

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,832,726	\$ 826,182,838
2	CLP HOLDINGS	20,982,759	\$ 1,489,775,889
3	HK & CHINA GAS	443,277,575	\$ 5,195,213,179
4	WHARF HOLDINGS	34,709,211	\$ 669,193,588
5	HSBC HOLDINGS	121,489,756	\$ 5,060,048,337
6	POWER ASSETS	22,647,230	\$ 931,933,515
8	PCCW	61,934,223	\$ 297,284,270
10	HANG LUNG GROUP	4,248,953	\$ 81,409,939
11	HANG SENG BANK	46,103,977	\$ 6,343,907,235
12	HENDERSON LAND	23,820,943	\$ 743,213,422
14	HYSAN DEV	17,932,378	\$ 536,178,102
16	SHK PPT	16,284,001	\$ 1,714,705,305
17	NEW WORLD DEV	26,533,761	\$ 1,000,322,790
19	SWIRE PACIFIC A	10,606,811	\$ 474,654,792
23	BANK OF E ASIA	37,766,595	\$ 645,808,775
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	46,505,271	\$ 2,853,098,376
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	2,469,429	\$ 6,593,375
41	GREAT EAGLE H	1,180,280	\$ 23,605,600
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	1,823,527	\$ 12,928,806
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	258,892	\$ 4,654,878
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	70,913,192	\$ 3,074,086,873
69	SHANGRI-LA ASIA	36,225,356	\$ 266,980,874
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
81	CH OVS G OCEANS	13,223,967	\$ 55,937,380
83	SINO LAND	45,638,344	\$ 481,940,913
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	137,000	\$ 637,050
95	LVGEM CHINA	4,224,000	\$ 9,968,640
101	HANG LUNG PPT	59,269,915	\$ 1,165,246,529

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105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,432,000	\$ 2,577,600
116	CHOW SANG SANG	1,430,155	\$ 12,356,539
119	POLY PROPERTY	6,573,459	\$ 14,790,283
120	COSMOPOL INT'L	2,054,000	\$ 2,937,220
123	YUEXIU PROPERTY	20,563,652	\$ 32,079,297
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	31,047,408	\$ 181,316,863
136	HENG TEN NET	2,118,777,665	\$ 572,069,970
142	FIRST PACIFIC	10,481,298	\$ 26,203,245
144	CHINA MER PORT	18,510,599	\$ 173,259,207
148	KINGBOARD HLDG	5,590,158	\$ 165,748,185
151	WANT WANT CHINA	360,201,216	\$ 2,013,524,797
152	SHENZHEN INT'L	14,034,805	\$ 177,961,327
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	13,853,972	\$ 143,804,229
168	TSINGTAO BREW	16,229,649	\$ 1,276,461,894
171	SILVER GRANT	1,476,000	\$ 1,372,680
173	K. WAH INT'L	3,019,824	\$ 11,626,322
175	GEELY AUTO	70,786,889	\$ 1,557,311,558
177	JIANGSU EXPRESS	13,233,200	\$ 112,349,868
178	SA SA INT'L	62,373,849	\$ 76,719,834
179	JOHNSON ELEC H	842,111	\$ 14,534,836
182	CONCORD NE	6,230,000	\$ 2,865,800
185	ZENSUN ENT	0	\$ 0
189	DONGYUE GROUP	22,580,692	\$ 93,258,258
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	36,281,274	\$ 562,359,747
207	JOY CITY PPT	3,956,000	\$ 1,898,880
215	HUTCHTEL HK	9,336,000	\$ 11,203,200
220	U-PRESID CHINA	29,883,974	\$ 228,014,722
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	84,391,270	\$ 2,118,220,877
242	SHUN TAK HOLD	57,182,784	\$ 138,382,337
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	7,512,000	\$ 12,169,440
257	EB ENVIRONMENT	114,041,298	\$ 505,202,950
261	GBA HOLDINGS	0	\$ 0
267	CITIC	31,583,516	\$ 177,183,525

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	153,772,727	\$ 4,005,779,538
270	GUANGDONG INV	31,540,269	\$ 390,468,530
272	SHUI ON LAND	59,804,400	\$ 62,196,576
285	BYD ELECTRONIC	94,977,125	\$ 3,599,633,038
286	AIDIGONG	4,750,000	\$ 2,850,000
288	WH GROUP	135,436,022	\$ 881,688,503
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	40,310,512	\$ 2,660,493,792
293	CATHAY PAC AIR	35,690,802	\$ 272,677,727
