



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	16,399,142	\$ 1,639,914,200
2	CLP HOLDINGS	19,547,744	\$ 1,644,942,658
3	HK & CHINA GAS	255,774,567	\$ 3,836,618,505
4	WHARF HOLDINGS	15,640,214	\$ 1,087,776,884
5	HSBC HOLDINGS	27,427,845	\$ 1,881,550,167
6	POWER ASSETS	18,576,859	\$ 1,308,739,717
8	PCCW	55,374,279	\$ 245,861,799
10	HANG LUNG GROUP	4,861,895	\$ 164,332,051
11	HANG SENG BANK	13,535,159	\$ 2,218,412,560
12	HENDERSON LAND	11,821,266	\$ 543,778,236
14	HYSAN DEV	5,662,522	\$ 215,175,836
16	SHK PPT	11,669,695	\$ 1,417,867,943
17	NEW WORLD DEV	44,275,347	\$ 473,746,213
19	SWIRE PACIFIC A	6,222,661	\$ 491,590,219
20	WHEELLOCK	5,747,386	\$ 363,809,534
23	BANK OF E ASIA	55,271,882	\$ 1,868,189,612
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	62,233,479	\$ 2,931,196,861
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,928,000	\$ 25,426,560
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,702,000	\$ 23,264,160
39	CH BEIDAHUANG	22,405,714	\$ 8,850,257
41	GREAT EAGLE H	333,377	\$ 13,168,392
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,530,452	\$ 15,886,092
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	669,567	\$ 22,229,624
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,609,147	\$ 48,274,410
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,450,000	\$ 673,750
66	MTR CORPORATION	63,391,285	\$ 2,795,555,669
69	SHANGRI-LA ASIA	6,227,446	\$ 79,462,211
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	5,009,000	\$ 22,991,310
83	SINO LAND	21,789,060	\$ 295,895,435
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	175,000	\$ 1,414,000
95	LVGEM CHINA	1,208,000	\$ 2,403,920
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	18,360,397	\$ 388,322,397
102	SUMMIT ASCENT	67,778,475	\$ 129,456,887
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	9,084,000	\$ 30,158,880
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	81,157,310	\$ 271,065,415
123	YUEXIU PROPERTY	91,858,000	\$ 124,926,880
127	CHINESE EST H	0	\$ 0
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	120,000	\$ 1,370,400
135	KUNLUN ENERGY	310,834,447	\$ 2,073,265,761
136	HENGTEN NET	266,824,255	\$ 31,752,086
142	FIRST PACIFIC	12,577,189	\$ 74,079,643
144	CHINA MER PORT	10,195,373	\$ 223,278,669
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	627,300	\$ 18,411,255
151	WANT WANT CHINA	796,049,789	\$ 4,569,325,789
152	SHENZHEN INT'L	7,354,324	\$ 102,078,017
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,918,280
164	CHINA BAOLI TEC	35,393,502	\$ 6,724,765
165	CHINA EB LTD	17,738,690	\$ 318,586,872
168	TSINGTAO BREW	21,870,124	\$ 793,885,501
171	SILVER GRANT	1,520,000	\$ 1,778,400
173	K. WAH INT'L	1,478,814	\$ 7,645,468
175	GEELY AUTO	399,915,782	\$ 6,190,696,305
177	JIANGSU EXPRESS	18,181,084	\$ 204,719,006
178	SA SA INT'L	25,930,892	\$ 91,536,049
179	JOHNSON ELEC H	2,470,206	\$ 68,301,196
182	CONCORD NE	65,630,000	\$ 22,642,350
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	25,934,347	\$ 17,894,699



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
198	SMI HOLDINGS	40,967,200	\$ 143,385,200
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	15,432,094	\$ 310,185,089
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	21,586,000	\$ 25,687,340
215	HUTCHTEL HK	35,016,000	\$ 87,540,000
217	CHINA CHENGTONG	2,643,330	\$ 1,004,465
218	SHENWANHONGYUAN	8,970,000	\$ 27,986,400
220	U-PRESID CHINA	74,314,045	\$ 431,021,461
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
232	AVIC INT'L	49,702,000	\$ 20,874,840
235	CHINA STRATEGIC	190,375,000	\$ 25,319,875
241	ALI HEALTH	188,471,081	\$ 753,884,324
242	SHUN TAK HOLD	33,633,564	\$ 108,300,076
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	47,248,531	\$ 23,388,023
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	456,000	\$ 4,979,520
256	CITYCHAMP	14,851,322	\$ 25,544,274
257	CHINA EB INT'L	101,390,194	\$ 981,457,078
258	TOMSON GROUP	0	\$ 0
259	YEEBO (INT'L H)	200,000	\$ 614,000
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	104,054,687	\$ 1,304,845,775
268	KINGDEE INT'L	202,155,905	\$ 628,704,865
270	GUANGDONG INV	14,914,000	\$ 164,352,280
272	SHUI ON LAND	45,641,819	\$ 85,350,202
273	MASON FIN	159,383,442	\$ 16,097,728
275	MASTER GLORY GP	72,090,000	\$ 10,885,590
283	GOLDIN PPT	0	\$ 0
285	BYD ELECTRONIC	53,756,347	\$ 946,111,707
286	CS HEALTH	558,000	\$ 345,960
288	WH GROUP	23,519,969	\$ 173,106,972
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	35,439,955	\$ 735,379,066
293	CATHAY PAC AIR	55,526,103	\$ 700,739,420
297	SINOFERT	170,941,121	\$ 170,941,121
299	NEW SPORTS	141,440,000	\$ 6,506,240
303	VTECH HOLDINGS	1,761,637	\$ 222,494,753



