836 |
| 6855 | ASCENTAGE-B | 3,593,300 | \$ 140,857,360 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6862 | HAILILAO | 73,582,114 | \$ 6,044,770,665 |
| 6865 | FLAT GLASS | 62,517,192 | \$ 2,113,081,090 |
| 6868 | TENFU | 0 | \$ 0 |
| 6869 | YOFC | 7,213,675 | \$ 75,022,220 |
| 6878 | DIFFER GROUP | 32,428,000 | \$ 23,672,440 |
| 6881 | CGS | 146,354,603 | \$ 682,012,450 |
| 6886 | HTSC | 66,826,796 | \$ 804,594,624 |
| 6889 | DYNAM JAPAN | 200,000 | \$ 1,580,000 |
| 6919 | RENUI HR | 1,594,000 | \$ 41,364,300 |
| 6958 | ZHENRO SERVICES | 3,816,000 | \$ 16,523,280 |
| 6968 | GANGLONG CHINA | 0 | \$ 0 |
| 6969 | SMOORE INTL | 22,410,874 | \$ 1,690,900,443 |
| 6988 | JOY SPREADER | 0 | \$ 0 |
| 6993 | BLUE MOON GROUP | 23,174,000 | \$ 365,222,240 |
| 7200 | FL2 CSOP HSI | 0 | \$ 0 |
| 7205 | FL2SAMSUNGHSI | 0 | \$ 0 |
| 7221 | FL2CAMHSI | 0 | \$ 0 |
| 7226 | XL2CSOPHSTECH | 0 | \$ 0 |
| 7228 | FL2SAMSUNGHSCEI | 0 | \$ 0 |
| 7230 | FL2 GX HSCEI | 0 | \$ 0 |
| 7231 | FL2 GX HSI | 0 | \$ 0 |
| 7233 | XL2CSOPCSI300 | 0 | \$ 0 |
| 7248 | XL2 CSOP A50 | 0 | \$ 0 |
| 7261 | FL2CAMDXNNDQ100 | 0 | \$ 0 |
| 7266 | FL2CSOPNASDAQ | 0 | \$ 0 |
| 7272 | XL2CAMCSI300 | 0 | \$ 0 |
| 7288 | FL2 CSOP HSCEI | 0 | \$ 0 |
| 7299 | FL2CSOPGOLD | 0 | \$ 0 |
| 7300 | FI CSOP HSI | 0 | \$ 0 |
| 7312 | FISAMSUNGHSI | 0 | \$ 0 |
| 7321 | FICAMHSI | 0 | \$ 0 |
| 7322 | XI GX S&P500 | 0 | \$ 0 |
| 7328 | FISAMSUNGHSCEI | 0 | \$ 0 |
| 7331 | FICAMDXNNDQ100 | 0 | \$ 0 |
| 7333 | XICSOPCSI300 | 0 | \$ 0 |
| 7336 | FI GX HSI | 0 | \$ 0 |
| 7348 | XI CSOP A50 | 0 | \$ 0 |
| 7362 | FI GX HSCEI | 0 | \$ 0 |
| 7373 | XICAMCSI300 | 0 | \$ 0 |
| 7500 | FI2 CSOP HSI | 85,927,400 | \$ 354,708,307 |
| 7522 | FI2CAMDXNNDQ100 | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 7552 | XI2CSOPHSTECH | 0 | \$ 0 |
| 7568 | FI2CSOPNASDAQ | 0 | \$ 0 |
| 7588 | FI2 CSOP HSCEI | 0 | \$ 0 |
| 8032 | VIVA CHINA | 0 | \$ 0 |
| 8083 | CHINA YOUZAN | 136,137,830 | \$ 528,214,780 |
| 9010 | ISHARES AXJ-U | 0 | \$ 0 |
| 9011 | A ICBCCICUSD-U | 0 | \$ 0 |
| 9022 | ISHARESMSCIEM-U | 0 | \$ 0 |
| 9031 | HT CH A ESG-U | 0 | \$ 0 |
| 9047 | F SSIFIRONORE-U | 0 | \$ 0 |
| 9067 | ISHARESHSTECH-U | 0 | \$ 0 |
| 9072 | NIKKOAM INET-U | 0 | \$ 0 |