297	SINOFERT	6,172,000	\$ 4,443,840
302	CMGE	27,004,000	\$ 76,691,360
303	VTECH HOLDINGS	2,039,970	\$ 120,970,221
308	CHINA TRAVEL HK	66,688,764	\$ 71,356,977
315	SMARTONE TELE	3,734,296	\$ 15,982,787
316	OOIL	0	\$ 0
317	COMEC	7,601,751	\$ 57,469,238
322	TINGYI	143,826,654	\$ 1,927,277,164
323	MAANSHAN IRON	10,747,666	\$ 24,612,155
326	CHINA STAR ENT	570,000	\$ 843,600
327	PAX GLOBAL	7,093,403	\$ 49,653,821
336	HUABAO INTL	27,963,107	\$ 306,475,653
337	GREENLAND HK	3,555,000	\$ 8,034,300
338	SHANGHAI PEICHEM	102,912,858	\$ 165,689,701
341	CAFE DE CORAL H	11,949,376	\$ 213,654,843
345	VITASOY INT'L	60,988,451	\$ 1,893,691,404
347	ANGANG STEEL	109,504,516	\$ 356,984,722
354	CHINASOFT INT'L	68,312,817	\$ 519,177,409
358	JIANGXI COPPER	24,899,068	\$ 302,772,667
363	SHANGHAI IND H	4,311,578	\$ 45,961,421
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	241,475,591	\$ 734,085,797
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	238,000	\$ 1,894,480
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	88,207,718	\$ 2,575,665,366
386	SINOPEC CORP	232,151,143	\$ 805,564,466
388	HKEX	9,002,060	\$ 3,474,795,160
390	CHINA RAILWAY	88,998,538	\$ 307,044,956
392	BEIJING ENT	7,505,783	\$ 183,891,684
405	YUEXIU REIT	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
410	SOHO CHINA	62,148,379	\$ 145,427,207
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	25,144,742	\$ 905,210,712
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,095,325	\$ 23,713,786
445	CIMC-TIANDA	0	\$ 0
460	SIHUAN PHARM	34,143,605	\$ 30,046,372
467	UNITEDENERGY GP	39,586,000	\$ 56,607,980
468	GAPACK	3,888,621	\$ 15,632,256
480	HKR INT'L	0	\$ 0
486	RUSAL	20,082,000	\$ 84,143,580
489	DONGFENG GROUP	43,835,099	\$ 344,543,878
493	GOME RETAIL	1,750,618,909	\$ 1,610,569,396
496	KASEN	10,117,649	\$ 7,588,237
506	CHINA FOODS	2,944,689	\$ 7,715,085
511	TVB	3,976,106	\$ 31,928,131
512	CHINAGRANDPHARM	8,274,480	\$ 54,197,844
517	COSCO SHIP INTL	1,190,439	\$ 2,976,098
520	XIABUXIABU	27,064,192	\$ 509,889,377
522	ASM PACIFIC	11,986,113	\$ 1,170,443,934
525	GUANGSHEN RAIL	35,390,346	\$ 51,669,905
535	GEMDALE PPT	69,616,864	\$ 82,147,900
546	FUFENG GROUP	6,520,092	\$ 18,451,860
547	DIGITAL DOMAIN	157,848,856	\$ 8,997,385
548	SHENZHENEXPRESS	24,621,100	\$ 181,949,929
551	YUE YUEN IND	14,259,396	\$ 234,424,470
552	CHINACOMSERVICE	54,481,290	\$ 198,856,709
563	SH IND URBAN	9,677,443	\$ 7,354,857
570	TRAD CHI MED	79,648,184	\$ 284,344,017
576	ZHEJIANGEXPRESS	15,145,819	\$ 92,238,038
579	JNCEC	20,589,243	\$ 52,502,570
580	SUN.KING TECH	0	\$ 0
581	CHINA ORIENTAL	25,780,888	\$ 56,975,762
586	CONCH VENTURE	45,568,427	\$ 1,686,031,799
588	BEIJING N STAR	3,868,000	\$ 5,918,040
590	LUK FOOK HOLD	1,312,019	\$ 24,403,553
598	SINOTRANS	38,077,608	\$ 100,144,109
604	SHENZHEN INVEST	55,587,044	\$ 145,082,185
607	FULLSHARE	258,296,835	\$ 41,327,494
622	OSHIDORI	3,352,800	\$ 2,112,264

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
631	SANY INT'L	13,839,787	\$ 62,694,235
636	KERRY LOG NET	1,215,000	\$ 20,363,400
639	SHOUGANG RES	12,598,463	\$ 24,567,003
656	FOSUN INTL	80,769,935	\$ 886,853,886
658	C TRANSMISSION	18,680,700	\$ 117,875,217
659	NWS HOLDINGS	21,023,281	\$ 147,373,200
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	96,035,557	\$ 182,467,558
667	CHINA EAST EDU	38,539,694	\$ 639,758,920
669	TECHTRONIC IND	15,235,073	\$ 1,576,830,056
670	CHINA EAST AIR	102,090,724	\$ 366,505,699
683	KERRY PPT	3,816,679	\$ 76,524,414
686	BJ ENERGY INTL	7,735,300	\$ 2,127,208