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
308	CHINA TRAVEL HK	150,114,654	\$ 334,755,678
315	SMARTONE TELE	15,539,707	\$ 161,923,747
316	OOIL	8,774,713	\$ 425,134,845
317	COMEC	13,759,073	\$ 199,506,559
321	TEXWINCA HOLD	13,705,905	\$ 58,524,214
322	TINGYI	198,143,049	\$ 1,892,266,118
323	MAANSHAN IRON	72,768,067	\$ 203,022,907
327	PAX GLOBAL	95,614,497	\$ 463,730,310
329	DRAGONITE INT'L	0	\$ 0
330	ESPRIT HOLDINGS	40,423,379	\$ 221,924,351
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	5,561,178	\$ 24,302,348
337	GREENLAND HK	17,992,225	\$ 51,277,841
338	SHANGHAI PEICHEM	66,919,562	\$ 287,754,117
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	2,616,000	\$ 68,277,600
342	NEWOCEAN ENERGY	47,169,803	\$ 112,735,829
345	VITASOY INT'L	9,360,300	\$ 151,824,066
347	ANGANG STEEL	89,904,984	\$ 465,707,817
354	CHINASOFT INT'L	44,347,887	\$ 194,243,745
358	JIANGXI COPPER	35,762,034	\$ 424,137,723
363	SHANGHAI IND H	610,000	\$ 14,670,500
368	SINOTRANS SHIP	48,616,500	\$ 89,940,525
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	215,931,388	\$ 1,291,269,700
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
377	HUAJUN HOLD	0	\$ 0
378	FDG KINETIC	6,334,000	\$ 8,360,880
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	57,847,323	\$ 728,876,270
386	SINOPEC CORP	90,607,221	\$ 583,510,503
388	HKEX	34,236,953	\$ 7,032,270,146
390	CHINA RAILWAY	138,583,628	\$ 880,006,038
392	BEIJING ENT	3,084,534	\$ 116,441,159
400	COGOBUY	27,600,558	\$ 126,686,561
404	HSIN CHONG GP	11,523,811	\$ 4,033,334
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	44,483,000	\$ 167,700,910
412	CHINA INNO FIN	8,387,323	\$ 3,229,119



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	805,000	\$ 7,164,500
419	HUAYI TENCENT	138,873,328	\$ 48,605,665
425	MINTH GROUP	22,463,561	\$ 725,573,020
433	NORTH MINING	101,924,082	\$ 16,919,398
434	BOYAA	7,015,981	\$ 25,748,650
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	69,900,000	\$ 192,225,000
440	DAH SING	1,776,500	\$ 112,097,150
451	GCL NEWENERGY	128,168,000	\$ 48,703,840
460	SIHUAN PHARM	191,200,924	\$ 629,051,040
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	4,368,000	\$ 21,927,360
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	77,135,376	\$ 726,615,242
493	GOME	1,430,604,735	\$ 1,430,604,735
494	LI & FUNG	632,355,386	\$ 1,890,742,604
496	KASEN	0	\$ 0
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	8,166,000	\$ 25,886,220
511	TVB	5,891,748	\$ 166,441,881
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,974,000	\$ 6,237,840
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	46,724,000	\$ 15,652,540
522	ASM PACIFIC	27,392,870	\$ 3,259,751,530
525	GUANGSHEN RAIL	19,076,500	\$ 79,549,005
526	LISI GP HOLD	0	\$ 0
527	RUIFENG RENEW	1,512,000	\$ 1,073,520
530	GOLDIN FIN HOLD	12,596,000	\$ 48,116,720
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	883,000	\$ 2,905,070
546	FUFENG GROUP	38,678,983	\$ 168,253,576
547	DIGITAL DOMAIN	176,175,761	\$ 54,614,486
548	SHENZHENEXPRESS	1,222,831	\$ 8,828,840
551	YUE YUEN IND	6,419,506	\$ 203,498,340
552	CHINACOMSERVICE	6,690,343	\$ 30,842,481
553	NANJING PANDA	216,000	\$ 1,017,360