| 9074 | ISHARESMSCITW-U | 0 | \$ 0 |
| 9077 | PREMIA UST-U | 0 | \$ 0 |
| 9078 | PREMIA UST A-U | 0 | \$ 0 |
| 9081 | VALUEGOLD ETF-U | 0 | \$ 0 |
| 9085 | VANGUARDAXJHY-U | 0 | \$ 0 |
| 9086 | BMO NASDAQ100-U | 0 | \$ 0 |
| 9088 | CAM HS TECH-U | 0 | \$ 0 |
| 9091 | A NIKKOAMGAME-U | 0 | \$ 0 |
| 9096 | CSOP USD MM-U | 0 | \$ 0 |
| 9101 | VANGUARDDDVEUR-U | 0 | \$ 0 |
| 9115 | ISHARESHSI-U | 0 | \$ 0 |
| 9126 | VANGUARDJAPAN-U | 0 | \$ 0 |
| 9140 | VANGUARDSP500-U | 0 | \$ 0 |
| 9141 | BMO ASIA IGB-U | 0 | \$ 0 |
| 9167 | ICBCCSOPCHINA-U | 0 | \$ 0 |
| 9169 | VANGUARDCHINA-U | 0 | \$ 0 |
| 9170 | ISHARESXS200-U | 0 | \$ 0 |
| 9173 | PP CN NEWECON-U | 0 | \$ 0 |
| 9181 | PREMIAASIA TEC-U | 0 | \$ 0 |
| 9186 | CICCKRANECNET-U | 0 | \$ 0 |
| 9187 | SAMSUNG REITS-U | 0 | \$ 0 |
| 9191 | GX CN SEMICON-U | 0 | \$ 0 |
| 9618 | JD-SW | 6,554,811 | \$ 2,535,400,895 |
| 9633 | NONGFU SPRING | 49,256,003 | \$ 3,063,723,387 |
| 9668 | CBHB | 0 | \$ 0 |
| 9688 | ZAI LAB-SB | 18,050 | \$ 25,089,500 |
| 9698 | GDS-SW | 0 | \$ 0 |
| 9803 | PP BEDROCK-U | 0 | \$ 0 |
| 9804 | PREMIA VIET-U | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 9805 | VANGUARDASXJP-U | 0 | \$ 0 |
| 9806 | GX CN CONSUME-U | 0 | \$ 0 |
| 9807 | GX CN ROBO&AI-U | 0 | \$ 0 |
| 9809 | GX CN CLN EN-U | 0 | \$ 0 |
| 9810 | PREMIAEMASEAN-U | 0 | \$ 0 |
| 9812 | SAMSUNGCDGN-U | 0 | \$ 0 |
| 9820 | GX CN BIOTECH-U | 0 | \$ 0 |
| 9826 | GX CN CLOUD-U | 0 | \$ 0 |
| 9834 | ISHARESND100-U | 0 | \$ 0 |
| 9836 | ISHARES INDIA-U | 0 | \$ 0 |
| 9845 | GX CN ELE VEH-U | 0 | \$ 0 |
| 9846 | ISHARESCSI300-U | 0 | \$ 0 |
| 9901 | NEW ORIENTAL-S | 61,670 | \$ 90,963,250 |
| 9909 | POWERLONG CM | 3,301,500 | \$ 74,944,050 |
| 9922 | JIUMAOJIU | 31,473,610 | \$ 1,169,244,612 |
| 9923 | YEAHKA | 5,076,317 | \$ 487,834,064 |
| 9926 | AKESO-B | 4,634,640 | \$ 270,662,976 |
| 9928 | TIMES NEIGHBOR | 19,408,298 | \$ 137,022,584 |
| 9939 | KINTOR PHARMA-B | 747,000 | \$ 12,026,700 |
| 9966 | ALPHAMAB-B | 11,407,869 | \$ 163,816,999 |
| 9968 | HUIJING HLDGS | 2,466,000 | \$ 5,030,640 |
| 9969 | INNOCARE-B | 1,452,000 | \$ 28,314,000 |
| 9979 | GREENTOWN MGMT | 898,510 | \$ 2,911,172 |
| 9983 | CC NEW LIFE | 6,425,000 | \$ 49,858,000 |