688	CHINA OVERSEAS	36,397,517	\$ 639,140,399
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	84,247,878	\$ 581,310,358
696	TRAVELSKY TECH	28,024,624	\$ 520,697,514
697	SHOUCHENG	21,001,256	\$ 48,302,889
698	TONGDA GROUP	46,664,333	\$ 21,932,237
699	CAR INC	20,162,213	\$ 75,406,677
700	TENCENT	32,888,213	\$ 19,338,269,244
708	EVERG VEHICLE	46,784,085	\$ 1,328,668,014
709	GIORDANO INT'L	3,076,822	\$ 3,753,723
710	BOE VARITRONIX	175,000	\$ 490,000
715	CHINA OCEANWIDE	0	\$ 0
726	DIT GROUP	0	\$ 0
728	CHINA TELECOM	281,108,789	\$ 604,383,896
732	TRULY INT'L	6,220,000	\$ 5,722,400
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	12,648,078	\$ 92,204,489
751	SKYWORTH GROUP	32,543,671	\$ 68,341,709
753	AIR CHINA	131,482,627	\$ 867,785,338
754	HOPSON DEV HOLD	21,014,813	\$ 408,527,965
762	CHINA UNICOM	125,793,352	\$ 544,685,214
763	ZTE	63,540,665	\$ 1,199,647,755
772	CHINA LIT	76,226,777	\$ 4,440,209,760
775	CKLIFE SCIENCES	20,393,975	\$ 19,578,216
777	NETDRAGON	9,504,607	\$ 151,123,251
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	39,155,592	\$ 571,671,643
788	CHINA TOWER	1,829,446,851	\$ 2,140,452,816

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799	IGG	68,976,857	\$ 517,326,428
806	VALUE PARTNERS	30,627,490	\$ 122,816,235
807	SIICENVIRONMENT	2,223,000	\$ 2,356,380
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	731,000	\$ 3,676,930
813	SHIMAO GROUP	13,600,486	\$ 327,771,713
817	CHINA JINMAO	76,166,176	\$ 281,814,851
818	HI SUN TECH	912,000	\$ 948,480
819	TIANNENG POWER	130,772,152	\$ 1,927,581,520
823	LINK REIT	22,977,127	\$ 1,600,356,896
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	6,790,000	\$ 25,123,000
836	CHINA RES POWER	26,758,235	\$ 202,559,839
839	CHINA EDU GROUP	43,374,486	\$ 596,832,927
853	MICROPORT	59,983,188	\$ 2,198,383,840
855	CHINA WATER	53,591,792	\$ 348,346,648
856	VSTECs	4,912,718	\$ 31,736,158
857	PETROCHINA	400,803,668	\$ 953,912,730
860	APOLLO FMG	57,340,304	\$ 37,844,601
861	DC HOLDINGS	33,017,250	\$ 214,612,125
863	BC TECH GROUP	0	\$ 0
867	CMS	13,684,489	\$ 108,791,688
868	XINYI GLASS	53,059,099	\$ 1,013,428,791
874	BAIYUNSHAN PH	9,211,378	\$ 172,621,224
880	SJM HOLDINGS	96,633,009	\$ 889,023,683
881	ZHONGSHENG HLDG	229,079,797	\$ 12,026,689,343
883	CNOOC	159,210,313	\$ 1,106,511,675
884	CIFI HOLD GP	62,592,793	\$ 378,060,470
902	HUANENG POWER	95,585,715	\$ 258,081,431
906	COFCO PACKAGING	9,948,666	\$ 34,024,438
914	CONCH CEMENT	14,389,654	\$ 695,020,288
916	CHINA LONGYUAN	21,833,601	\$ 131,001,606
921	HISENSE HA	1,204,000	\$ 13,773,760
934	SINOPEC KANTONS	18,630,111	\$ 50,860,203
939	CCB	601,895,069	\$ 3,497,010,351
941	CHINA MOBILE	70,454,884	\$ 3,121,151,361
950	LEE'S PHARM	2,140,002	\$ 9,202,009
951	CHAOWEI POWER	247,000	\$ 716,300
956	CHINA SUNTIEN	39,611,957	\$ 79,223,914
960	LONGFOR GROUP	22,669,667	\$ 950,992,531
966	CHINA TAIPING	55,215,668	\$ 779,645,232

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968	XINYI SOLAR	130,055,251	\$ 1,766,150,309
973	L'OCCITANE	0	\$ 0
978	CHI MER LAND	0	\$ 0
981	SMIC	267,948,930	\$ 5,908,273,907
991	DATANG POWER	65,605,033	\$ 64,292,932
992	LENOVO GROUP	596,527,674	\$ 3,513,548,000
995	ANHUIEXPRESSWAY	2,216,000	\$ 10,658,960
998	CITIC BANK	157,476,155	\$ 519,671,312
1003	HUANXI MEDIA	1,380,000	\$ 1,849,200
1030	SEAZEN	68,182,789	\$ 435,006,194
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	17,946,000	\$ 13,280,040
1038	CKI HOLDINGS	11,045,413	\$ 441,264,249
1044	HENGAN INT'L	42,872,170	\$ 2,272,225,010
1052	YUEXIUTRANSPORT	9,374,239	\$ 45,371,317