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555	REXLOT HOLDINGS	25,216,474	\$ 2,950,327
563	SH IND URBAN	14,644,200	\$ 25,334,466
566	HANERGY TFP	180,828,779	\$ 707,040,526
570	TRAD CHI MED	72,661,052	\$ 330,607,787
576	ZHEJIANGEXPRESS	23,332,851	\$ 234,728,481
577	THE 13 HOLDINGS	8,816,500	\$ 18,426,485
579	JNCEC	20,991,058	\$ 50,588,450
580	SUN.KING ELEC	0	\$ 0
586	CONCH VENTURE	29,272,533	\$ 439,087,995
588	BEIJING N STAR	4,002,000	\$ 13,166,580
590	LUK FOOK HOLD	2,748,597	\$ 75,311,558
598	SINOTRANS	8,930,042	\$ 34,380,662
603	CHINA OIL & GAS	7,200,000	\$ 4,032,000
604	SHENZHEN INVEST	12,145,189	\$ 46,030,266
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	6,874,000	\$ 22,890,420
607	FULLSHARE	762,435,937	\$ 2,386,424,483
621	TAUNG GOLD	0	\$ 0
622	ENERCHINA HOLD	69,145,773	\$ 14,589,758
630	AMCO UNITED	0	\$ 0
631	SANY INT'L	8,560,000	\$ 11,556,000
633	CH ALL ACCESS	11,716,000	\$ 26,126,680
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	37,209,288	\$ 47,627,889
651	CHI OCEAN IND	397,709,095	\$ 77,553,274
656	FOSUN INTL	83,073,200	\$ 1,066,659,888
658	C TRANSMISSION	29,778,202	\$ 238,523,398
659	NWS HOLDINGS	2,034,000	\$ 31,201,560
665	HAITONG INT'L	137,176,225	\$ 633,754,160
669	TECHTRONIC IND	11,434,448	\$ 415,070,462
670	CHINA EAST AIR	76,592,152	\$ 357,685,350
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	114,450,000	\$ 29,757,000
683	KERRY PPT	14,138,146	\$ 391,626,644
686	UNITED PV	127,260,914	\$ 145,077,442
688	CHINA OVERSEAS	36,865,244	\$ 873,706,283
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	9,647,433	\$ 106,507,660
696	TRAVELSKY TECH	8,028,113	\$ 183,843,788
697	SHOUGANG INT'L	0	\$ 0
698	TONGDA GROUP	303,512,521	\$ 676,832,922



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699	CAR INC	106,474,844	\$ 716,575,700
700	TENCENT	98,909,534	\$ 27,437,504,732
702	SINO OIL & GAS	86,445,000	\$ 16,251,660
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	6,952,978	\$ 28,159,561
715	CHINA OCEANWIDE	19,208,755	\$ 14,790,741
716	SINGAMAS CONT	0	\$ 0
717	EMPEROR CAPITAL	19,632,974	\$ 13,939,412
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	67,141,827	\$ 20,813,966
728	CHINA TELECOM	99,781,454	\$ 376,176,082
729	FDG EVEHICLES	479,947,114	\$ 163,182,019
732	TRULY INT'L	155,779,595	\$ 417,489,315
735	C P NEW ENERGY	3,697,923	\$ 18,452,636
737	HOPEWELL INFR	7,540,500	\$ 33,555,225
750	SINGYES SOLAR	40,716,574	\$ 142,100,843
751	SKYWORTHDIGITAL	105,636,861	\$ 484,873,192
752	PICO FAR EAST	508,000	\$ 1,701,800
753	AIR CHINA	37,762,950	\$ 301,348,341
754	HOPSON DEV HOLD	602,000	\$ 4,454,800
762	CHINA UNICOM	207,840,185	\$ 2,352,750,894
763	ZTE	39,511,367	\$ 681,966,194
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,456,327	\$ 177,582,867
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	62,681,697	\$ 72,083,952
798	CEOVU	0	\$ 0
799	IGG	54,585,240	\$ 658,297,994
801	GOLDEN MEDITECH	6,840,057	\$ 7,866,066
806	VALUE PARTNERS	80,947,377	\$ 613,581,118
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,995,000	\$ 19,826,900
813	SHIMAO PROPERTY	13,505,808	\$ 186,920,383
815	CHI SILVER GP	40,926,449	\$ 59,752,616
816	HUADIAN FUXIN	144,125,789	\$ 263,750,194
817	CHINA JINMAO	65,286,324	\$ 159,298,631
818	HI SUN TECH	16,394,181	\$ 22,132,144
819	TIANNENG POWER	49,183,177	\$ 317,723,323
823	LINK REIT	22,599,457	\$ 1,429,415,655
826	TIANGONG INT'L	0	\$ 0
831	CR ASIA	0	\$ 0