| 9987 | YUM CHINA-S | 219,600 | \$ 103,695,120 |
| 9988 | BABA-SW | 25,601,548 | \$ 6,682,004,028 |
| 9989 | HEPALINK | 2,580,500 | \$ 30,604,730 |
| 9990 | ARCHOSAUR GAMES | 6,869,550 | \$ 143,230,118 |
| 9991 | BAOZUN-SW | 0 | \$ 0 |
| 9992 | POP MART | 1,990,600 | \$ 188,708,880 |
| 9996 | PEIJIA-B | 868,000 | \$ 24,651,200 |
| 9997 | KANGJI MEDICAL | 4,288,000 | \$ 51,198,720 |
| 9999 | NTES-S | 17,499,900 | \$ 3,604,979,400 |
| 80737 | BAY AREA DEV-R | 0 | \$ 0 |
| 82805 | VANGUARDASXJP-R | 0 | \$ 0 |
| 82811 | HT CSI300 ETF-R | 0 | \$ 0 |
| 82813 | CAM CTPB BOND-R | 0 | \$ 0 |
| 82822 | CSOP A50 ETF-R | 0 | \$ 0 |
| 82823 | ISHARES A50-R | 0 | \$ 0 |
| 82828 | HSCEI ETF-R | 0 | \$ 0 |
| 82832 | BOSERA FA50-R | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 11/02/2021

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 82833 | HS HSI ETF-R | 0 | \$ 0 |
| 82834 | ISHARESND100-R | 0 | \$ 0 |
| 82836 | ISHARES INDIA-R | 0 | \$ 0 |
| 82843 | AMUNDI A50-R | 0 | \$ 0 |
| 82846 | ISHARESCSI300-R | 0 | \$ 0 |
| 83005 | CSOPCSI500ETF-R | 0 | \$ 0 |
| 83010 | ISHARES AXJ-R | 0 | \$ 0 |
| 83012 | AMUNDI HK35-R | 0 | \$ 0 |
| 83031 | HT CH A ESG-R | 0 | \$ 0 |
| 83053 | CSOP HKD MM-R | 0 | \$ 0 |
| 83074 | ISHARESMSCITW-R | 0 | \$ 0 |
| 83079 | CICC CGB-R | 0 | \$ 0 |
| 83081 | VALUEGOLD ETF-R | 0 | \$ 0 |
| 83085 | VANGUARDAXJHY-R | 0 | \$ 0 |
| 83093 | CICCSELECT100-R | 0 | \$ 0 |
| 83100 | EFUND CSI300-R | 0 | \$ 0 |
| 83101 | VANGUARDDEUR-R | 0 | \$ 0 |
| 83115 | ISHARESHSI-R | 0 | \$ 0 |
| 83118 | HGI MSCI CN A-R | 0 | \$ 0 |
| 83122 | CSOP RMB MM-R | 0 | \$ 0 |
| 83126 | VANGUARDJAPAN-R | 0 | \$ 0 |
| 83127 | GX CSI300-R | 0 | \$ 0 |
| 83128 | HS AINDTOPETF-R | 0 | \$ 0 |
| 83130 | HS CSI300-R | 0 | \$ 0 |
| 83140 | VANGUARDSP500-R | 0 | \$ 0 |
| 83147 | CSOP CHINEXT-R | 0 | \$ 0 |
| 83149 | CSOP MSCI-R | 0 | \$ 0 |
| 83167 | ICBCCSOPCHINA-R | 0 | \$ 0 |
| 83168 | HS RMB GOLD ETF | 0 | \$ 0 |
| 83169 | VANGUARDCHINA-R | 0 | \$ 0 |
| 83170 | ISHARESXS200-R | 0 | \$ 0 |
| 83186 | CICCKRANECNET-R | 0 | \$ 0 |
| 83188 | CAM CSI300-R | 0 | \$ 0 |
| 83197 | CAM MSCI AINC-R | 0 | \$ 0 |
| 83199 | CSOP CTPBBOND-R | 0 | \$ 0 |
| 87001 | HUI XIAN REIT | 0 | \$ 0 |