1055	CHINA SOUTH AIR	147,785,544	\$ 731,538,443
1060	ALI PICTURES	616,996,260	\$ 573,806,522
1061	ESSEX BIO-TECH	1,214,874	\$ 4,580,075
1066	WEIGAO GROUP	88,562,227	\$ 1,268,211,091
1070	TCL ELECTRONICS	30,191,354	\$ 182,053,865
1071	HUADIAN POWER	38,348,640	\$ 72,478,930
1072	DONGFANG ELEC	3,005,832	\$ 16,201,434
1083	TOWNGAS CHINA	7,496,838	\$ 26,988,617
1088	CHINA SHENHUA	39,290,051	\$ 583,850,158
1089	LEYOU TECH H	11,015,000	\$ 36,239,350
1093	CSPC PHARMA	280,707,788	\$ 2,009,867,762
1098	ROAD KING INFRA	2,182,417	\$ 21,955,115
1099	SINOPHARM	58,059,773	\$ 1,114,747,642
1109	CHINA RES LAND	23,347,987	\$ 736,628,990
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,515,954	\$ 237,879,944
1113	CK ASSET	20,405,391	\$ 882,533,161
1114	BRILLIANCE CHI	101,719,506	\$ 634,729,717
1117	CH MODERN D	271,125,194	\$ 398,554,035
1119	IDREAMSKY	92,771,508	\$ 353,459,445
1128	WYNN MACAU	126,186,134	\$ 1,733,797,481
1133	HARBIN ELECTRIC	5,617,001	\$ 12,862,932
1137	HKTV	8,970,121	\$ 105,847,428
1138	COSCO SHIP ENGY	46,150,115	\$ 143,065,357
1141	CMBC CAPITAL	76,697,126	\$ 8,743,472
1157	ZOOMLION	48,004,693	\$ 433,482,378

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1169	HAIER ELEC	109,671,992	\$ 4,019,478,507
1171	YANZHOU COAL	74,846,439	\$ 482,759,532
1176	ZHUGUANG HOLD	3,286,000	\$ 3,680,320
1177	SINO BIOPHARM	435,006,256	\$ 2,927,592,103
1179	HUAZHU-S	0	\$ 0
1186	CHINA RAIL CONS	99,121,805	\$ 459,925,175
1193	CHINA RES GAS	17,334,575	\$ 677,781,883
1196	REALORD GROUP	756,000	\$ 3,522,960
1199	COSCO SHIP PORT	20,754,367	\$ 106,677,446
1208	MMG	157,861,170	\$ 495,684,074
1211	BYD COMPANY	74,126,112	\$ 12,905,356,099
1212	LIFESTYLE INT'L	10,397,787	\$ 64,986,169
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	12,513,088	\$ 128,884,806
1234	CHINA LILANG	5,531,035	\$ 28,872,003
1238	POWERLONG	7,644,050	\$ 40,895,668
1252	CHINA TIANRUI	2,665,000	\$ 17,908,800
1257	CEB GREENTECH	31,415,294	\$ 95,816,647
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	17,002,800	\$ 515,184,840
1269	FIRST CAP GP	17,394,001	\$ 2,052,492
1270	LANGHAM-SS	0	\$ 0
1271	GRAND MING	0	\$ 0
1282	GLORY SUN FIN	97,455,732	\$ 32,160,392
1288	ABC	365,234,135	\$ 1,044,569,626
1299	AIA	67,903,980	\$ 6,060,430,215
1302	LIFETECH SCI	68,050,778	\$ 277,647,174
1308	SITC	6,945,000	\$ 104,175,000
1310	HKBN	13,190,248	\$ 164,086,685
1313	CHINARES CEMENT	16,082,260	\$ 147,474,324
1316	NEXTEER	67,888,195	\$ 577,049,658
1317	MAPLELEAF EDU	111,531,666	\$ 228,639,915
1323	HUASHENG INTL	11,550,000	\$ 9,240,000
1330	DYNAGREEN ENV	1,197,000	\$ 3,962,070
1333	CHINA ZHONGWANG	47,365,134	\$ 79,573,425
1336	NCI	10,698,927	\$ 333,806,522
1337	RAZER	112,076,402	\$ 262,258,781
1339	PICC GROUP	143,373,251	\$ 348,397,000

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1347	HUA HONG SEMI	43,889,720	\$ 1,871,896,558
1349	FUDANZHANGJIANG	1,343,000	\$ 5,533,160
1359	CHINA CINDA	194,983,800	\$ 284,676,348
1368	XTEP INT'L	59,268,592	\$ 196,179,040
1375	CC SECURITIES	9,633,000	\$ 14,353,170
1378	CHINAHONGQIAO	42,436,257	\$ 302,146,150
1381	CANVEST ENV	8,838,560	\$ 28,990,477
1382	PACIFICTEXTILES	6,410,169	\$ 31,602,133
1383	SUNCITY GROUP	49,220,000	\$ 33,961,800
1385	SHANGHAI FUDAN	5,829,000	\$ 71,696,700
1398	ICBC	413,739,803	\$ 1,977,676,258
1415	COWELL	6,440,000	\$ 37,480,800
1426	SPRING REIT	0	\$ 0
1431	YST DAIRY	160,815,460	\$ 123,827,904
1448	FU SHOU YUAN	19,581,260	\$ 145,488,762
1456	GUOLIAN SEC	3,880,000	\$ 14,860,400
1458	ZHOU HEI YA	103,568,817	\$ 798,515,579
1475	NISSIN FOODS	11,996,632	\$ 79,537,670