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832	CENTRAL CHINA	0	\$ 0
836	CHINA RES POWER	11,416,660	\$ 177,414,896
845	GLORIOUS PPT H	15,926,000	\$ 13,537,100
853	MICROPORT	0	\$ 0
855	CHINA WATER	34,942,245	\$ 162,481,439
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	305,496,039	\$ 1,551,919,878
861	DC HOLDINGS	63,627,213	\$ 389,398,544
867	CMS	30,689,573	\$ 425,357,482
868	XINYI GLASS	36,983,956	\$ 283,666,943
874	BAIYUNSHAN PH	1,176,000	\$ 26,342,400
875	CHINA FIN INV	0	\$ 0
877	O-NET TECH GP	12,785,009	\$ 55,486,939
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	56,213,026	\$ 448,579,947
881	ZHONGSHENG HLDG	25,455,451	\$ 337,539,280
882	TIANJIN DEV	2,832,000	\$ 12,205,920
883	CNOOC	186,898,954	\$ 1,618,544,942
884	CIFI HOLD GP	46,406,610	\$ 140,147,962
885	RENTIAN TECH	21,488,990	\$ 8,595,596
895	DONGJIANG ENV	3,911,812	\$ 50,540,611
902	HUANENG POWER	141,175,114	\$ 825,874,417
903	TPV TECHNOLOGY	2,183,000	\$ 3,667,440
906	COFCO PACKAGING	0	\$ 0
914	ANHUI CONCH	165,496,087	\$ 4,369,096,697
916	CHINA LONGYUAN	67,294,302	\$ 399,055,211
921	HISENSE KELON	1,574,500	\$ 20,248,070
931	CHINA LNG	505,015,522	\$ 79,792,452
933	BRIGHTOIL	31,104,488	\$ 67,185,694
934	SINOPEC KANTONS	41,381,649	\$ 171,320,027
939	CCB	986,123,573	\$ 6,370,358,282
941	CHINA MOBILE	22,626,573	\$ 1,900,632,132
950	LEE'S PHARM	856,501	\$ 5,789,947
951	CHAOWEI POWER	25,568,093	\$ 120,170,037
956	CHINA SUNTIEN	28,032,094	\$ 42,889,104
958	HN RENEWABLES	107,274,486	\$ 268,186,215
960	LONGFOR PPT	14,653,019	\$ 220,088,345
963	BLOOMAGE BIOTEC	4,467,327	\$ 58,611,330
966	CHINA TAIPING	29,769,108	\$ 605,801,348
968	XINYI SOLAR	333,653,126	\$ 797,430,971
973	L'OCCITANE	1,333,047	\$ 20,528,924



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976	CHIHO-TIANDE	11,897,851	\$ 43,308,178
978	CHI MER LAND	8,262,000	\$ 12,806,100
981	SMIC	409,555,611	\$ 3,227,298,215
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	30,548,000	\$ 78,202,880
992	LENOVO GROUP	810,926,787	\$ 4,168,163,685
993	HUARONG INT FIN	0	\$ 0
995	ANHUIEXPRESSWAY	0	\$ 0
996	CARNIVAL GROUP	171,488,223	\$ 120,041,756
998	CITIC BANK	392,166,819	\$ 1,882,400,731
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,567,278	\$ 3,338,302
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	88,829,068	\$ 13,946,164
1027	CHINA JICHENG	69,030,000	\$ 18,638,100
1028	C.BANNER	0	\$ 0
1029	IRC	9,286,000	\$ 2,460,790
1030	FUTURE LAND	34,420,875	\$ 100,164,746
1031	KINGSTON FIN	116,982,933	\$ 305,325,455
1033	SINOPEC SSC	129,903,056	\$ 164,976,881
1038	CKI HOLDINGS	38,810,389	\$ 2,571,188,271
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	54,401,858	\$ 2,937,700,332
1045	APT SATELLITE	2,033,114	\$ 8,701,728
1051	G-RESOURCES	52,572,000	\$ 6,834,360
1052	YUEXIUTRANSPORT	24,547,913	\$ 140,414,062
1053	CHONGQING IRON	9,040,809	\$ 10,035,298
1055	CHINA SOUTH AIR	100,950,847	\$ 645,075,912
1060	ALI PICTURES	989,310,847	\$ 1,246,531,667
1065	TIANJIN CAPITAL	11,614,000	\$ 56,676,320
1066	WEIGAO GROUP	32,190,098	\$ 199,578,608
1068	YURUN FOOD	56,485,035	\$ 57,614,736
1070	TCL MULTIMEDIA	24,052,055	\$ 92,359,891
1071	HUADIAN POWER	49,207,325	\$ 171,733,564
1072	DONGFANG ELEC	10,066,856	\$ 71,474,678
1076	IMPERIAL PAC	325,440,855	\$ 41,005,548
1083	TOWNGAS CHINA	22,302,625	\$ 106,160,495
1086	GOODBABY INTL	22,363,655	\$ 78,496,429
1088	CHINA SHENHUA	44,250,500	\$ 862,884,750