1478	Q TECH	34,764,146	\$ 358,765,987
1501	KDL MEDICAL	329,800	\$ 13,851,600
1503	CMC REIT	0	\$ 0
1508	CHINA RE	38,085,915	\$ 30,087,873
1513	LIVZON PHARMA	1,873,528	\$ 58,922,456
1515	CR MEDICAL	23,116,740	\$ 131,071,916
1521	FRONTAGE	22,234,876	\$ 80,934,949
1528	RS MACALLINE	1,212,191	\$ 5,466,981
1530	3SBIO	208,571,887	\$ 1,422,460,269
1548	GENSCRIPT BIO	50,733,955	\$ 602,719,385
1551	GRCB	0	\$ 0
1558	HEC PHARM	37,134,125	\$ 342,376,633
1565	VIRSCEND EDU	4,415,000	\$ 10,198,650
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	33,515,509	\$ 3,148,782,071
1585	YADEA	20,451,718	\$ 285,505,983
1589	CNLP	27,170,000	\$ 106,778,100
1600	TIAN LUN GAS	4,294,241	\$ 26,753,121
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	4,985,000	\$ 14,257,100
1610	COFCO JOYCOME	182,021,657	\$ 467,795,658

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1618	MCC	5,323,596	\$ 7,027,147
1622	REDCO GROUP	20,793,740	\$ 67,163,780
1628	YUZHOU GROUP	37,368,825	\$ 104,632,710
1638	KAISA GROUP	63,855,545	\$ 243,928,182
1658	PSBC	274,735,862	\$ 1,178,616,848
1660	ZHAOBANGJI PPT	0	\$ 0
1665	PENTAMASTER	1,060,000	\$ 1,802,000
1666	TONG REN TANG	11,605,690	\$ 58,724,791
1668	CHINASOUTHCITY	28,492,805	\$ 29,062,661
1672	ASCLETIS-B	15,496,000	\$ 44,008,640
1675	ASIAINFO TECH	8,870,000	\$ 94,731,600
1686	SUNEVISION	11,000,810	\$ 76,455,630
1717	AUSNUTRIA	20,713,991	\$ 224,953,942
1727	HEBEI CONS	15,017,879	\$ 86,352,804
1753	DUIBA	17,393,200	\$ 38,438,972
1755	S-ENJOY SERVICE	464,000	\$ 7,813,760
1765	HOPE EDU	32,962,000	\$ 66,253,620
1766	CRRC	172,057,513	\$ 493,805,062
1769	SCHOLAR EDU	1,366,000	\$ 19,151,320
1772	GANFENGLITHIUM	4,363,819	\$ 315,285,923
1773	TIANLI EDU	9,525,000	\$ 83,534,250
1776	GF SEC	54,676,838	\$ 570,826,189
1777	FANTASIA	30,785,750	\$ 44,331,480
1778	COLOUR LIFE	24,534,171	\$ 85,869,599
1787	SD GOLD	24,047,172	\$ 409,282,867
1788	GUOTAI JUNAN I	98,890,070	\$ 99,878,971
1789	AK MEDICAL	50,540,447	\$ 618,615,071
1797	KOOLEARN	74,324,723	\$ 2,032,781,174
1798	DATANG RENEW	7,042,000	\$ 7,605,360
1800	CHINA COMM CONS	241,650,014	\$ 891,688,552
1801	INNOVENT BIO	47,831,155	\$ 2,707,243,373
1806	HUIFU PAYMENT	5,013,442	\$ 12,383,202
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	240,801,245	\$ 6,634,074,300
1811	CGN NEW ENERGY	33,421,250	\$ 38,100,225
1813	KWG GROUP	40,829,344	\$ 402,577,332
1816	CGN POWER	235,676,124	\$ 370,011,515
1817	MULSANNE GROUP	3,245,500	\$ 14,734,570
1818	ZHAOJIN MINING	49,390,180	\$ 458,340,870
1821	ESR	35,978,909	\$ 867,091,707
1829	CMEC	6,703,826	\$ 10,457,969

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	86,329,477	\$ 7,648,791,662
1836	STELLA HOLDINGS	3,508,500	\$ 30,944,970
1839	CIMC VEHICLES	475,000	\$ 3,325,000
1848	CALC	2,229,533	\$ 15,183,120
1858	CHUNLI MEDICAL	1,890,966	\$ 37,819,320
1859	BRIGHT CULTURE	972,000	\$ 942,840
1860	MOBVISTA	1,519,498	\$ 5,363,828
1873	VIVA BIOTECH	29,775,166	\$ 234,628,308
1876	BUD APAC	143,559,396	\$ 3,847,391,813
1877	JUNSHI BIO	10,836,236	\$ 467,041,772
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	5,384,066	\$ 132,717,227
1883	CITIC TELECOM	10,554,768	\$ 25,859,182
1888	KB LAMINATES	31,769,085	\$ 366,615,241
1890	CHINA KEPEI	3,406,000	\$ 18,051,800
1896	MAOYAN ENT	10,769,688	\$ 131,390,194
1898	CHINA COAL	10,873,399	\$ 25,335,020
1899	XINGDA INT'L	0	\$ 0
1907	CHINA RISUN GP	872,000	\$ 2,267,200
1908	C&D INTL GROUP	1,895,000	\$ 27,553,300
1910	SAMSONITE	98,789,213	\$ 1,363,291,139
1911	CR HOLDINGS	167,500	\$ 2,465,600