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1089	LEYOU TECH H	45,625,000	\$ 73,912,500
1093	CSPC PHARMA	49,262,795	\$ 583,271,493
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	11,184,425	\$ 397,047,088
1103	DASHENG AGR FIN	25,104,000	\$ 16,819,680
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,646,000	\$ 12,753,720
1109	CHINA RES LAND	28,048,244	\$ 645,109,612
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	23,863,331	\$ 501,129,951
1113	CK PROPERTY	11,771,015	\$ 718,620,466
1114	BRILLIANCE CHI	154,851,601	\$ 2,322,774,015
1115	TIBET WATER	42,631,000	\$ 133,435,030
1117	CH MODERN D	77,709,641	\$ 118,118,654
1122	QINGLING MOTORS	502,000	\$ 1,350,380
1128	WYNN MACAU	85,546,370	\$ 1,521,014,459
1129	WATER INDUSTRY	5,828,240	\$ 9,966,290
1133	HARBIN ELECTRIC	1,296,000	\$ 5,741,280
1136	TCC INT'L HOLD	3,034,000	\$ 10,649,340
1138	COSCO SHIP ENGY	21,948,471	\$ 97,451,211
1140	OP FIN INV	1,308,000	\$ 3,139,200
1141	CMBC CAPITAL	59,349,075	\$ 29,081,047
1157	ZOOMLION	68,724,816	\$ 244,660,345
1165	SFCE	56,881,348	\$ 29,009,487
1168	SINOLINK HOLD	2,718,000	\$ 2,310,300
1169	HAIER ELEC	7,796,240	\$ 160,212,732
1171	YANZHOU COAL	100,955,967	\$ 604,726,242
1176	ZHUGUANG HOLD	5,788,000	\$ 6,077,400
1177	SINO BIOPHARM	173,104,456	\$ 1,239,427,905
1186	CHINA RAIL CONS	173,650,850	\$ 1,795,549,789
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1193	CHINA RES GAS	52,101,296	\$ 1,234,800,715
1194	MUNSUN CAPITAL	382,630,705	\$ 49,741,992
1196	REALORD GROUP	5,362,000	\$ 22,466,780
1199	COSCO SHIP PORT	45,877,433	\$ 411,061,800
1205	CITIC RESOURCES	49,506,192	\$ 47,525,944
1206	TECHNOVATOR	17,622,000	\$ 48,812,940
1208	MMG	90,122,445	\$ 236,120,806
1211	BYD COMPANY	105,806,467	\$ 4,957,032,979
1212	LIFESTYLE INT'L	976,500	\$ 10,721,970
1219	TENWOW INT'L	2,723,273	\$ 4,330,004



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,873,000	\$ 5,516,160
1230	YASHILI INT'L	29,279,000	\$ 43,918,500
1233	TIMES PPT	385,000	\$ 1,913,450
1234	CHINA LILANG	722,000	\$ 3,768,840
1238	POWERLONG	3,200,938	\$ 10,243,002
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	30,888,000	\$ 53,127,360
1270	LANGHAM-SS	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0
1282	CHINA GOLDJOY	130,540,000	\$ 70,491,600
1288	ABC	299,396,374	\$ 1,074,832,983
1293	GRAND BAOXIN	15,919,197	\$ 56,513,149
1297	SINOSOFT TECH	31,546,696	\$ 75,081,136
1299	AIA	66,393,251	\$ 3,737,940,031
1302	LIFETECH SCI	32,386,000	\$ 63,476,560
1308	SITC	1,992,551	\$ 11,178,211
1310	HKBN	11,866,508	\$ 98,610,681
1313	CHINARES CEMENT	38,200,561	\$ 155,094,278
1315	VISION FAME	100,380,000	\$ 79,300,200
1316	NEXTEER	15,248,000	\$ 186,025,600
1317	MAPLELEAF EDU	43,626,000	\$ 309,308,340
1330	DYNAGREEN ENV	2,066,000	\$ 8,408,620
1332	CHINA TOUYUN	0	\$ 0
1333	CHINA ZHONGWANG	117,590,251	\$ 391,575,536
1336	NCI	8,446,950	\$ 357,305,985
1339	PICC GROUP	57,020,555	\$ 188,167,832
1347	HUA HONG SEMI	0	\$ 0
1349	FUDANZHANGJIANG	3,484,500	\$ 19,164,750
1359	CHINA CINDA	97,890,142	\$ 292,691,525
1361	361 DEGREES	12,714,797	\$ 31,151,253
1363	CTEG	204,137,113	\$ 265,378,247
1366	JIANGNAN GP	46,679,984	\$ 35,943,588
1368	XTEP INT'L	41,347,679	\$ 120,321,746
1371	CHINA LOTSYN	225,786,598	\$ 39,738,441
1375	CC SECURITIES	28,974,749	\$ 110,104,046
1378	CHINAHONGQIAO	103,237,585	\$ 727,824,974
1381	CANVEST ENV	5,426,327	\$ 22,627,784
1382	PACIFICTEXTILES	16,853,872	\$ 149,662,383
1383	SUNCITY GROUP	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1385	SHANGHAI FUDAN	84,000	\$ 458,640
1387	RENHE COMM	748,103,323	\$ 143,635,838
1389	MAJOR HLDGS	7,082,000	\$ 8,144,300
1398	ICBC	115,422,417	\$ 602,505,017
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	20,552,000	\$ 50,146,880
1431	YST DAIRY	0	\$ 0
1432	CHINA SHENGMU	36,701,998	\$ 61,659,357
1448	FU SHOU YUAN	16,095,000	\$ 79,831,200
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	11,777,187	\$ 91,979,830
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	18,570,000	\$ 115,505,400
1508	CHINA RE	35,708,000	\$ 62,489,000
1509	HARMONICARE	1,773,000	\$ 6,861,510
1513	LIVZON PHARMA	98,435	\$ 5,310,568
1515	CRPHOENIXHEALTH	52,600,998	\$ 553,362,499
1528	RS MACALLINE	1,395,000	\$ 11,857,500
1530	3SBIO	72,475,319	\$ 791,430,483
1548	GENSCRIPT BIO	5,016,960	\$ 20,469,197
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	6,562,000	\$ 21,129,640
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	864,000	\$ 1,503,360
1589	CNLP	14,245,000	\$ 35,612,500
1599	UCD	85,000	\$ 369,750
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	0	\$ 0
1616	SILVERMAN HL	2,564,228	\$ 1,974,456
1618	MCC	24,809,650	\$ 64,008,897
1622	REDCO PPT	1,850,800	\$ 6,089,132
1623	HILONG	7,760,000	\$ 10,398,400
1628	YUZHOU PPT	5,739,400	\$ 23,990,692
1632	FOODWISE HLDG	114,000	\$ 2,241,240
1636	CMRU	1,256,000	\$ 3,755,440
1658	PSBC	89,909,159	\$ 409,985,765



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1661	WISDOM SPORTS	34,875,000	\$ 39,060,000
1666	TONG REN TANG	4,123,800	\$ 52,949,592
1668	CHINASOUTHCITY	118,396,412	\$ 172,858,762
1680	MACAU LEGEND	41,801,693	\$ 62,702,540
1681	CONSUN PHARMA	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	54,854,714	\$ 265,496,816
1766	CRRC	356,642,121	\$ 2,592,788,220
1776	GF SEC	43,249,717	\$ 676,425,574
1777	FANTASIA	3,802,500	\$ 4,372,875
1778	COLOUR LIFE	3,978,800	\$ 18,421,844
1786	CRCCE	5,773,100	\$ 20,494,505
1788	GUOTAI JUNAN I	201,581,923	\$ 501,938,988
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	80,925,242	\$ 817,344,944
1803	BJ SPORTS & ENT	292,500	\$ 631,800
1811	CGN NEW ENERGY	20,728,000	\$ 23,837,200
1812	CHENMING PAPER	2,678,500	\$ 25,445,750
1813	KWG PROPERTY	33,651,431	\$ 183,400,299
1816	CGN POWER	546,595,607	\$ 1,235,306,072
1818	ZHAOJIN MINING	75,554,180	\$ 497,902,046
1828	DCH HOLDINGS	2,239,000	\$ 8,015,620
1829	CMEC	6,466,951	\$ 38,801,706
1836	STELLA HOLDINGS	4,511,641	\$ 58,470,867
1848	CALC	1,525,000	\$ 13,953,750
1880	BELLE INT'L	34,216,001	\$ 208,375,446
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,969,000	\$ 102,858,300
1883	CITIC TELECOM	5,768,000	\$ 13,958,560
1886	HUIYUAN JUICE	8,030,127	\$ 20,878,330
1888	KB LAMINATES	3,690,000	\$ 32,841,000
1893	SINOMA	13,328,049	\$ 31,720,757
1898	CHINA COAL	154,508,425	\$ 526,873,729
1899	XINGDA INT'L	13,379,529	\$ 36,526,114
1910	SAMSONITE	30,929,940	\$ 989,758,080
1913	PRADA	10,124,340	\$ 293,099,643
1918	SUNAC	240,603,289	\$ 3,272,204,730
1919	COSCO SHIP HOLD	103,050,302	\$ 352,432,033
1928	SANDS CHINA LTD	89,238,019	\$ 3,221,492,486
1929	CHOW TAI FOOK	14,755,446	\$ 132,356,351