1913	PRADA	15,579,188	\$ 733,779,755
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	43,906,175	\$ 1,187,662,034
1919	COSCO SHIP HOLD	83,924,694	\$ 577,401,895
1928	SANDS CHINA LTD	58,736,968	\$ 2,020,551,699
1929	CHOW TAI FOOK	7,373,372	\$ 74,323,590
1951	JXR	63,384,927	\$ 888,656,677
1958	BAIC MOTOR	30,029,190	\$ 80,478,229
1963	BCQ	1,663,809	\$ 7,620,245
1966	CHINA SCE GROUP	15,176,000	\$ 49,625,520
1970	IMAX CHINA	2,471,600	\$ 32,625,120
1972	SWIREPROPERTIES	40,434,774	\$ 956,282,405
1988	MINSHENG BANK	687,849,215	\$ 2,999,022,577
1992	FOSUN TOURISM	281,000	\$ 2,188,990
1995	EVERSUNSHINE LS	39,904,000	\$ 616,117,760
1996	RSUN PPT	1,640,000	\$ 4,362,400
1997	WHARF REIC	99,489,562	\$ 3,999,480,392
1999	MAN WAH HLDGS	11,414,449	\$ 161,628,598
2001	NEW HIGHER EDU	23,001,000	\$ 104,654,550

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2005	SSY GROUP	97,369,529	\$ 443,031,357
2006	JINJIANGCAPITAL	12,040,000	\$ 15,290,800
2007	COUNTRY GARDEN	416,865,740	\$ 4,202,006,659
2009	BBMG	28,024,983	\$ 42,878,224
2013	WEIMOB INC	235,315,698	\$ 2,720,249,469
2016	CZBANK	0	\$ 0
2018	AAC TECH	97,092,395	\$ 4,000,206,674
2019	DEXIN CHINA	2,832,281	\$ 8,213,615
2020	ANTA SPORTS	53,485,954	\$ 5,862,060,558
2038	FIH	140,526,162	\$ 109,610,406
2039	CIMC	3,756,711	\$ 46,432,948
2048	E-HOUSE ENT	5,835,301	\$ 42,539,344
2057	ZTO EXPRESS-SW	0	\$ 0
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,315,000
2099	CHINAGOLDINTL	0	\$ 0
2100	BAIOO	0	\$ 0
2103	SINIC HOLDINGS	767,000	\$ 3,152,370
2128	CHINA LESSO	21,826,402	\$ 268,901,273
2139	BANK OF GANSU	807,000	\$ 1,049,100
2163	BROAD HOMES	600,600	\$ 11,231,220
2168	KAISA PROSPER	1,978,096	\$ 36,555,214
2186	LUYE PHARMA	150,862,167	\$ 582,327,965
2196	FOSUN PHARMA	43,908,601	\$ 1,589,491,356
2198	CHINA SANJIANG	4,132,200	\$ 10,950,330
2202	CHINA VANKE	39,076,259	\$ 1,015,982,734
2208	GOLDWIND	114,912,458	\$ 1,374,352,998
2231	JY GRANDMARK	0	\$ 0
2233	WESTCHINACEMENT	83,905,295	\$ 98,169,195
2238	GAC GROUP	129,750,980	\$ 1,036,710,330
2269	WUXI BIO	28,127,837	\$ 2,292,418,716
2282	MGM CHINA	77,240,917	\$ 897,539,456
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	6,047,374	\$ 839,375,511
2314	LEE & MAN PAPER	15,052,603	\$ 93,025,087
2318	PING AN	767,098,724	\$ 71,110,051,715
2319	MENGNIU DAIRY	48,360,913	\$ 2,011,813,981
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	166,966,907	\$ 1,003,471,111
2329	GUORUI PPT	2,177,000	\$ 1,915,760
2331	LI NING	26,962,145	\$ 1,217,340,847

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	292,888,368	\$ 4,410,898,822
2338	WEICHAI POWER	60,600,246	\$ 934,455,793
2342	COMBA	146,648,880	\$ 344,624,868
2343	PACIFIC BASIN	178,565,929	\$ 233,921,367
2356	DAHSHING BANKING	1,304,399	\$ 10,317,796
2357	AVICHINA	81,207,955	\$ 353,254,604
2359	WUXI APPTec	32,642,321	\$ 4,112,932,446
2362	JINCHUAN INTL	50,107,000	\$ 39,083,460
2378	PRU	0	\$ 0
2380	CHINA POWER	111,647,769	\$ 170,821,087
2382	SUNNY OPTICAL	30,895,316	\$ 4,473,641,757
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	19,976,850	\$ 67,122,216
2388	BOC HONG KONG	64,535,065	\$ 1,548,841,560
2400	XD INC	5,766,641	\$ 229,512,312
2500	VENUS MEDTECH-B	11,948,000	\$ 871,009,200
2552	HUA MEDICINE-B	2,199,476	\$ 10,821,422
2588	BOC AVIATION	20,774,108	\$ 1,375,245,950
2600	CHALCO	105,245,362	\$ 292,582,106
2601	CPIC	45,780,319	\$ 1,332,207,283
2606	LANGUANGJUSTBON	154,100	\$ 4,245,455
2607	SH PHARMA	12,827,068	\$ 159,312,185
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	5,131,762	\$ 55,115,124
2616	CSTONE PHARMA-B	10,561,500	\$ 105,403,770
2628	CHINA LIFE	94,580,899	\$ 1,617,333,373
2638	HKELECTRIC-SS	75,147,412	\$ 579,386,547
2666	UNI MEDICAL	12,856,646	\$ 74,311,414
2669	CHINA OVS PPT	60,436,915	\$ 264,713,688
2678	TEXHONG TEXTILE	1,257,000	\$ 8,120,220
2688	ENN ENERGY	14,439,474	\$ 1,547,911,613
2689	ND PAPER	76,701,889	\$ 819,176,175
2696	HENLIUS-B	565,455	\$ 23,325,019
2727	SH ELECTRIC	26,266,561	\$ 59,099,762
2768	JIAYUAN INTL	4,797,746	\$ 14,968,968
2772	ZHONGLIANG HLDG	5,766,500	\$ 28,140,520
2777	R&F PROPERTIES	90,059,587	\$ 900,595,870
2778	CHAMPION REIT	16,003,165	\$ 71,214,084
2799	CHINA HUARONG	195,556,117	\$ 181,867,189
2800	TRACKER FUND	50,668,458	\$ 1,352,847,829
2801	ISHARES CHINA	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	68,728,977	\$ 1,300,352,245
2823	ISHARES A50	86,295,270	\$ 1,644,787,846
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,010,811	\$ 424,544,344
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	17,310,723	\$ 50,720,418
2866	COSCO SHIP DEV	37,514,420	\$ 43,516,727
2868	BJ CAPITAL LAND	14,244,200	\$ 18,517,460
2869	GREENTOWN SER	59,934,486	\$ 546,602,512
2877	SHINEWAY PHARM	4,138,577	\$ 23,341,574
2883	CHINA OILFIELD	40,429,823	\$ 236,110,166
2888	STANCHART	2,679,368	\$ 131,289,032
2899	ZIJIN MINING	85,088,333	\$ 606,679,814
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICCUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHARESMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	2,209,600	\$ 37,386,432
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	42,221,162	\$ 2,506,248,176
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3301	RONSHINECHINA	20,198,264	\$ 107,050,799
3306	JNBY	1,104,500	\$ 9,355,115
3308	GOLDEN EAGLE	1,155,000	\$ 8,085,000
3309	C-MER EYE	10,094,000	\$ 58,141,440
3311	CHINA STATE CON	66,664,930	\$ 313,325,171
3319	A-LIVING	50,499,733	\$ 1,557,916,763
3320	CHINARES PHARMA	45,158,826	\$ 177,474,186
3323	CNBM	49,106,559	\$ 462,092,720
3328	BANKCOMM	141,705,533	\$ 593,746,183
3331	VINDA INT'L	34,162,965	\$ 702,048,931
3333	EVERGRANDE	245,791,563	\$ 3,755,695,083
3336	JU TENG INTL	2,367,424	\$ 5,208,333
3339	LONKING	46,290,521	\$ 108,782,724
3347	TIGERMED	7,524,244	\$ 1,186,573,279
3360	FE HORIZON	171,483,223	\$ 1,371,865,784
3377	SINO-OCEAN GP	42,428,165	\$ 64,066,529
3380	LOGAN GROUP	19,019,146	\$ 223,665,157
3383	AGILE GROUP	56,831,210	\$ 584,224,839
3396	LEGENDHOLDING	13,131,278	\$ 129,737,027
3603	XINJI SHAXI	345,000	\$ 669,300
3606	FUYAO GLASS	10,804,025	\$ 374,899,668
3613	TONGRENTANGCM	7,315,059	\$ 60,934,441
3618	CQRC BANK	18,572,172	\$ 58,130,898
3633	ZHONGYU GAS	777,000	\$ 5,439,000
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	27,854,849	\$ 133,146,178
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	29,691,425	\$ 380,050,240
3690	MEITUAN-W	31,494,250	\$ 9,253,010,650
3692	HANSOH PHARMA	43,487,668	\$ 1,474,231,945
3709	EEKA FASHION	1,964,000	\$ 30,795,520
3718	BJ ENT URBAN	7,692,000	\$ 16,768,560
3759	PHARMARON	4,549,550	\$ 502,725,275
3799	DALI FOODS	63,873,175	\$ 307,868,704
3800	GCL-POLY ENERGY	1,497,376,312	\$ 1,647,113,943
3808	SINOTRUK	45,698,263	\$ 869,180,962
3813	POU SHENG INT'L	4,292,000	\$ 8,026,040
3818	CHINA DONGXIANG	103,068,236	\$ 87,608,001
3836	HARMONY AUTO	28,170,361	\$ 108,174,186
3866	BQD	0	\$ 0
3868	XINYI ENERGY	27,214,619	\$ 111,579,938

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	15,175,961	\$ 110,784,515
3888	KINGSOFT	102,638,979	\$ 4,254,385,680
3898	CRRC TIMES ELEC	16,146,314	\$ 397,199,324