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1958	BAIC MOTOR	35,989,125	\$ 279,995,393
1963	BCQ	1,086,259	\$ 6,952,058
1966	CHINA SCE PPT	0	\$ 0
1970	IMAX CHINA	7,101,635	\$ 227,252,320
1972	SWIREPROPERTIES	20,633,727	\$ 546,793,766
1980	TIANGE	19,672,046	\$ 120,786,362
1988	MINSHENG BANK	543,038,211	\$ 4,241,128,428
1999	MAN WAH HLDGS	78,775,704	\$ 516,768,618
2005	SSY GROUP	8,154,105	\$ 25,277,726
2006	JINJIANG HOTELS	50,526,498	\$ 117,221,475
2007	COUNTRY GARDEN	407,857,087	\$ 3,866,485,185
2008	PHOENIX TV	10,194,000	\$ 11,926,980
2009	BBMG	176,629,382	\$ 717,115,291
2014	OZNER WATER	33,063,000	\$ 57,198,990
2016	CZBANK	0	\$ 0
2018	AAC TECH	51,654,916	\$ 5,139,664,142
2020	ANTA SPORTS	77,319,675	\$ 1,797,682,444
2038	FIH	30,453,000	\$ 73,087,200
2039	CIMC	2,699,500	\$ 35,579,410
2066	SHENGJINGBANK	1,573,000	\$ 10,602,020
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	22,178,056	\$ 134,620,800
2136	LIFESTYLE CHI	954,667	\$ 2,558,508
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	144,940,495	\$ 659,479,252
2196	FOSUN PHARMA	10,989,176	\$ 360,994,432
2199	REGINA MIRACLE	20,452,000	\$ 115,144,760
2200	HOSA INT'L	7,052,090	\$ 17,277,621
2202	CHINA VANKE	31,241,949	\$ 662,329,319
2208	GOLDWIND	29,456,317	\$ 341,693,277
2226	HONWORLD GP	0	\$ 0
2233	WESTCHINACEMENT	90,710,000	\$ 109,759,100
2238	GAC GROUP	75,297,115	\$ 1,048,135,841
2255	HAICHANG HLDG	5,929,000	\$ 9,545,690
2277	HUARONG INV	0	\$ 0
2280	HC INTL	26,660,000	\$ 162,092,800
2282	MGM CHINA	64,593,564	\$ 1,060,626,321
2298	COSMO LADY	68,004,229	\$ 215,573,406
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	22,439,907	\$ 1,171,363,145



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2314	LEE & MAN PAPER	27,134,361	\$ 185,599,029
2318	PING AN	1,030,026,076	\$ 53,612,857,256
2319	MENGNU DAIRY	69,151,321	\$ 1,095,356,925
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	82,672,035	\$ 31,002,013
2328	PICC P&C	62,332,248	\$ 796,606,129
2329	GUORUI PPT	4,673,000	\$ 11,495,580
2331	LI NING	74,545,773	\$ 434,601,857
2333	GREATWALL MOTOR	660,291,439	\$ 5,995,446,266
2338	WEICHAJ POWER	152,313,627	\$ 1,836,902,342
2342	COMBA	6,334,747	\$ 7,221,612
2343	PACIFIC BASIN	195,402,096	\$ 310,689,333
2348	DAWNRAJ PHARMA	172,000	\$ 756,800
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	927,641	\$ 15,306,077
2357	AVICHINA	129,465,464	\$ 609,782,335
2358	JIU RONG HOLD	0	\$ 0
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	76,761,785	\$ 217,235,852
2382	SUNNY OPTICAL	28,368,079	\$ 1,866,619,598
2383	TOM GROUP	1,853,003	\$ 3,557,766
2386	SINOPEC SEG	5,616,053	\$ 42,288,879
2388	BOC HONG KONG	47,255,415	\$ 1,661,027,837
2389	BJ ENT M&H	26,106,000	\$ 13,575,120
2393	YESTAR HEALTH	6,197,968	\$ 23,614,258
2399	FORDOO	169,000	\$ 861,900
2588	BOC AVIATION	1,962,103	\$ 77,404,963
2600	CHALCO	556,955,626	\$ 2,049,596,704
2601	CPIC	9,642,879	\$ 299,893,537
2607	SH PHARMA	4,925,321	\$ 112,051,053
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	82,148,222	\$ 2,090,672,250
2638	HKELECTRIC-SS	191,113,129	\$ 1,372,192,266
2666	UNI MEDICAL	26,092,500	\$ 172,210,500
2669	CHINA OVS PPT	86,225,482	\$ 128,475,968
2678	TEXHONG TEXTILE	3,525,000	\$ 32,994,000
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	18,227,318	\$ 759,167,795
2689	ND PAPER	85,907,097	\$ 820,412,776



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	287,709,562	\$ 1,015,614,754
2768	JIAYUAN INTL	548,000	\$ 2,131,720
2777	R&F PROPERTIES	71,076,119	\$ 895,559,099
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	245,828,409	\$ 791,567,477
2800	TRACKER FUND	6,426,800	\$ 169,667,520
2801	ISHARES CHINA	1,528,200	\$ 35,683,470
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	38,652,870	\$ 496,302,851
2823	X ISHARES A50	164,643,334	\$ 2,015,234,408
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,106,200	\$ 224,731,540
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	146,033,697	\$ 248,257,285
2868	BJ CAPITAL LAND	12,029,800	\$ 43,547,876
2869	GREENTOWN SER	918,000	\$ 3,828,060
2877	SHINEWAY PHARM	2,915,000	\$ 23,611,500
2880	DALIAN PORT	48,108,630	\$ 65,427,737
2883	CHINA OILFIELD	166,018,048	\$ 1,109,000,561