3899	CIMC ENRIC	22,392,500	\$ 94,048,500
3900	GREENTOWN CHINA	65,605,950	\$ 771,525,972
3908	CICC	147,468,517	\$ 2,669,180,158
3918	NAGACORP	14,984,000	\$ 141,448,960
3933	UNITED LAB	4,068,915	\$ 23,640,396
3958	DFZQ	3,488,800	\$ 19,293,064
3968	CM BANK	82,130,188	\$ 3,942,249,024
3969	CHINA CRSC	46,993,656	\$ 124,533,188
3983	CHINA BLUECHEM	16,793,907	\$ 20,824,445
3988	BANK OF CHINA	1,036,783,904	\$ 2,757,845,185
3990	MIDEA REAL EST	4,045,799	\$ 70,315,987
3993	CMOC	222,562,827	\$ 816,805,575
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	281,728,241	\$ 955,058,737
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	253,313,108	\$ 4,296,190,312
6049	POLY PPT SER	10,881,125	\$ 559,833,881
6055	CTIHK	9,023,803	\$ 141,493,231
6060	ZA ONLINE	59,755,842	\$ 2,124,320,183
6066	CSC	66,823,081	\$ 681,595,426
6068	WISDOM EDU INTL	20,612,000	\$ 81,211,280
6069	SHENGYE CAPITAL	9,460,559	\$ 48,721,879
6078	HYGEIA HEALTH	1,895,200	\$ 92,959,560
6088	FIT HON TENG	70,467,161	\$ 176,167,903
6098	CG SERVICES	146,473,449	\$ 6,913,546,793
6099	CMSC	3,486,026	\$ 38,764,609
6100	TONGDAO LIEPIN	1,223,600	\$ 23,982,560
6110	TOPSPORTS	53,283,002	\$ 557,340,201
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	42,122,517	\$ 199,660,731
6160	BEIGENE	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6166	CHINA VAST	381,000	\$ 1,154,430
6169	YUHUA EDU	169,980,583	\$ 1,137,170,100
6178	EB SECURITIES	15,085,320	\$ 102,278,470
6185	CANSINO-BIO-B	11,189,843	\$ 1,907,868,232
6186	CHINA FEIHE	50,934,382	\$ 885,239,559
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,262,001	\$ 15,200,925
6199	BANK OF GUIZHOU	0	\$ 0
6806	SWHY	11,373,857	\$ 25,363,701
6808	SUNART RETAIL	201,731,171	\$ 1,634,022,485
6818	CEB BANK	27,729,271	\$ 81,801,349
6820	FRIENDTIMES	0	\$ 0
6823	HKT-SS	19,748,951	\$ 206,179,048
6826	HAOHAI BIOTEC	820,804	\$ 33,406,723
6837	HAITONG SEC	210,493,325	\$ 1,387,151,012
6855	ASCENTAGE-B	1,464,038	\$ 42,017,891
6862	HAIDILAO	67,513,860	\$ 3,942,809,424
6865	FLAT GLASS	29,961,635	\$ 636,684,744
6868	TENFU	0	\$ 0
6869	YOFC	6,891,671	\$ 69,743,711
6878	DIFFER GROUP	20,170,000	\$ 15,127,500
6881	CGS	185,108,952	\$ 860,756,627
6886	HTSC	68,755,803	\$ 815,443,824
6889	DYNAM JAPAN	448,200	\$ 3,634,902
6919	RENHUI HR	1,976,900	\$ 49,817,880
6958	ZHENRO SERVICES	2,626,000	\$ 10,451,480
6969	SMOORE INTL	37,378,265	\$ 1,781,074,327
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMDXNCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMDXNCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8083	CHINA YOUZAN	144,850,877	\$ 328,811,491
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	10,346,635	\$ 3,312,992,527
9633	NONGFU SPRING	7,164,600	\$ 300,913,200
9688	ZAI LAB-SB	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9909	POWERLONG CM	6,040,080	\$ 140,129,856
9922	JIUMAOJIU	26,959,000	\$ 571,530,800
9923	YEAHKA	4,002,800	\$ 141,899,260
9926	AKESO-B	1,333,000	\$ 38,390,400
9928	TIMES NEIGHBOR	14,665,209	\$ 102,363,159
9939	KINTOR PHARMA-B	88,500	\$ 861,990
9966	ALPHAMAB-B	11,808,869	\$ 171,228,601
9968	HUIJING HLDGS	3,992,000	\$ 8,183,600
9969	INNOCARE-B	1,829,631	\$ 23,492,462
9983	CC NEW LIFE	559,000	\$ 3,393,130
9987	YUM CHINA-S	697,165	\$ 310,098,992
9988	BABA-SW	31,649,910	\$ 8,178,336,744
9989	HEPALINK	1,412,000	\$ 17,706,480
9996	PEIJIA-B	3,817,000	\$ 92,371,400
9997	KANGJI MEDICAL	1,559,000	\$ 20,547,620
9999	NTES-S	11,839,057	\$ 1,621,950,809
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/12/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