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2888	STANCHART	2,373,851	\$ 181,599,602
2899	ZIJIN MINING	160,907,404	\$ 413,532,028
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	39,803,491	\$ 1,675,726,971
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,634,500	\$ 26,495,505
3308	GOLDEN EAGLE	30,701,140	\$ 351,221,042
3311	CHINA STATE CON	33,379,071	\$ 430,590,016
3320	CHINARES PHARMA	0	\$ 0
3323	CNBM	219,861,455	\$ 1,004,766,849
3328	BANKCOMM	135,838,583	\$ 797,372,482
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	452,754,659	\$ 7,533,837,526
3336	JU TENG INTL	3,050,000	\$ 9,424,500
3337	ANTON OILFIELD	62,358,000	\$ 46,144,920
3339	LONKING	30,856,800	\$ 73,130,616
3360	FE HORIZON	35,370,727	\$ 242,289,480
3369	QHD PORT	1,823,000	\$ 4,557,500
3377	SINO-OCEAN GP	24,701,194	\$ 92,876,489
3378	XIAMEN PORT	1,486,000	\$ 2,258,720
3380	LOGAN PPT	13,192,946	\$ 66,756,307
3382	TIANJINPORT DEV	1,284,000	\$ 1,694,880
3383	AGILE GROUP	139,150,376	\$ 997,708,196
3389	HENGDELI	8,316,000	\$ 6,569,640
3393	WASION GROUP	44,430,889	\$ 161,728,436
3396	LEGENDHOLDING	1,677,402	\$ 36,902,844
3606	FUYAO GLASS	29,573,227	\$ 931,556,651
3618	CQRC BANK	46,941,021	\$ 245,032,130
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	530,500	\$ 9,941,570



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3669	YONGDA AUTO	19,169,241	\$ 140,702,229
3698	HUIZHANG BANK	5,689,058	\$ 20,992,624
3709	KORADIOR	1,342,000	\$ 10,870,200
3799	DALI FOODS	95,504,248	\$ 436,454,413
3800	GCL-POLY ENERGY	1,938,085,298	\$ 1,472,944,826
3808	SINOTRUK	51,283,500	\$ 254,366,160
3813	POU SHENG INT'L	131,776,177	\$ 197,664,266
3818	CHINA DONGXIANG	54,200,726	\$ 76,423,024
3836	HARMONY AUTO	78,316,331	\$ 263,142,872
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	4,344,853	\$ 10,644,890
3886	TOWN HEALTH	117,722,474	\$ 113,013,575
3888	KINGSOFT	55,020,664	\$ 1,177,442,210
3898	CRRC TIMES ELEC	38,325,934	\$ 1,563,698,107
3899	CIMC ENRIC	14,580,286	\$ 72,901,430
3900	GREENTOWN CHINA	64,280,677	\$ 547,671,368
3908	CICC	4,737,396	\$ 52,585,096
3918	NAGACORP	13,112,587	\$ 51,270,215
3933	UNITED LAB	137,804,604	\$ 713,827,849
3958	DFZQ	3,080,800	\$ 23,044,384
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	180,032,134	\$ 4,104,732,655
3969	CHINA CRSC	689,000	\$ 4,134,000
3983	CHINA BLUECHEM	24,057,807	\$ 47,153,302
3988	BANK OF CHINA	215,867,234	\$ 837,564,868
3989	CAPITAL ENV	20,331,824	\$ 4,412,006
3993	CMOC	182,179,851	\$ 451,806,030
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,645,560
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	93,917,701	\$ 1,528,980,172
6066	CSC	2,590,500	\$ 18,366,645
6099	CMSC	566,400	\$ 6,921,408
6136	KANGDA ENV	3,982,000	\$ 6,450,840



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	702,400	\$ 7,852,832
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	147,574,456	\$ 1,062,536,083
6818	CEB BANK	156,568,419	\$ 566,777,677
6823	HKT-SS	16,482,333	\$ 169,108,737
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	38,072,000	\$ 20,558,880
6837	HAITONG SEC	88,271,135	\$ 1,145,759,332
6839	YUNNAN WATER	177,000	\$ 631,890
6863	HUIZHAN DAIRY	403,572,767	\$ 169,500,562
6869	YOFC	4,445,000	\$ 69,430,900
6881	CGS	75,777,317	\$ 544,838,909
6886	HTSC	32,652,375	\$ 493,050,863
6889	DYNAM JAPAN	318,200	\$ 4,174,784
6899	OURGAME	2,364,000	\$ 4,822,560
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	4,997,054	\$ 5,346,848
8008	SUNEVISION	0	\$ 0
8021	WLS HOLDINGS	0	\$ 0
8032	VIVA CHINA	12,968,000	\$ 9,726,000
8057	MADISON WINE	984,000	\$ 1,889,280
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	23,480,000	\$ 6,926,600
8083	INNOVATIONPAY	41,724,146	\$ 20,236,211
8095	BEIDA JADE BIRD	202,000	\$ 367,640
8137	HONBRIDGE	0	\$ 0
8138	TONGRENTANGCM	207,000	\$ 2,339,100
8158	CRMI	14,995,000	\$ 3,823,725
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	121,956,000	\$ 125,614,680
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	704,000	\$ 2,126,080
8279	AGTECH HOLDINGS	34,448,000	\$ 44,782,400
8325	CHINA SMARTPAY	7,530,000	\$ 7,530,000
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 09/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

